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# EDGAR® Form MA XML Technical Specification

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Version 1

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# 1 INTRODUCTION

## 1.1 Purpose

This document details the valid structure and content of the MA Electronic Data Gathering, Analysis, and Retrieval (EDGAR) Extensible Markup Language (XML) submission types. The following table lists the submission types for MA.

**Table 1-1: MA Submission Types**

SUBMISSION TYPE	DESCRIPTION
MA	Initial Filing for Municipal Advisors
MA-A	Annual Filing for Municipal Advisors
MA/A	Amended Filing for Municipal Advisors
MA-I	Initial Filing for Natural Persons Who Engage in Municipal Advisory Activities
MA-I/A	Amended Filing for Natural Persons Who Engage in Municipal Advisory Activities
MA-W	Withdrawal Filing

Issuers must follow the “EDGARLink Online XML Technical Specification” document to construct XML submissions for the remaining MA submission form types: MA, MA-A, MA/A, MA-I, MA-I/A and MA-W.

This specification provides the basis for creating the aforementioned submission types for MA XML submissions. Filers must use this technical specification to generate a MA XML submission that can be successfully parsed by the EDGAR system.

The MA submission file must conform to the EDGAR MA Submission Taxonomy. This taxonomy is comprised of a collection of XML Schema Definition (.xsd) files that define the structures of EDGAR MA submissions.

The MA XML submissions can be transmitted to the SEC via the EDGAR FilerWeb (<https://www.edgarfiling.sec.gov>) or the “Transmit XML Submission” option from the EDGAR OnlineForms/XML Website (<https://www.onlineforms.edgarfiling.sec.gov>).

In order to use the EDGAR FilerWeb or OnlineForms/XML Website, you must have a Central Index Key (CIK) and EDGAR access codes (password, CIK confirmation code (CCC)). If you currently have a CIK and access codes, you can proceed to the EDGAR FilerWeb or OnlineForms/XML Website to submit your submission. If you do not have access codes, you will need to obtain access codes through the EDGAR Filer Management website, (<https://www.filermanagement.edgarfiling.sec.gov>). For further information regarding how to obtain access codes, refer to the “Becoming an EDGAR Filer” section of the EDGAR Filer Manual, Volume I (General Information).

For further information regarding the EDGAR FilerWeb or OnlineForms/XML Website, specifically in regards to the transmission of filer-constructed submissions, refer to the Filer-Constructed XML Submissions section of the EDGAR Filer Manual, Volume II (EDGAR Filing).

## 2 EDGAR SCHEMA FILES

Table 2-1: MA Schema Files describes the schema files that the EDGAR system uses to validate MA submissions. These schemas are considered part of this specification and are distributed with this document.

**Table 2-1: MA Schema Files**

XML Schema File	Scope of File
eis_MA.xsd	Defines the elements for a MA, MA-A and MA/A submission
eis_MA_DRP.xsd	Defines the elements for MA,MA-A and MA/A submission
eis_MA_I.xsd	Defines the elements for MA-I,MA-I-A and MA-I/A submission
eis_MA_I_DRP.xsd	Defines the elements for MA-I,MA-I-A and MA-I/A submission
eis_MA_W.xsd	Defines the elements for MA-W submission
eis_MA_Common_DRP.xsd	Defines the common elements for MA,MA-A and MA/A submission
eis.Common_DRP.xsd	Defined common DRP elements for all EDGAR submissions
eis_Common_MA.xsd	Defines the common elements for all EDGAR MA submissions
eis_Common.xsd	Defines the common elements for all EDGAR submissions
eis_stateCodes.xsd	Defines the codes for all the states for all EDGAR submissions

The schema files define the valid data elements for a MA submission, the hierarchy and sequencing of these elements, data types, valid values, maximum lengths, and number of occurrences, etc.

It is recommended that you download these files and use them for your own validation prior to filing a submission. This will greatly reduce the likelihood of receiving an EDGAR Suspend error. These schema files contain several annotations that describe the nature of the content of some of the elements.

EDGAR performs several validation checks that are not related to the schema files, so it is possible for a submission to meet all the schema constraints and still be SUSPENDED or BLOCKED. For instance, EDGAR verifies that each CIK/CCC pair is valid.

### 2.1 Schema Table Column Definitions

We assume the filer is familiar with the basics of the XML language, Namespaces, and the XML Schema Definition language. If not, you can find numerous references on the World Wide Web. One recommended website that provides several useful tutorials and examples is <http://www.w3schools.com/>.

The table below highlights the schema language features used most heavily in the EDGAR MA submission schema files. These are:

**Table 2-2: Schema Language**

Indicator	Purpose
<sequence>	Specifies that child elements must appear in a specific order. This indicator is used with each element hierarchy. Elements must always appear in the order shown in Section 3.4.



Indicator	Purpose
<choice>	Specifies that only one of the child elements can be present within the containing element.
<minOccurs>	Specifies the minimum number of times that an element can occur.
<maxOccurs>	Specifies the maximum number of times that an element can occur.

The default value for the <minOccurs> and <maxOccurs> indicator is 1. An optional field will have a <minOccurs> value of zero.

This excerpt is from the eis\_MA.xsd schema file and includes some of these indicators:

```
<xs:complexType name="NON_SCHEDULEABC_CONTROLLING_PERSON_FIRM">
  <xs:sequence>
    <xs:element name="nameOfFirm" type="ns2:STRING_256_TYPE"/>
    <xs:element name="maAdvisor" type="NON_SCHEDULEABC_FIRM_MAADVISOR"
minOccurs="0"/>
    <xs:element name="mSecDealer" type="NON_SCHEDULEABC_REG_TYPE_1"
minOccurs="0"/>
    <xs:element name="brokerDealer" type="NON_SCHEDULEABC_REG_TYPE_2"
minOccurs="0"/>
    <xs:element name="investmentAdvisor"
type="NON_SCHEDULEABC_FIRM_IA_REG" minOccurs="0"/>
    <xs:element name="gsBrokerDealer"
type="NON_SCHEDULEABC_REG_TYPE_4" minOccurs="0"/>
    <xs:element name="otherReg" type="NON_SCHEDULEABC_REG_TYPE_5"
minOccurs="0"/>
    <xs:element name="anotherReg" type="NON_SCHEDULEABC_REG_TYPE_6"
minOccurs="0"/>
  </xs:sequence>
</xs:complexType>
```

This is a sample of XML that conforms to the schema definition above:

```
<maRegistration>
  <com:fileNumber>866-12345</com:fileNumber>
</maRegistration>
<baseRegistrations>
  <baseRegistrations>
    <com:anotherRegistration>
      <com:description>12-0987654</com:description>
      <com:registrationId>32-0987654</com:registrationId>
    </com:anotherRegistration>
  </baseRegistrations>
</baseRegistrations>
</registrations>
<additionalRegistrations>
  <additionalRegistration>
    <nameAndRegistration>
      <com:name>New Registration Name</com:name>
      <com:registrationId>345876</com:registrationId>
    </nameAndRegistration>
  </additionalRegistration>
</additionalRegistrations>
<principalOfficeAddress>
  <addressInfo>
    <com:residentialAddressFlag>Y</com:residentialAddressFlag>
    <com:address>
      <com1:street1>123 MAIN STREET</com1:street1>
      <com1:city>ANYWHERE</com1:city>
      <com1:stateOrCountry>VA</com1:stateOrCountry>
```

### 3 XML SCHEMAS

This section summarizes the restrictions and constraints imposed on the content of the MA submission types. These rules are enforced by the schema files and by EDGAR submission processing applications.

The schema files cannot enforce all the rules concerning data content, so it is possible for a submission to satisfy all the schema constraints and still be SUSPENDED or BLOCKED by EDGAR.

#### 3.1 Schema Table Column Definitions

The following table defines the columns in the two schema summation tables provided in Sections 3.4:

**Table 3-1: Column Definitions for the Tables in Section 3-4**

Column Name	Description
Level	Depth of element in the XML node hierarchy.
Order	Order in which elements must appear.
Data Type	See Table in Section 3.2 for a detailed description.
Element Name	Name of the XML element. This is case-sensitive.
Max Length	Maximum length for elements. EDGAR database stores up to this number of characters. "Unlimited" means no maximum length constraint for the element value.
Data Value Constraint	The paragraph that describes the data value constraint for the element. The values specified are case sensitive.
Max Occur	Maximum times a set of elements may be repeated. The maximum occurrence is 1, if not specified.
Applicability of Element	Indicates applicability of the element. m = Mandatory o = Optional NA = Does not apply. EDGAR uses this element for server-side processing. Do not provide a value for this element.

### 3.2 Data Type Constraints

Each entry in the “Data Type” column of these tables will be one of these values:

Data Type	Constraints
Boolean	<p>Indicate a “true” value with either a “1” or the word “true.”</p> <p>Indicate a “false” value with either a “0” or the word “false.”</p> <p>A Boolean element may not have a null or blank value. This is a schema violation and will cause a SUSPENSE error.</p>
date	<p>Must be of the format MM-DD-YYYY. A date element may not have a null or blank value. This is a schema violation and will cause a SUSPENSE error. Do not include a date element at all if your goal is to not provide an optional date.</p>
integer	<p>Only valid characters are 0-9. Cannot contain commas, a minus sign, a dollar sign, or parentheses.</p> <p>An integer element may not have a null or blank value. This is a schema violation and will cause a SUSPENSE error.</p>
string	<p>Should be no longer than the length shown in the tables in Section 3.4.</p> <p>EDGAR stores values in the &lt;signatureName&gt;, and &lt;contactEmailAddress&gt; elements exactly as provided. All other values are stored in the database as uppercase.</p> <p>You must use special escape sequences to represent these four characters:</p> <p>For the &lt; character use the escape sequence “&amp;lt;” or “&amp;#60;”.</p> <p>For the &gt; character use the escape sequence “&amp;gt;” or “&amp;#62;”.</p> <p>For the &amp; character use the escape sequence “&amp;amp;” or “&amp;#38;”.</p> <p>For the “ character use the escape sequence “&amp;quot;” or “&amp;#34;”.</p>
NV	<p>These fields cannot have a value. They are parent element nodes that contain other XML elements. These elements need to be present for proper validation.</p>
attr	<p>Attribute property for an XML element.</p>

### 3.3 Applicability of Schema Elements

Each entry in the submission/document type column in Section 3.4 will have one of these values:

Value	Description
m	Mandatory.
m#	Conditional Mandatory. The element is mandatory if its parent element is included. The element cannot be included if its parent is not included.
m#1	Conditional Mandatory. The element is mandatory if specific values are provided for other elements.
m#2	This element is required for correct processing of the submission type.
o	Optional.
o#	Optional in the Schema. The value will be populated by EDGAR. Any value provided will be overwritten and a WARNING generated.
NA	Does not apply to submission type. EDGAR uses this field for server-side processing. Do not provide a value for this element.
blank	Does not apply to submission type.

### 3.4 Mapping of MA Submission Schemas to Submission Types

#### Mapping of MA and Variants Submission Schema to Submission Type

Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
1	1	NV	edgarSubmission			1	m	m	m
2	1	String	schemaVersion	5		1	o	o	o
2	2	NV	headerData			1	m	m	m
3	1	String	submissionType		<a href="#">SUBMISSION_TYPE</a>	1	m	m	m
3	2	NV	filerInfo			1	m	m	m
4	1	String	liveTestFlag			1	NA	NA	NA
4	2	NV	filer			1	m	m	m
5	1	String	filerId	10		1	m	m	m
5	2	String	filerCcc	8		1	m	m	m
5	3	String	filerFileNumber	17		1	o	o	o
4	3	NV	contact				o	o	o
5	1	String	name	30		1	o	o	o
5	2	String	phoneNumber	20		1	o	o	o
4	4	String	contactEmail	80		1	m	m	m
4	5	NV	notifications			1	o	o	o
5	1	String	internetNotificationAddress	80		unbounded	m	m	m
2	3	NV	formData			1	m	m	m
3	1	String	fiscalYearEnd			1	m#1	m#1	m#1
3	2	String	calYearEnd			1	m#1	m#1	m#1
3	3	String	hasChanges		<a href="#">YES_NO_TYPE</a>	1	o	o	o
3	4	String	firmName	150		1	m	m	m
3	5	String	firmCrdNumber	9		1	o	o	o
3	6	NV	soleProprietor			1	o	o	o
4	1	NV	name			1	NA	NA	NA
5	1	String	firstName	50		1	m#1	m#1	m#1
5	2	String	middleName	30		1	m#1	m#1	m#1
5	3	String	lastName	50		1	m#1	m#1	m#1
5	4	String	suffix	10		1	o	o	o
4	2	String	crdNumber	9		1	o	o	o
3	7	String	previousLegalName	256		1	o	o	o
3	8	String	dbaName	256		1	m#1	m#1	m#1
3	9	String	previousDbaNm	256		1	m#1	m#1	m#1
3	10	NV	businessConductedOtherNames			1	m#1	m#1	m#1
4	1	NV	businessConductedOtherName			100	m	m	m
5	1	NV	addDeleteAmend			1	m#1	m#1	m#1
6	1	String	add				m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
6	2	String	delete				m#1	m#1	m#1
6	3	String	amend				m#1	m#1	m#1
6	4	String	newAdd				m#1	m#1	m#1
5	2	String	name	256		1	m	m	m
5	3	String	jurisdictions	10K		1	m	m	m
3	11	String	irsNum	10		1	m#1	m#1	m#1
3	12	String	ssNum	11		1	m#1	m#1	m#1
3	13	NV	registrations			1	NA	NA	NA
4	1	NV	maTRegistration			1	m	m	m
5	1	String	fileNumber	17		1	m#1	m#1	m#1
4	2	NV	baseRegistrations			1	o	o	o
5	1	NV	maRegistration			1	o	o	o
6	1	String	fileNumber	17		1	o	o	o
5	2	NV	baseRegistrations			1	o	o	o
6	1	NV	msdRegistration			1	o	o	o
7	1	String	fileNumber	17		1	o	o	o
6	2	NV	bdRegistration			1	o	o	o
7	1	String	fileNumber	17		1	m	m	m
7	2	String	crdNumber	9		1	m	m	m
6	3	NV	iaRegistration			1	m#1	m#1	m#1
7	1	NV	nonExempt			1	m#1	m#1	m#1
8	1	NV	secRegistration			1	m#1	m#1	m#1
9	1	String	fileNumber	17			m#1	m#1	m#1
9	2	String	crdNumber	9			m#1	m#1	m#1
8	2	NV	stateRegistration			1	o	o	o
9	1	String	crdNumber	9		1	o	o	o
9	2	String	state		<a href="#">US IAR STATE TYPE</a>	53	m	m	m
7	2	NV	exemptAdvisor			1	m	m	m
8	1	String	fileNumber	17		1	m	m	m
8	2	String	crdNumber	9		1	m	m	m
6	4	NV	gsbdRegistration			1	o	o	o
7	1	String	fileNumber	17		1	m#1	m#1	m#1
7	2	String	bankId	256		1	o	o	o
6	5	NV	otherRegistration			1	o	o	o
7	1	String	description	256		1	m	m	m
7	2	String	fileNumber	17		1	o	o	o
7	3	String	cik	10		1	o	o	o
6	6	NV	anotherRegistration			1	o	o	o
7	1	String	description	256		1	m	m	m

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
7	2	String	registrationId	256		1	o	o	o
3	14	NV	additionalRegistrations				NA	NA	NA
4	1	NV	additionalRegistration			100	m	m	m
5	1	NV	nameAndRegistration				m	m	m
6	1	String	name	256			m	m	m
6	2	String	registrationId	256			m	m	m
3	15	NV	principalOfficeAddress			1	NA	NA	NA
4	1	NV	addressInfo			1	o	o	o
5	1	String	residentialAddressFlag		<a href="#">YES_NO_TYPE</a>	1	o	o	o
5	2	NV	address			1	m	m	m
6	1	String	street1	40		1	m	m	m
6	2	String	street2	40		1	o	o	o
6	3	String	city	30		1	m	m	m
6	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>	1	m	m	m
6	5	String	zipCode	10		1	m	m	m
4	2	String	phoneNumber	20		1	m	m	m
4	3	String	faxNumber	20		1	o	o	o
3	16	NV	additionalOffices				NA	NA	NA
4	1	NV	additionalOffice			5	m#1	m#1	m#1
5	1	NV	addDeleteAmend				NA	NA	NA
6	1	String	add				m#1	m#1	m#1
6	2	String	delete				m#1	m#1	m#1
6	3	String	amend				m#1	m#1	m#1
6	4	String	newAdd				m#1	m#1	m#1
5	2	NV	officeInfo				NA	NA	NA
6	1	NV	addressInfo				NA	NA	NA
7	1	String	residentialAddressFlag		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
7	2	NV	address				m#1	m#1	m#1
8	1	String	street1	40			m#1	m#1	m#1
8	2	String	street2	40			o	o	o
8	3	String	city	30			m#1	m#1	m#1
8	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>		m#1	m#1	m#1
8	5	String	zipCode	10			m#1	m#1	m#1
6	2	String	phoneNumber	20			m#1	m#1	m#1
6	3	String	faxNumber	20			o	o	o
3	17	String	mailingAddressDifferent		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
3	18	NV	mailingAddress				m#1	m#1	m#1
4	1	String	residentialAddressFlag		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
4	2	NV	address				m#1	m#1	m#1
5	1	String	street1	40			m#1	m#1	m#1
5	2	String	street2	40			o	o	o
5	3	String	city	30			m#1	m#1	m#1
5	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>		m#1	m#1	m#1
5	5	String	zipCode	10			m#1	m#1	m#1
3	19	String	primaryWebAddress	256			NA	NA	NA
3	20	NV	additionalWebAddresses				NA	NA	NA
4	1	NV	additionalWebAddress			50	m#1	m#1	m#1
5	1	NV	addDeleteAmend				m#1	m#1	m#1
6	1	String	add				m#1	m#1	m#1
6	2	String	delete				m#1	m#1	m#1
6	3	String	amend				m#1	m#1	m#1
6	4	String	newAdd				m#1	m#1	m#1
5	2	String	webAddress	256			o	o	o
3	21	NV	cco				m#1	m#1	m#1
4	1	NV	name				NA	NA	NA
5	1	String	firstName	50			m#1	m#1	m#1
5	2	String	middleName	30			m#1	m#1	m#1
5	3	String	lastName	50			m#1	m#1	m#1
5	4	String	suffix	10			m#1	m#1	m#1
4	2	NV	titles				o	o	o
5	1	String	title	150		10	o	o	o
4	3	NV	address				NA	NA	NA
5	1	String	residentialAddressFlag		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
5	2	NV	address				m#1	m#1	m#1
6	1	String	street1	40			m#1	m#1	m#1
6	2	String	street2	40			o	o	o
6	3	String	city	30			m#1	m#1	m#1
6	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>		m#1	m#1	m#1
6	5	String	zipCode	10			m#1	m#1	m#1
4	4	String	crdNumber	9			m#1	m#1	m#1
4	5	String	phoneNumber	20			m#1	m#1	m#1
4	6	String	faxNumber	20			o	o	o
4	7	String	email	80			o	o	o
3	22	NV	contactPerson				m#1	m#1	m#1
4	1	NV	name				m#1	m#1	m#1
5	1	String	firstName	50			m#1	m#1	m#1



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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
5	2	String	middleName	30			m#1	m#1	m#1
5	3	String	lastName	50			m#1	m#1	m#1
5	4	String	suffix	10			o	o	o
4	2	NV	titles				NA	NA	NA
5	1	String	title	150		10	o	o	o
4	3	NV	address				NA	NA	NA
5	1	String	residentialAddressFlag		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
5	2	NV	address				m#1	m#1	m#1
6	1	String	street1	40			m#1	m#1	m#1
6	2	String	street2	40			o	o	o
6	3	String	city	30			m#1	m#1	m#1
6	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>		m#1	m#1	m#1
6	5	String	zipCode	10			m#1	m#1	m#1
4	4	String	crdNumber	9			m#1	m#1	m#1
4	5	String	phoneNumber	20			m#1	m#1	m#1
4	6	String	faxNumber	20			o	o	o
4	7	String	email	80			o	o	o
3	23	NV	booksAndRecordsLocations				NA	NA	NA
4	1	NV	booksAndRecordsLocation			5	m	m	m
5	1	NV	type				m	m	m
6	1	String	add				m#1	m#1	m#1
6	2	String	delete				m#1	m#1	m#1
6	3	String	amend				m#1	m#1	m#1
6	4	String	newAdd				m#1	m#1	m#1
5	2	String	locationName	256			m#1	m#1	m#1
5	3	NV	contact				NA	NA	NA
6	1	NV	addressInfo				m#1	m#1	m#1
7	1	String	residentialAddressFlag		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
7	2	NV	address				m#1	m#1	m#1
8	1	String	street1	40			m#1	m#1	m#1
8	2	String	street2	40			o	o	o
8	3	String	city	30			m#1	m#1	m#1
8	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>		m#1	m#1	m#1
8	5	String	zipCode	10			m#1	m#1	m#1
6	2	String	phoneNumber	20			m#1	m#1	m#1
6	3	String	faxNumber	20			o	o	o
5	4	NV	locationType				NA	NA	NA
6	1	String	branchOrAffiliate				NA	NA	NA

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
6	2	String	third-party				m#1	m#1	m#1
6	3	String	other				m#1	m#1	m#1
5	5	String	description	256			m#1	m#1	m#1
3	24	NV	foreignRegulatoryAuthorityRegistrations				NA	NA	NA
4	1	NV	foreignRegulatoryAuthorityRegistration			100	m	m	m
5	1	NV	type				m#1	m#1	m#1
6	1	String	add				m#1	m#1	m#1
6	2	String	delete				m#1	m#1	m#1
6	3	String	amend				m#1	m#1	m#1
6	4	String	newAdd				m#1	m#1	m#1
5	2	String	englishFFRAName	256			m#1	m#1	m#1
5	3	String	foreignRegNumber	256			o	o	o
5	4	String	englishCountryName		<a href="#">NON US_COUNTRY_CODES</a>		m#1	m#1	m#1
3	25	NV	businessAffiliates				m#1	m#1	m#1
4	1	NV	businessAffiliate			100	m#1	m#1	m#1
5	1	String	affiliateName	256			m#1	m#1	m#1
5	2	String	hasApplicableRegistration		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
5	3	NV	applicableRegistration				NA	NA	NA
6	1	String	issuingAgencyName	256			m#1	m#1	m#1
6	2	String	registrationNumber	256			o	o	o
6	3	NV	jurisdiction				m#1	m#1	m#1
7	1	String	US_Federal				m#1	m#1	m#1
7	2	String	US_State				m#1	m#1	m#1
7	3	String	ForeignCountry				m#1	m#1	m#1
3	26	NV	formOfOrganization				m#1	m#1	m#1
4	1	NV	formOrgType				m#1	m#1	m#1
5	1	NV	formOrgTypes				m#1	m#1	m#1
6	1	String	Corporation				m#1	m#1	m#1
6	2	String	Sole_Proprietorship				m#1	m#1	m#1
6	3	String	LLP				m#1	m#1	m#1
6	4	String	Partnership				m#1	m#1	m#1
6	5	String	LLC				m#1	m#1	m#1
6	6	String	LP				m#1	m#1	m#1
6	7	String	Other				m#1	m#1	m#1
4	2	String	formOrgOtherDescription	256			m#1	m#1	m#1
3	27	String	monthOfFiscalYearEnd		<a href="#">MONTH_TYPE</a>		m#1	m#1	m#1
3	28	String	organizedJurisdiction				NA	NA	NA
4	1	String	stateOrCountry		<a href="#">STATE_COUNTRY_C</a>		m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
					<a href="#">ODE</a>				
3	29	String	dateOfOrganization	10			m	m	m
3	30	String	isPrcApplicant		<a href="#">YES_NO_TYPE</a>		m	m	m
3	31	String	prcCIK	10			m#1	m#1	m#1
3	32	NV	successionInfo				m	m	m
4	1	String	dateOfSuccession	10			m#1	m#1	m#1
4	2	NV	successions			100	NA	NA	NA
5	1	NV	baseRegistrations				m#1	m#1	m#1
6	1	NV	maRegistration				m#1	m#1	m#1
7	1	String	fileNumber	17			m#1	m#1	m#1
6	2	NV	baseRegistrations				m#1	m#1	m#1
7	1	NV	msdRegistration			1	o	o	o
8	1	String	fileNumber	17		1	o	o	o
7	2	NV	bdRegistration			1	o	o	o
8	1	String	fileNumber	17		1	m	m	m
8	2	String	crdNumber	9		1	m	m	m
7	3	NV	iaRegistration			1	o	o	o
8	1	NV	nonExempt			1	m	m	m
9	1	NV	secRegistration			1	o	o	o
10	1	String	fileNumber	17			m#1	m#1	m#1
10	2	String	crdNumber	9			m#1	m#1	m#1
9	2	NV	stateRegistration			1	o	o	o
10	1	String	crdNumber	9		1	m	m	m
10	2	String	state		<a href="#">US IAR STATE TYPE</a>	53	m	m	m
8	2	NV	exemptAdvisor			1	m	m	m
9	1	String	fileNumber	17		1	m	m	m
9	2	String	crdNumber	9		1	m	m	m
7	4	NV	gsbdRegistration			1	o	o	o
8	1	String	fileNumber	17		1	m	m	m
8	2	String	bankId	256		1	o	o	o
7	5	NV	otherRegistration			1	o	o	o
8	1	String	description	256		1	m	m	m
8	2	String	fileNumber	17		1	o	o	o
8	3	String	cik	10		1	o	o	o
7	6	NV	anotherRegistration			1	o	o	o
8	1	String	description	256			m#1	m#1	m#1
8	2	String	registrationId	256			m#1	m#1	m#1
5	2	String	nameOfFirm	256			m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
3	33	String	numberOfEmployees				m#1	m#1	m#1
3	34	String	numberOfAdditionalWebSites	50			m#1	m#1	m#1
3	35	String	employeesEngagedInMAA	10			m#1	m#1	m#1
3	36	String	maaEmployeesRegBD	10			m#1	m#1	m#1
3	37	String	maaRegIA	10			m#1	m#1	m#1
3	38	String	numberOfSolicitingFirms	10			m#1	m#1	m#1
3	39	NV	solicitingMACFirms				m#1	m#1	m#1
4	1	NV	solicitingMACFirm			unbounded	m#1	m#1	m#1
5	1	String	name	256			m#1	m#1	m#1
5	2	String	cik	10			o	o	o
5	3	String	crd	9			m#1	m#1	m#1
5	4	NV	contactInfo				m#1	m#1	m#1
6	1	NV	addressInfo				m#1	m#1	m#1
7	1	String	residentialAddressFlag		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
7	2	NV	address				m#1	m#1	m#1
8	1	String	street1	40			m#1	m#1	m#1
8	2	String	street2	40			o	o	o
8	3	String	city	30			m#1	m#1	m#1
8	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>		m#1	m#1	m#1
8	5	String	zipCode	10			m#1	m#1	m#1
6	2	String	phoneNumber	20			m#1	m#1	m#1
6	3	String	faxNumber	20			o	o	o
3	40	String	numberOfIndBusinessEmployees	10			m#1	m#1	m#1
3	41	NV	independentBusinessEmployees				m#1	m#1	m#1
4	1	NV	independentBusinessEmployee			100	m#1	m#1	m#1
5	1	NV	name				m#1	m#1	m#1
6	1	String	firstName	50			m#1	m#1	m#1
6	2	String	middleName	30			m#1	m#1	m#1
6	3	String	lastName	50			m#1	m#1	m#1
5	2	String	cik	10			o	o	o
5	3	String	crd	9			o	o	o
5	4	NV	contactInfo				m#1	m#1	m#1
6	1	NV	addressInfo				m#1	m#1	m#1
7	1	String	residentialAddressFlag		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
7	2	NV	address				m#1	m#1	m#1
8	1	String	street1	40			m#1	m#1	m#1
8	2	String	street2	40			o	o	o
8	3	String	city	30			m#1	m#1	m#1
8	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>		m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
					<a href="#">ODE</a>				
8	5	String	zipCode	10			m#1	m#1	m#1
6	2	String	phoneNumber	20			m#1	m#1	m#1
6	3	String	faxNumber	20			o	o	o
3	42	String	clientsServedAsMA	10			m#1	m#1	m#1
3	43	NV	typesOfClients				m#	m#	m#
4	1	NV	typesOfClients				m	m	m
5	1	String	clientTypes		<a href="#">CLIENT_TYPE</a>	5	m#1	m#1	m#1
4	2	String	typesOfClientsOther	256			m#1	m#1	m#1
3	44	String	numberOfSolicitedME	10			NA	NA	NA
3	45	String	numberOfSolicitedOP	10			m#1	m#1	m#1
3	46	String	totalNumberOfSolicitedMEAndOP	10			m#1	m#1	m#1
3	47	NV	typesOfSolicitedPersons				m	m	m
4	1	NV	solicitationPersonTypes				NA	NA	NA
5	1	String	solicitationPersonTypes		<a href="#">SOLICITATION_PERSON_TYPE</a>	8	m#	m#	m#
4	2	String	spOtherDescription	256			m#1	m#1	m#1
3	48	NV	meOrOPCompensationTypes				NA	NA	NA
4	1	NV	compensationTypes			6	NA	NA	NA
5	1	NV	compensationTypes		<a href="#">COMPENSATION_TYPE</a>		m	m	m
4	2	String	COMP_TYPE_OTHER_DESCRIPTION	256			m#1	m#1	m#1
3	49	NV	solicitationCompensationTypes				m#1	m#1	m#1
4	1	NV	compensationTypes				m#1	m#1	m#1
5	1	NV	compensationTypes		<a href="#">COMPENSATION_TYPE</a>	6	m#1	m#1	m#1
4	2	String	COMP_TYPE_OTHER_DESCRIPTION	256			m#1	m#1	m#1
3	50	String	receiveCompensationForMAAFromOtherClientsExplanation	10000			m#1	m#1	m#1
3	51	NV	engagedActivities				m#1	m#1	m#1
4	1	NV	engagedActivityTypes				m#1	m#1	m#1
5	1	String	engagedActivityType		<a href="#">ENGAGED_ACTIVITY_TYPE</a>	11	m	m	m
4	2	String	eaTypeOtherDescription	10000			m#1	m#1	m#1
3	52	NV	otherActivities				NA	NA	NA
4	1	NV	brokerDealers				o	o	o
5	1	String	isActivelyEngaged		<a href="#">YES_NO_TYPE</a>		o	o	o
5	2	String	isPrimaryBusiness		<a href="#">YES_NO_TYPE</a>		o	o	o
4	2	NV	registeredBrokerDealerReps				o	o	o
5	1	String	isActivelyEngaged		<a href="#">YES_NO_TYPE</a>		o	o	o
5	2	String	isPrimaryBusiness		<a href="#">YES_NO_TYPE</a>		o	o	o

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
4	3	NV	commodityPoolOperator				0	0	0
5	1	String	isActivelyEngaged		<a href="#">YES_NO_TYPE</a>		0	0	0
5	2	String	isPrimaryBusiness		<a href="#">YES_NO_TYPE</a>		0	0	0
4	4	NV	commodityTA				0	0	0
5	1	String	isActivelyEngaged		<a href="#">YES_NO_TYPE</a>		0	0	0
5	2	String	isPrimaryBusiness		<a href="#">YES_NO_TYPE</a>		0	0	0
4	5	NV	futuresCommissionMerchant				0	0	0
5	1	String	isActivelyEngaged		<a href="#">YES_NO_TYPE</a>		0	0	0
5	2	String	isPrimaryBusiness		<a href="#">YES_NO_TYPE</a>		0	0	0
4	6	NV	majorSwapParticipant				0	0	0
5	1	String	isActivelyEngaged		<a href="#">YES_NO_TYPE</a>		0	0	0
5	2	String	isPrimaryBusiness		<a href="#">YES_NO_TYPE</a>		0	0	0
4	7	NV	majorSBSwapParticipant				0	0	0
5	1	String	isActivelyEngaged		<a href="#">YES_NO_TYPE</a>		0	0	0
5	2	String	isPrimaryBusiness		<a href="#">YES_NO_TYPE</a>		0	0	0
4	8	NV	swapDealers				0	0	0
5	1	String	isActivelyEngaged		<a href="#">YES_NO_TYPE</a>		0	0	0
5	2	String	isPrimaryBusiness		<a href="#">YES_NO_TYPE</a>		0	0	0
4	9	NV	swapDealersSecurityBased				0	0	0
5	1	String	isActivelyEngaged		<a href="#">YES_NO_TYPE</a>		0	0	0
5	2	String	isPrimaryBusiness		<a href="#">YES_NO_TYPE</a>		0	0	0
4	10	NV	trustCompany				0	0	0
5	1	String	isActivelyEngaged		<a href="#">YES_NO_TYPE</a>		0	0	0
5	2	String	isPrimaryBusiness		<a href="#">YES_NO_TYPE</a>		0	0	0
4	11	NV	realEstate				0	0	0
5	1	String	isActivelyEngaged		<a href="#">YES_NO_TYPE</a>		0	0	0
5	2	String	isPrimaryBusiness		<a href="#">YES_NO_TYPE</a>		0	0	0
4	12	NV	insurance				0	0	0
5	1	String	isActivelyEngaged		<a href="#">YES_NO_TYPE</a>		0	0	0
5	2	String	isPrimaryBusiness		<a href="#">YES_NO_TYPE</a>		0	0	0
4	13	NV	bankingThrift				0	0	0
5	1	String	isActivelyEngaged		<a href="#">YES_NO_TYPE</a>		0	0	0
5	2	String	isPrimaryBusiness		<a href="#">YES_NO_TYPE</a>		0	0	0
4	14	NV	investmentAdvisor				0	0	0
5	1	String	isActivelyEngaged		<a href="#">YES_NO_TYPE</a>		0	0	0
5	2	String	isPrimaryBusiness		<a href="#">YES_NO_TYPE</a>		0	0	0
4	15	NV	lawyers				0	0	0
5	1	NV	activelyEngagedPrimaryBusiness				0	0	0
6	1	String	isActivelyEngaged		<a href="#">YES_NO_TYPE</a>		0	0	0

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
6	2	String	isPrimaryBusiness		<a href="#">YES_NO_TYPE</a>		o	o	o
5	2	String	licensedJurisdictions	10000			o	o	o
4	16	NV	accounting				o	o	o
5	1	NV	activelyEngagedPrimaryBusiness				o	o	o
6	1	String	isActivelyEngaged		<a href="#">YES_NO_TYPE</a>		o	o	o
6	2	String	isPrimaryBusiness		<a href="#">YES_NO_TYPE</a>		o	o	o
5	2	String	licensedJurisdictions	10000			m#1	m#1	m#1
4	17	NV	engineering				o	o	o
5	1	String	isActivelyEngaged		<a href="#">YES_NO_TYPE</a>		o	o	o
5	2	String	isPrimaryBusiness		<a href="#">YES_NO_TYPE</a>		o	o	o
4	18	NV	otherFinancialProductAdvisor				o	o	o
5	1	NV	activelyEngagedPrimaryBusiness				o	o	o
6	1	String	isActivelyEngaged		<a href="#">YES_NO_TYPE</a>		o	o	o
6	2	String	isPrimaryBusiness		<a href="#">YES_NO_TYPE</a>		o	o	o
5	2	String	licensedJurisdictions	10000			o	o	o
3	53	String	isEngagedInOtherNonMAABusiness		<a href="#">YES_NO_TYPE</a>		NA	NA	NA
3	54	String	otherNonMAABusinessPrimaryDescription	10000			o	o	o
3	55	NV	fiaAssociatedPersonsTypes				o	o	o
4	1	String	fiaAPTtypes		<a href="#">FIA_ASSOCIATED_PERSON_TYPE</a>	20	o	o	o
3	56	String	totalFIAAssociatedPersons	10			m#1	m#1	m#1
3	57	NV	fiaAssociatedPersons				NA	NA	NA
4	1	NV	fiaAssociatedPerson			unbounded	m#1	m#1	m#1
5	1	NV	type				m#1	m#1	m#1
6	1	String	add				m#1	m#1	m#1
6	2	String	delete				m#1	m#1	m#1
6	3	String	amend				m#1	m#1	m#1
6	4	String	newAdd				m#1	m#1	m#1
5	2	NV	apTypes				m#1	m#1	m#1
6	1	String	fiaAPTtypes		<a href="#">FIA_ASSOCIATED_PERSON_TYPE</a>	20	m#1	m#1	m#1
5	3	String	legalName	256			m#1	m#1	m#1
5	4	String	primaryBusinessName	256			m#1	m#1	m#1
5	5	NV	otherMunicipalAdviser				m	m	m
6	1	String	isControlOrControlled		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
6	2	String	isUnderCommonControl		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
6	3	String	isRegisteredWithFFRA		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
6	4	NV	ffraRegistrations				m#1	m#1	m#1
7	1	NV	ffraRegistration			100	m#1	m#1	m#1
8	1	String	name				m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
8	2	String	registrationNum				o	o	o
8	3	String	country		<a href="#">NON US COUNTRY CODES</a>		m#1	m#1	m#1
3	58	NV	participationInterestMACT				m#1	m#1	m#1
4	1	String	mactBuySellFromClients		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	2	String	mactBuySellRecommendToClients		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	3	String	mactEnterDerivativesWithClients		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	4	String	mactRecommendOwnedInterestToClients		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	5	String	mactRecommendToClientsServing		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	6	String	mactRecommendToClientsHavingOtherSalesInterest		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	7	String	mactDiscAuthBuySellAsMAA		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	8	String	mactDiscAuthBuySell		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	9	String	mactDiscAuthDetermineBrokerDealer		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	10	String	mactDiscAuthDetermineBrokerDealerAreAP		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	11	String	mactDiscAuthDetermineCommissionToBrokerDealer		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	12	String	mactRecommendBrokerDealerToClient		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	13	String	mactRecommendBrokerDealerToClientAreAP		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	14	String	mactCompensateForReferrals		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	15	String	mactReceiveCompensationForReferrals		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
3	59	NV	nonScheduleABCControllingPersons				m#1	m#1	m#1
4	1	NV	nonScheduleABCControllingPerson			100	m#1	m#1	m#1
5	1	NV	type				m#1	m#1	m#1
6	1	String	add				m#1	m#1	m#1
6	2	String	delete				m#1	m#1	m#1
6	3	String	amend				m#1	m#1	m#1
6	4	String	newAdd				m#1	m#1	m#1
5	2	NV	nonScheduleABCControlPersonFirm				m#1	m#1	m#1
6	1	String	nameOfFirm	256			m#1	m#1	m#1
6	2	NV	maAdvisor				m#1	m#1	m#1
7	1	NV	ma				m#1	m#1	m#1
8	1	NV	regInfo				m#1	m#1	m#1
9	1	String	fileNumber	17			m#1	m#1	m#1
8	2	NV	dateInfo				m#1	m#1	m#1
9	1	String	effectiveDate	10			m#1	m#1	m#1
9	2	String	terminationDate	10			o	o	o
7	2	NV	maRegistration				m#1	m#1	m#1



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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
8	1	NV	regInfo				m#1	m#1	m#1
9	1	String	fileNumber	17			m#1	m#1	m#1
8	2	NV	dateInfo				m#1	m#1	m#1
9	1	String	effectiveDate	10			m#1	m#1	m#1
9	2	String	terminationDate	10			o	o	o
6	3	NV	mSecDealer				m#1	m#1	m#1
7	1	NV	regInfo				m#1	m#1	m#1
8	1	String	fileNumber	17			m#1	m#1	m#1
7	2	NV	dateInfo				m#1	m#1	m#1
8	1	String	effectiveDate	10			m#1	m#1	m#1
	82	String	terminationDate	10			o	o	o
6	4	NV	brokerDealer				m#1	m#1	m#1
7	1	NV	regInfo				m#1	m#1	m#1
8	1	String	fileNumber	17			m#1	m#1	m#1
8	2	String	crdNumber	9			m#1	m#1	m#1
7	2	NV	dateInfo				m#1	m#1	m#1
8	1	String	effectiveDate	10			m#1	m#1	m#1
8	2	String	terminationDate	10			o	o	o
6	5	NV	investmentAdvisor				m#1	m#1	m#1
7	1	NV	sec				m#1	m#1	m#1
8	1	NV	regInfo				m#1	m#1	m#1
9	1	String	fileNumber	17			m#1	m#1	m#1
9	2	String	crdNumber	9			m#1	m#1	m#1
8	2	NV	dateInfo				m#1	m#1	m#1
9	1	String	effectiveDate	10			m#1	m#1	m#1
9	2	String	terminationDate	10			o	o	o
7	2	NV	state				m#1	m#1	m#1
8	1	NV	regInfo				m#1	m#1	m#1
9	1	String	crdNumber	9			m#1	m#1	m#1
9	2	String	state		<a href="#">US IAR STATE TYPE</a>		m#1	m#1	m#1
8	2	NV	dateInfo			53	m#1	m#1	m#1
9	1	String	effectiveDate	10			m#1	m#1	m#1
9	2	String	terminationDate	10			o	o	o
7	3	NV	exemptAdvisor				m#1	m#1	m#1
8	1	NV	regInfo				m#1	m#1	m#1
9	1	String	fileNumber	17			m#1	m#1	m#1
9	2	String	crdNumber	9			m#1	m#1	m#1
8	2	NV	dateInfo				m#1	m#1	m#1
9	1	String	effectiveDate	10			m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
9	2	String	terminationDate	10			o	o	o
6	6	NV	gsBrokerDealer				m#1	m#1	m#1
7	1	NV	regInfo				m#1	m#1	m#1
8	1	String	fileNumber	17			m#1	m#1	m#1
8	2	String	bankId	256			m#1	m#1	m#1
7	2	NV	dateInfo				m#1	m#1	m#1
8	1	String	effectiveDate	10			m#1	m#1	m#1
8	2	String	terminationDate	10			o	o	o
6	7	NV	otherReg				m#1	m#1	m#1
7	1	NV	regInfo				m#1	m#1	m#1
8	1	String	description	256			m#1	m#1	m#1
8	2	String	fileNumber	17			m#1	m#1	m#1
8	3	String	cik	10			m#1	m#1	m#1
7	2	NV	dateInfo				m#1	m#1	m#1
8	1	String	effectiveDate	10			m#1	m#1	m#1
8	2	String	terminationDate	10			o	o	o
6	8	NV	anotherReg				m#1	m#1	m#1
7	1	NV	regInfo				m#1	m#1	m#1
8	1	String	description	256			m#1	m#1	m#1
8	2	String	registrationId	256			m#1	m#1	m#1
7	2	NV	dateInfo				m#1	m#1	m#1
8	1	String	effectiveDate	10			m#1	m#1	m#1
8	2	String	terminationDate	10			o	o	o
5	3	NV	nonScheduleABCCControlPersonNatural				m#1	m#1	m#1
6	1	NV	name				m#1	m#1	m#1
7	1	String	firstName	50			m#1	m#1	m#1
7	2	String	middleName	30			m#1	m#1	m#1
7	3	String	lastName	50			m#1	m#1	m#1
6	2	String	cik	10			m#1	m#1	m#1
6	3	String	crd	9			m#1	m#1	m#1
6	4	NV	dateInfo				m#1	m#1	m#1
7	1	String	effectiveDate	10			m#1	m#1	m#1
7	2	String	terminationDate	10			o	o	o
5	4	String	description	10000			m#1	m#1	m#1
5	5	NV	contact				m#1	m#1	m#1
6	1	NV	addressInfo				m#1	m#1	m#1
7	1	String	residentialAddressFlag		YES_NO_TYPE		m#1	m#1	m#1
7	2	NV	address				m#1	m#1	m#1
8	1	String	street1	40			m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
8	2	String	street2	40			m#1	m#1	m#1
8	3	String	city	30			m#1	m#1	m#1
8	4	String	stateOrCountry				m#1	m#1	m#1
8	5	String	zipCode	10			m#1	m#1	m#1
6	2	String	phoneNumber	20			m#1	m#1	m#1
	63	String	faxNumber	20			m#1	m#1	m#1
3	60	NV	section1215DPublicReportingCompanies				m#1	m#1	m#1
4	1	NV	section1215DPublicReportingCompany			200	m#1	m#1	m#1
4	1	String	name	256			m#1	m#1	m#1
4	2	String	cik	10			m#1	m#1	m#1
4	3	NV	reportingSchedules				m#1	m#1	m#1
5	1	NV	reportingSchedule		<a href="#">SECTION 12 15D REPORTING SCHEDULE</a>	5	m#1	m#1	m#1
3	61	String	miscellaneous	10000			m#1	m#1	m#1
3	62	NV	disclosureAnswers				NA	NA	NA
4	1	NV	criminalDisclosure				NA	NA	NA
5	1	String	isConvictedOfFelony		<a href="#">YES_NO_TYPE</a>		m	m	m
5	2	String	isChargedWithFelony		<a href="#">YES_NO_TYPE</a>		m	m	m
5	3	String	isOrgConvictedOfFelony		<a href="#">YES_NO_TYPE</a>		m	m	m
5	4	String	isOrgChargedWithFelony		<a href="#">YES_NO_TYPE</a>		m	m	m
4	2	NV	regulatoryDisclosure				NA	NA	NA
5	1	String	isMadeFalseStatement		<a href="#">YES_NO_TYPE</a>		m	m	m
5	2	String	isViolatedRegulation		<a href="#">YES_NO_TYPE</a>		m	m	m
5	3	String	isCauseOfDenial		<a href="#">YES_NO_TYPE</a>		m	m	m
5	4	String	isOrderAgainst		<a href="#">YES_NO_TYPE</a>		m	m	m
5	5	String	isImposedPenalty		<a href="#">YES_NO_TYPE</a>		m	m	m
5	6	String	isUnEthical		<a href="#">YES_NO_TYPE</a>		m	m	m
5	7	String	isFoundInViolationOfRegulation		<a href="#">YES_NO_TYPE</a>		m	m	m
5	8	String	isFoundInCauseOfDenial		<a href="#">YES_NO_TYPE</a>		m	m	m
5	9	String	isOrderAgainstActivity		<a href="#">YES_NO_TYPE</a>		m	m	m
5	10	String	isDeniedLicense		<a href="#">YES_NO_TYPE</a>		m	m	m
5	11	String	isFoundMadeFalseStatement		<a href="#">YES_NO_TYPE</a>		m	m	m
5	12	String	isFoundInViolationOfRules		<a href="#">YES_NO_TYPE</a>		m	m	m
5	13	String	isFoundInCauseOfSuspension		<a href="#">YES_NO_TYPE</a>		m	m	m
5	14	String	isDisciplined		<a href="#">YES_NO_TYPE</a>		m	m	m
5	15	String	isAuthorizedToActAttorney		<a href="#">YES_NO_TYPE</a>		m	m	m
5	16	String	isRegulatoryComplaint		<a href="#">YES_NO_TYPE</a>		m	m	m
4	3	NV	civilDisclosure				NA	NA	NA

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
5	1	String	isEnjoined		<a href="#">YES_NO_TYPE</a>		m	m	m
5	2	String	isFoundInViolationOfRegulation		<a href="#">YES_NO_TYPE</a>		m	m	m
5	3	String	isDismissed		<a href="#">YES_NO_TYPE</a>		m	m	m
5	4	String	isNamedInCivilProceeding		<a href="#">YES_NO_TYPE</a>		m	m	m
3	63	String	hasAnnualReceiptsLessThan7Million		<a href="#">YES_NO_TYPE</a>		m	m	m
3	64	String	isAffiliatedWithReceiptsMoreThan7Million		<a href="#">YES_NO_TYPE</a>		m	m	m
3	65	NV	scheduleA				m#	m#	m#
4	1	NV	businesses				m#1	m#1	m#1
5	1	NV	business			200	o	o	o
6	1	String	name	256			m#1	m#1	m#1
6	2	String	controPersonPR		<a href="#">YES_NO_TYPE</a>		o	o	o
6	3	String	emplIDNumber	256			m#1	m#1	m#1
6	4	String	deFeNp				o	o	o
7	1	String	de				m#1	m#1	m#1
7	2	String	fe				m#1	m#1	m#1
6	5	String	irsNum	10			m#1	m#1	m#1
6	6	NV	baseInformation				m#1	m#1	m#1
7	1	String	titleStatus	256			m#1	m#1	m#1
7	2	String	statusAcquired	10			m#1	m#1	m#1
7	3	String	ownershipCode		<a href="#">OWNERSHIP_CODE</a>		m#1	m#1	m#1
7	4	String	isControPerson		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
7	5	String	crdNumber	9			m#1	m#1	m#1
7	6	String	foreignBusIdNo	256			m#1	m#1	m#1
4	2	NV	persons				m#1	m#1	m#1
5	1	NV	person			200	m#1	m#1	m#1
6	1	NV	name				m#1	m#1	m#1
7	1	String	firstName	50			m#1	m#1	m#1
7	2	String	middleName	30			m#1	m#1	m#1
7	3	String	lastName	50			m#1	m#1	m#1
6	2	String	dob	10			m#1	m#1	m#1
6	3	String	ssNum	11			m#1	m#1	m#1
6	4	NV	baseInformation				m#1	m#1	m#1
7	1	String	titleStatus	256			m#1	m#1	m#1
7	2	String	statusAcquired	10			m#1	m#1	m#1
7	3	String	ownershipCode		<a href="#">OWNERSHIP_CODE</a>		m#1	m#1	m#1
7	4	String	isControPerson		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
7	5	String	crdNumber	9			m#1	m#1	m#1
7	6	String	foreignBusIdNo	256			o	o	o
3	66	NV	scheduleB				m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
4	1	NV	businesses				NA	NA	NA
5	5	NV	business			200	NA	NA	NA
6	1	String	owningEntity	256			m#1	m#1	m#1
6	2	NV	baseInfo				m#1	m#1	m#1
6	1	String	name	256			m#1	m#1	m#1
6	2	String	controPersonPR		<a href="#">YES_NO_TYPE</a>		o	o	o
6	3	String	emplDNumber	256			m#1	m#1	m#1
6	4	String	deFeNp				m#1	m#1	m#1
7	1	String	de				m#1	m#1	m#1
7	2	String	fe				m#1	m#1	m#1
6	5	String	irsNum	10			m#1	m#1	m#1
6	6	NV	baseInformation				m#1	m#1	m#1
7	1	String	titleStatus	256			m#1	m#1	m#1
7	2	String	statusAcquired	10			m#1	m#1	m#1
7	3	String	ownershipCode		<a href="#">OWNERSHIP_CODE</a>		m#1	m#1	m#1
7	4	String	isControPerson		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
7	5	String	crdNumber	9			m#1	m#1	m#1
7	6	String	foreignBusIdNo	256			o	o	o
4	2	NV	persons				m#1	m#1	m#1
5	1	NV	person			200	m#1	m#1	m#1
6	1	String	owningEntity	256			m#1	m#1	m#1
6	2	NV	baseInfo				m#1	m#1	m#1
6	1	NV	name				m#1	m#1	m#1
7	1	String	firstName	50			m#1	m#1	m#1
7	2	String	middleName	30			m#1	m#1	m#1
7	3	String	lastName	50			m#1	m#1	m#1
6	2	String	dob	10			m#1	m#1	m#1
6	3	String	ssNum	9			m#1	m#1	m#1
6	4	NV	baseInformation				m#1	m#1	m#1
7	1	String	titleStatus	256			m#1	m#1	m#1
7	2	String	statusAcquired	10			m#1	m#1	m#1
7	3	String	ownershipCode		<a href="#">OWNERSHIP_CODE</a>		m#1	m#1	m#1
7	4	String	isControPerson		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
7	5	String	crdNumber	9			m#1	m#1	m#1
7	6	String	foreignBusIdNo	256			o	o	o
3	67	NV	scheduleC				o	o	o
4	1	NV	directBusinesses				m#1	m#1	m#1
5	1	NV	business			200	m#1	m#1	m#1
6	1	NV	type				m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
7	1	String	add				m#1	m#1	m#1
7	2	String	delete				m#1	m#1	m#1
7	3	String	amend				m#1	m#1	m#1
7	4	String	newAdd				m#1	m#1	m#1
6	2	NV	baseInformation				m#1	m#1	m#1
7	1	String	name	256			m#1	m#1	m#1
7	2	String	controPersonPR		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
7	3	String	emplIDNumber	256			m#1	m#1	m#1
7	4	String	deFeNp				m#1	m#1	m#1
8	1	String	de				m#1	m#1	m#1
8	2	String	fe				m#1	m#1	m#1
7	5	String	irsNum	10			m#1	m#1	m#1
7	6	NV	baseInformation				m#1	m#1	m#1
8	1	String	titleStatus	256			m#1	m#1	m#1
8	2	String	statusAcquired	10			m#1	m#1	m#1
8	3	String	ownershipCode		<a href="#">OWNERSHIP_CODE</a>		m#1	m#1	m#1
8	4	String	isControPerson		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
8	5	String	crdNumber	9			m#1	m#1	m#1
8	6	String	foreignBusIdNo	256			o	o	o
4	2	NV	directPersons				m#1	m#1	m#1
5	1	NV	person			200	m#1	m#1	m#1
6	1	NV	type				m#1	m#1	m#1
7	1	String	add				m#1	m#1	m#1
7	2	String	delete				m#1	m#1	m#1
7	3	String	amend				m#1	m#1	m#1
7	4	String	newAdd				m#1	m#1	m#1
6	2	NV	baseInformation				m#1	m#1	m#1
6	1	NV	name				m#1	m#1	m#1
7	1	String	firstName	50			m#1	m#1	m#1
7	2	String	middleName	30			m#1	m#1	m#1
7	3	String	lastName	50			m#1	m#1	m#1
6	2	String	dob	10			m#1	m#1	m#1
6	3	String	ssNum	11			m#1	m#1	m#1
6	4	NV	baseInformation				m#1	m#1	m#1
7	1	String	titleStatus	256			m#1	m#1	m#1
7	2	String	statusAcquired	10			m#1	m#1	m#1
7	3	String	ownershipCode		<a href="#">OWNERSHIP_CODE</a>		m#1	m#1	m#1
7	4	String	isControPerson		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
7	5	String	crdNumber	9			m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
7	6	String	foreignBusIdNo	256			m#1	m#1	m#1
4	3	NV	indirectBusinesses				o	o	o
5	1	NV	business			200	o	o	o
6	1	NV	type				m#1	m#1	m#1
7	1	String	add				m#1	m#1	m#1
7	2	String	delete				m#1	m#1	m#1
7	3	String	amend				m#1	m#1	m#1
7	4	String	newAdd				m#1	m#1	m#1
6	2	NV	baseInformation				m#1	m#1	m#1
7	1	String	owningEntity	256			m#1	m#1	m#1
7	2	NV	baseInfo				m#1	m#1	m#1
6	1	String	name	256			m#1	m#1	m#1
6	2	String	controPersonPR		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
6	3	String	emplIDNumber	256			m#1	m#1	m#1
6	4	String	deFeNp				m#1	m#1	m#1
7	1	String	de				m#1	m#1	m#1
7	2	String	fe				m#1	m#1	m#1
6	5	String	irsNum	10			m#1	m#1	m#1
6	6	NV	baseInformation				m#1	m#1	m#1
7	1	String	titleStatus	256			m#1	m#1	m#1
7	2	String	statusAcquired	10			m#1	m#1	m#1
7	3	String	ownershipCode		<a href="#">OWNERSHIP_CODE</a>		m#1	m#1	m#1
7	4	String	isControPerson		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
7	5	String	crdNumber	9			m#1	m#1	m#1
7	6	String	foreignBusIdNo	256			o	o	o
4	4	NV	indirectPersons				m#1	m#1	m#1
5	1	NV	person			200	m#1	m#1	m#1
6	1	NV	type				m#1	m#1	m#1
7	1	String	add				m#1	m#1	m#1
7	2	String	delete				m#1	m#1	m#1
7	3	String	amend				m#1	m#1	m#1
7	4	String	newAdd				m#1	m#1	m#1
6	2	NV	baseInformation				m#1	m#1	m#1
7	1	String	owningEntity	256			m#1	m#1	m#1
7	2	NV	baseInfo				m#1	m#1	m#1
6	1	NV	name				m#1	m#1	m#1
7	1	String	firstName	50			m#1	m#1	m#1
7	2	String	middleName	30			m#1	m#1	m#1
7	3	String	lastName	50			m#1	m#1	m#1

## DRAFT

Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
6	2	String	dob	10			m#1	m#1	m#1
6	3	String	ssNum	9			m#1	m#1	m#1
6	4	NV	baseInformation				m#1	m#1	m#1
7	1	String	titleStatus	256			m#1	m#1	m#1
7	2	String	statusAcquired	10			m#1	m#1	m#1
7	3	String	ownershipCode		<a href="#">OWNERSHIP_CODE</a>		m#1	m#1	m#1
7	4	String	isControPerson		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
7	5	String	crdNumber	9			m#1	m#1	m#1
7	6	String	foreignBusIdNo	256			o	o	o
3	68	NV	drplInfo				m#1	m#1	m#1
4	1	NV	criminalDisclosure			1	m#1	m#1	m#1
5	1	NV	criminalDrp			1000	m#1	m#1	m#1
6	1	NV	headerData			1	m#1	m#1	m#1
7	1	NV	baseHeader			1	m#1	m#1	m#1
8	1	NV	type			1	m#1	m#1	m#1
9	1	String	add			1	m#1	m#1	m#1
9	2	String	amend			1	m#1	m#1	m#1
8	2	String	apDrpFiledCount	10		1	m#1	m#1	m#1
8	3	NV	drpFor			1	m#1	m#1	m#1
9	1	String	applicant			1	m#1	m#1	m#1
9	2	String	applicantAndAP			1	m#1	m#1	m#1
9	3	String	ap			1	m#1	m#1	m#1
7	2	NV	respondingTo			1	m#1	m#1	m#1
8	1	String	responseQuestion		<a href="#">CRIMINAL_RESPON DING TO TYPE</a>	4	m#1	m#1	m#1
6	2	NV	applicantInfo			1	m#1	m#1	m#1
7	1	NV	drplInfo			1	m#1	m#1	m#1
8	1	NV	filedDisclosure			1	m#1	m#1	m#1
9	1	NV	advBDU4Filing			1	m#1	m#1	m#1
10	1	String	name	256		1	m#1	m#1	m#1
10	2	String	crdNumber	9		1	o	o	o
10	3	String	disclosureNumber	256		1	m#1	m#1	m#1
9	2	NV	maFiling			1	m#1	m#1	m#1
10	1	String	name	256		1	m#1	m#1	m#1
10	2	String	regNumber	17		1	m#1	m#1	m#1
10	3	String	drpDate	10		1	m#1	m#1	m#1
10	4	String	accessionNumber	20		1	m#1	m#1	m#1
9	3	NV	matFiling			1	m#1	m#1	m#1
10	1	String	name	256		1	m#1	m#1	m#1
10	2	String	regNumber	17		1	m#1	m#1	m#1



## DRAFT

Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
10	3	String	date	10		1	m#1	m#1	m#1
9	4	NV	maiFiling			1	m#1	m#1	m#1
10	1	String	name	256		1	m#1	m#1	m#1
10	2	String	regNumber	17		1	m#1	m#1	m#1
10	3	String	drpDate	10		1	m#1	m#1	m#1
10	4	String	accessionNumber	20		1	m#1	m#1	m#1
8	2	NV	detailedDisclosure			1	m#1	m#1	m#1
9	1	NV	eventDRP			1	m#1	m#1	m#1
10	1	NV	event			1	m#1	m#1	m#1
11	1	String	chargesBroughtAgainstControlled		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
11	2	NV	chargedControlInfo			1	m#1	m#1	m#1
12	1	String	name	256		1	m#1	m#1	m#1
12	2	String	isEngagedInMARBusiness		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
12	3	String	relationshipToCharged	10000		1	m#1	m#1	m#1
11	3	String	hasFelonyCharges		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
11	4	NV	court			1	m#1	m#1	m#1
12	1	NV	criminalCourt			1	m#1	m#1	m#1
13	1	String	fedCourt			1	m#1	m#1	m#1
13	2	String	milCourt			1	m#1	m#1	m#1
13	3	String	stateCourt			1	m#1	m#1	m#1
13	4	String	foreignCourt			1	m#1	m#1	m#1
13	5	String	intlCourt			1	m#1	m#1	m#1
13	6	String	other			1	m#1	m#1	m#1
12	2	String	other	256		1	m#1	m#1	m#1
11	5	NV	dateFirstCharged			1	m#1	m#1	m#1
12	1	String	date	10		1	m#1	m#1	m#1
12	2	String	exact			1	m#1	m#1	m#1
12	3	NV	explanationInfo			1	m#1	m#1	m#1
13	1	String	explanation			1	m#1	m#1	m#1
13	2	String	provideExplanation	10000		1	m#1	m#1	m#1
11	6	NV	actionAppealedTo			1	m#1	m#1	m#1
12	1	String	date	10		1	m#1	m#1	m#1
12	2	NV	appealedTo			1	m#1	m#1	m#1
13	1	NV	criminalCourt			1	m#1	m#1	m#1
14	1	String	fedCourt			1	m#1	m#1	m#1
14	2	String	milCourt			1	m#1	m#1	m#1
14	3	String	stateCourt			1	m#1	m#1	m#1
14	4	String	foreignCourt			1	m#1	m#1	m#1
14	5	String	intlCourt			1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
14	6	String	other			1	m#1	m#1	m#1
13	2	String	other	256		1	m#1	m#1	m#1
12	3	String	appealedToCourtName	256		1	m#1	m#1	m#1
11	7	NV	statusDateInfo			1	m#1	m#1	m#1
12	1	String	date	10		1	m#1	m#1	m#1
12	2	String	exact			1	m#1	m#1	m#1
12	3	NV	explanationInfo			1	m#1	m#1	m#1
13	1	String	explanation			1	m#1	m#1	m#1
13	2	String	provideExplanation	3000		1	m#1	m#1	m#1
11	8	NV	baseInformation			1	m#1	m#1	m#1
12	1	NV	chargesBroughtIn			1	m#1	m#1	m#1
13	1	String	name	256		1	m#1	m#1	m#1
13	2	String	docketOrCaseNo	256		1	m#1	m#1	m#1
13	3	NV	address			1	m#1	m#1	m#1
14	1	String	street1	40		1	m#1	m#1	m#1
14	2	String	street2	40		1	o	o	o
14	3	String	city	30		1	m#1	m#1	m#1
14	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>	1	m#1	m#1	m#1
14	5	String	zipCode	10		1	m#1	m#1	m#1
12	2	NV	status			1	m#1	m#1	m#1
13	1	String	pending			1	m#1	m#1	m#1
13	2	String	onAppeal			1	m#1	m#1	m#1
13	3	String	final			1	m#1	m#1	m#1
12	3	String	summary	10000		1	m#1	m#1	m#1
10	2	NV	charges			1	m#1	m#1	m#1
11	1	NV	charge			100	m#1	m#1	m#1
12	1	NV	baseInformation			1	m#1	m#1	m#1
13	1	String	charge	10000		1	m#1	m#1	m#1
13	2	String	numberOfCounts	10		1	m#1	m#1	m#1
13	3	NV	felonyOrMisdemeanor			1	m#1	m#1	m#1
14	1	String	felony			1	m#1	m#1	m#1
14	2	String	misdemeanor			1	m#1	m#1	m#1
13	4	String	plea	1000		1	m#1	m#1	m#1
13	5	String	productType	1000		1	m#1	m#1	m#1
13	6	String	investmentProductType	1000		1	m#1	m#1	m#1
13	7	String	amendedReducedDate	10		1	m#1	m#1	m#1
12	2	NV	dispositionDisclosureDetail			1	m#1	m#1	m#1
13	1	NV	dispositionType			1	m#1	m#1	m#1
14	1	String	type		<a href="#">DISPOSITION_TYPE</a>	13	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
					<u>S</u>				
14	2	String	appealType		<u>APPEAL_TYPES</u>	4	m#1	m#1	m#1
14	3	String	explanation	3000		1	m#1	m#1	m#1
14	4	String	otherDisp	256		1	m#1	m#1	m#1
14	5	String	otherAppeal	256		1	m#1	m#1	m#1
13	2	String	date	3000		1	m#1	m#1	m#1
13	3	String	sentencePenaltyType	3000		1	m#1	m#1	m#1
13	4	NV	incarcerationInfo			1	m#1	m#1	m#1
14	1	NV	duration			1	m#1	m#1	m#1
15	1	String	days			1	m#1	m#1	m#1
15	2	String	months			1	m#1	m#1	m#1
15	3	String	years			1	m#1	m#1	m#1
14	2	NV	startDate			1	m#1	m#1	m#1
15	1	String	date	10		1	m#1	m#1	m#1
15	2	String	notDetermined			1	m#1	m#1	m#1
14	3	NV	endDate			1	m#1	m#1	m#1
15	1	String	date	10		1	m#1	m#1	m#1
15	2	String	notDetermined			1	m#1	m#1	m#1
14	4	String	concurrentEndDate	10		1	m#1	m#1	m#1
14	5	String	explanation	3000		1	m#1	m#1	m#1
13	5	NV	monetaryPenalty			1	m#1	m#1	m#1
14	1	Decimal	totalFine	16		1	m#1	m#1	m#1
14	2	Decimal	reducedAmount	16		1	m#1	m#1	m#1
14	3	NV	paidStatus			1	m#1	m#1	m#1
15	1	String	paidInFullDate	10		1	m#1	m#1	m#1
15	2	NV	notPaidInfo			1	m#1	m#1	m#1
16	1	Decimal	amountUNPAID	16		1	m#1	m#1	m#1
16	2	String	explanation	3000		1	m#1	m#1	m#1
14	4	Decimal	finalAmount	16		1	m#1	m#1	m#1
7	2	NV	drpRemoveReason			1	m#1	m#1	m#1
8	1	String	isRegistered			1	m#1	m#1	m#1
8	2	NV	isFiledError			1	m#1	m#1	m#1
9	1	String	explainTheCircumstances	1000		1	m#1	m#1	m#1
8	3	NV	isOlderThan10Years			1	m#1	m#1	m#1
9	1	String	explainTheCircumstances	1000		1	m#1	m#1	m#1
6	3	NV	apInfo			1	m#1	m#1	m#1
7	1	NV	associatedPerson			500	m#1	m#1	m#1
8	1	NV	apType			1	m#1	m#1	m#1
9	1	String	firmInfo	256		1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
9	2	NV	naturalPersonInfo			1	m#1	m#1	m#1
10	1	String	firstName	50		1	m#1	m#1	m#1
10	2	String	middleName	30		1	m#1	m#1	m#1
10	3	String	lastName	50		1	m#1	m#1	m#1
10	4	String	suffix	10		1	o	o	o
8	2	NV	registrationInfo			1	m#1	m#1	m#1
9	1	String	isSECRegistered		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
9	2	String	secRegistrationNumber	150		1	m#1	m#1	m#1
9	3	String	crdNumber	9		1	o	o	o
8	3	NV	drpRemovalInformation			1	m#1	m#1	m#1
9	1	String	apNotAssociated			1	m#1	m#1	m#1
9	2	String	eventResolved			1	m#1	m#1	m#1
9	3	String	eventOccurTenYearsAgo			1	m#1	m#1	m#1
9	4	NV	isFiledError			1	m#1	m#1	m#1
10	1	String	explainTheCircumstances	1000		1	m#1	m#1	m#1
9	5	NV	isORDERTHAN10YEARS			1	m#1	m#1	m#1
10	1	String	explainTheCircumstances	1000		1	m#1	m#1	m#1
8	4	NV	drpInfo			1	m#1	m#1	m#1
9	1	NV	filedDisclosure			1	m#1	m#1	m#1
10	1	NV	advBDU4Filing			1	m#1	m#1	m#1
11	1	String	name	256		1	m#1	m#1	m#1
11	2	String	crdNumber	9		1	o	o	o
11	3	String	disclosureNumber	256		1	m#1	m#1	m#1
10	2	NV	maFiling			1	m#1	m#1	m#1
11	1	String	name	256		1	m#1	m#1	m#1
11	2	String	regNumber	17		1	m#1	m#1	m#1
11	3	String	drpDate	10		1	m#1	m#1	m#1
11	4	String	accessionNumber	20		1	m#1	m#1	m#1
10	3	NV	matFiling			1	m#1	m#1	m#1
11	1	String	name	256		1	m#1	m#1	m#1
11	2	String	regNumber	17		1	m#1	m#1	m#1
11	3	String	date	10		1	m#1	m#1	m#1
10	4	NV	maiFiling			1	m#1	m#1	m#1
11	1	String	name	256		1	m#1	m#1	m#1
11	2	String	regNumber	17		1	m#1	m#1	m#1
11	3	String	drpDate	10		1	m#1	m#1	m#1
11	4	String	accessionNumber	20		1	m#1	m#1	m#1
9	2	NV	detailedDisclosure			1	m#1	m#1	m#1
10	1	NV	eventDRP			1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
11	1	NV	event			1	m#1	m#1	m#1
12	1	String	chargesBroughtAgainstControlled		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
12	2	NV	chargedControllInfo			1	m#1	m#1	m#1
13	1	String	name	256		1	m#1	m#1	m#1
13	2	String	isEngagedInMARBusiness		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
13	3	String	relationshipToCharged	10000		1	m#1	m#1	m#1
12	3	String	hasFelonyCharges		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
12	4	NV	court			1	m#1	m#1	m#1
13	1	NV	criminalCourt			1	m#1	m#1	m#1
14	1	String	fedCourt			1	m#1	m#1	m#1
14	2	String	milCourt			1	m#1	m#1	m#1
14	3	String	stateCourt			1	m#1	m#1	m#1
14	4	String	foreignCourt			1	m#1	m#1	m#1
14	5	String	intlCourt			1	m#1	m#1	m#1
14	6	String	other			1	m#1	m#1	m#1
13	2	String	other	256		1	m#1	m#1	m#1
12	5	NV	dateFirstCharged			1	m#1	m#1	m#1
13	1	String	date	10		1	m#1	m#1	m#1
13	2	String	exact			1	m#1	m#1	m#1
13	3	NV	explanationInfo			1	m#1	m#1	m#1
14	1	String	explanation			1	m#1	m#1	m#1
14	2	String	provideExplanation	10000		1	m#1	m#1	m#1
12	6	NV	actionAppealedTo			1	m#1	m#1	m#1
13	1	String	date	10		1	m#1	m#1	m#1
13	2	NV	appealedTo			1	m#1	m#1	m#1
14	1	String	criminalCourt			1	m#1	m#1	m#1
15	1	String	fedCourt			1	m#1	m#1	m#1
15	2	String	milCourt			1	m#1	m#1	m#1
15	3	String	stateCourt			1	m#1	m#1	m#1
15	4	String	foreignCourt			1	m#1	m#1	m#1
15	5	String	intlCourt			1	m#1	m#1	m#1
15	6	String	other			1	m#1	m#1	m#1
14	2	String	other	256		1	m#1	m#1	m#1
13	3	String	appealedToCourtName	256		1	m#1	m#1	m#1
12	7	NV	statusDateInfo			1	m#1	m#1	m#1
13	1	String	date	10		1	m#1	m#1	m#1
13	2	String	exact			1	m#1	m#1	m#1
13	3	NV	explanationInfo			1	m#1	m#1	m#1
14	1	String	explanation			1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
14	2	String	provideExplanation	3000		1	m#1	m#1	m#1
12	8	NV	baseInformation			1	m#1	m#1	m#1
13	1	NV	chargesBroughtIn			1	m#1	m#1	m#1
14	1	String	name	256		1	m#1	m#1	m#1
14	2	String	docketOrCaseNo	256		1	m#1	m#1	m#1
14	3	NV	address			1	m#1	m#1	m#1
15	1	String	street1	40		1	m#1	m#1	m#1
15	2	String	street2	40		1	o	o	o
15	3	String	city	30		1	m#1	m#1	m#1
15	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>	1	m#1	m#1	m#1
15	5	String	zipCode	10		1	m#1	m#1	m#1
13	2	NV	status			1	m#1	m#1	m#1
14	1	String	pending			1	m#1	m#1	m#1
14	2	String	onAppeal			1	m#1	m#1	m#1
14	3	String	final			1	m#1	m#1	m#1
13	3	String	summary	10000		1	m#1	m#1	m#1
11	2	NV	charges			1	m#1	m#1	m#1
12	1	NV	charge			100	m#1	m#1	m#1
13	1	NV	baseInformation			1	m#1	m#1	m#1
14	1	String	charge	10000		1	m#1	m#1	m#1
14	2	String	numberOfCounts	10		1	m#1	m#1	m#1
14	3	NV	felonyOrMisdemeanor			1	m#1	m#1	m#1
15	1	String	felony			1	m#1	m#1	m#1
15	2	String	misdemeanor			1	m#1	m#1	m#1
14	4	String	plea	1000		1	m#1	m#1	m#1
14	5	String	productType	1000		1	m#1	m#1	m#1
14	6	String	investmentProductType	1000		1	m#1	m#1	m#1
14	7	String	amendedReducedDate	10		1	m#1	m#1	m#1
13	2	NV	dispositionDisclosureDetail			1	m#1	m#1	m#1
14	1	NV	dispositionType			1	m#1	m#1	m#1
15	1	String	type		<a href="#">DISPOSITION_TYPES</a>	13	m#1	m#1	m#1
15	2	String	appealType		<a href="#">APPEAL_TYPES</a>	4	m#1	m#1	m#1
15	3	String	explanation	3000		1	m#1	m#1	m#1
15	4	String	otherDisp	256		1	m#1	m#1	m#1
15	5	String	otherAppeal	256		1	m#1	m#1	m#1
14	2	String	date	3000		1	m#1	m#1	m#1
14	3	String	sentencePenaltyType	3000		1	m#1	m#1	m#1
14	4	NV	incarcerationInfo			1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
15	1	NV	duration			1	m#1	m#1	m#1
16	1	String	days			1	m#1	m#1	m#1
16	2	String	months			1	m#1	m#1	m#1
16	3	String	years			1	m#1	m#1	m#1
15	2	NV	startDate			1	m#1	m#1	m#1
16	1	String	date	10		1	m#1	m#1	m#1
16	2	String	notDetermined			1	m#1	m#1	m#1
15	3	NV	endDate			1	m#1	m#1	m#1
16	1	String	date	10		1	m#1	m#1	m#1
16	2	String	notDetermined			1	m#1	m#1	m#1
15	4	String	concurrentEndDate	10		1	m#1	m#1	m#1
15	5	String	explanation	3000		1	m#1	m#1	m#1
14	5	NV	monetaryPenalty			1	m#1	m#1	m#1
15	1	Decimal	totalFine	16		1	m#1	m#1	m#1
15	2	Decimal	reducedAmount	16		1	m#1	m#1	m#1
15	3	NV	paidStatus			1	m#1	m#1	m#1
16	1	String	paidInFullDate	10		1	m#1	m#1	m#1
16	2	NV	notPaidInfo			1	m#1	m#1	m#1
17	1	Decimal	amountUNPAID	16		1	m#1	m#1	m#1
17	2	String	explanation	3000		1	m#1	m#1	m#1
15	4	Decimal	finalAmount	16		1	m#1	m#1	m#1
4	2	NV	regulatoryDisclosure			1	m#1	m#1	m#1
5	1	NV	regulatoryDrp			1000	m#1	m#1	m#1
6	1	NV	headerData			1	m#1	m#1	m#1
7	1	NV	baseHeader			1	m#1	m#1	m#1
8	1	NV	type			1	m#1	m#1	m#1
9	1	String	add			1	m#1	m#1	m#1
9	2	String	amend			1	m#1	m#1	m#1
8	2	String	apDrpFiledCount	10		1	m#1	m#1	m#1
8	3	NV	drpFor			1	m#1	m#1	m#1
9	1	String	applicant			1	m#1	m#1	m#1
9	2	String	applicantAndAP			1	m#1	m#1	m#1
9	3	String	ap			1	m#1	m#1	m#1
7	2	NV	respondingTo			1	m#1	m#1	m#1
8	1	String	responseQuestion		<a href="#">REGULATORY RESPONDING TO TYPE</a>	16	m#1	m#1	m#1
6	2	NV	applicantInfo			1	m#1	m#1	m#1
7	1	NV	drpInfo			1	m#1	m#1	m#1
8	1	NV	filedDisclosure			1	m#1	m#1	m#1
9	1	NV	advBDU4Filing			1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
10	1	String	name	256		1	m#1	m#1	m#1
10	2	String	crdNumber	9		1	o	o	o
10	3	String	disclosureNumber	256		1	m#1	m#1	m#1
9	2	NV	maFiling			1	m#1	m#1	m#1
10	1	String	name	256		1	m#1	m#1	m#1
10	2	String	regNumber	17		1	m#1	m#1	m#1
10	3	String	drpDate	10		1	m#1	m#1	m#1
10	4	String	accessionNumber	20		1	m#1	m#1	m#1
9	3	NV	matFiling			1	m#1	m#1	m#1
10	1	String	name	256		1	m#1	m#1	m#1
10	2	String	regNumber	17		1	m#1	m#1	m#1
10	3	String	date	10		1	m#1	m#1	m#1
9	4	NV	maiFiling			1	m#1	m#1	m#1
10	1	String	name	256		1	m#1	m#1	m#1
10	2	String	regNumber	17		1	m#1	m#1	m#1
10	3	String	drpDate	10		1	m#1	m#1	m#1
10	3	String	accessionNumber	20		1	m#1	m#1	m#1
8	2	NV	detailedDisclosure			1	m#1	m#1	m#1
9	1	NV	initiatedDRP			1	m#1	m#1	m#1
10	1	NV	baseInformation			1	m#1	m#1	m#1
11	1	NV	chargesBroughtIn			1	m#1	m#1	m#1
12	1	String	name	256		1	m#1	m#1	m#1
12	2	String	docketOrCaseNo	256		1	m#1	m#1	m#1
12	3	NV	address			1	m#1	m#1	m#1
13	1	String	street1	40		1	m#1	m#1	m#1
13	2	String	street2	40		1	o	o	o
13	3	String	city	30		1	m#1	m#1	m#1
13	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>	1	m#1	m#1	m#1
13	5	String	zipCode	10		1	m#1	m#1	m#1
11	2	NV	status			1	m#1	m#1	m#1
12	1	String	pending			1	m#1	m#1	m#1
12	2	String	onAppeal			1	m#1	m#1	m#1
12	3	String	final			1	m#1	m#1	m#1
11	3	String	summary	10000		1	m#1	m#1	m#1
10	2	NV	statusDateInfo			1	m#1	m#1	m#1
11	1	String	date	10		1	m#1	m#1	m#1
11	2	String	exact			1	m#1	m#1	m#1
11	3	NV	explanationInfo			1	m#1	m#1	m#1
12	1	String	explanation			1	m#1	m#1	m#1



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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
12	2	String	provideExplanation	10000		1	m#1	m#1	m#1
10	3	NV	dateInitiated			1	m#1	m#1	m#1
11	1	String	date	10		1	m#1	m#1	m#1
11	2	String	exact			1	m#1	m#1	m#1
11	3	NV	explanationInfo			1	m#1	m#1	m#1
12	1	String	explanation			1	m#1	m#1	m#1
12	2	String	provideExplanation	3000		1	m#1	m#1	m#1
10	4	NV	initiatedBy			1	m#1	m#1	m#1
11	1	NV	initiator			1	m#1	m#1	m#1
12	1	String	sec			1	m#1	m#1	m#1
12	2	String	otherFederalAuthority			1	m#1	m#1	m#1
12	3	String	state			1	m#1	m#1	m#1
12	4	String	sro			1	m#1	m#1	m#1
12	5	String	foreignFRA			1	m#1	m#1	m#1
12	6	String	cftc			1	m#1	m#1	m#1
12	7	String	federalBankingAgency			1	m#1	m#1	m#1
12	8	String	nationalCUAdmin			1	m#1	m#1	m#1
12	9	String	other			1	m#1	m#1	m#1
11	2	String	other	256		1	m#1	m#1	m#1
11	3	String	name	256		1	m#1	m#1	m#1
10	5	NV	sanctionsSought			1	m#1	m#1	m#1
11	1	String	sanction		<a href="#">SANCTION BASE TYPE, PRINCIPAL SANCTION RELIEF BASE</a>	18	m#1	m#1	m#1
11	2	String	otherSanctions	10000		1	m#1	m#1	m#1
10	6	NV	principalProductTypes			1	m#1	m#1	m#1
11	1	String	product		<a href="#">PRINCIPAL PRODUCT OPTION TYPE, PRINCIPAL PRODUCT TYPE EXTENDED</a>	1	m#1	m#1	m#1
11	2	String	productOther	256		1	m#1	m#1	m#1
10	7	String	otherProductTypes	1000		1	m#1	m#1	m#1
10	8	String	allegationsDescription	10000		1	m#1	m#1	m#1
10	9	NV	pendingInformation			1	m#1	m#1	m#1
11	1	NV	servedInformation			1	m#1	m#1	m#1
12	1	String	date	10		1	m#1	m#1	m#1
12	2	String	exact			1	m#1	m#1	m#1
12	3	NV	explanationInfo			1	m#1	m#1	m#1
13	1	String	explanation			1	m#1	m#1	m#1
13	2	String	provideExplanation	10000		1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
11	2	String	limitationsRestrictions	1000		1	m#1	m#1	m#1
10	10	NV	appealInformation			1	m#1	m#1	m#1
11	1	NV	appealBroughtInInformation			1	m#1	m#1	m#1
12	1	String	name	256		1	m#1	m#1	m#1
12	2	String	docketOrCaseNo	256		1	m#1	m#1	m#1
12	3	NV	address			1	m#1	m#1	m#1
13	1	String	street1	40		1	m#1	m#1	m#1
13	2	String	street2	40		1	o	o	o
13	3	String	city	30		1	m#1	m#1	m#1
13	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>	1	m#1	m#1	m#1
13	5	String	zipCode	10		1	m#1	m#1	m#1
11	2	String	docketName	256		1	m#1	m#1	m#1
11	3	NV	dateInformation			1	m#1	m#1	m#1
12	1	String	date	10		1	m#1	m#1	m#1
12	2	String	exact			1	m#1	m#1	m#1
12	3	NV	explanationInfo			1	m#1	m#1	m#1
13	1	String	explanation			1	m#1	m#1	m#1
13	2	String	provideExplanation	1000		1	m#1	m#1	m#1
11	4	String	details	10000		1	m#1	m#1	m#1
11	5	String	limitationsRestrictions	1000		1	m#1	m#1	m#1
10	11	NV	resolutionInformation			1	m#1	m#1	m#1
11	1	NV	date			1	m#1	m#1	m#1
12	1	String	date	10		1	m#1	m#1	m#1
12	2	String	exact			1	m#1	m#1	m#1
12	3	NV	explanationInfo			1	m#1	m#1	m#1
13	1	String	explanation			1	m#1	m#1	m#1
13	2	String	provideExplanation	3000		1	m#1	m#1	m#1
11	2	NV	types			1	m#1	m#1	m#1
12	1	String	judgement		<a href="#">REGULATORY_RESOLUTION_TYPE</a>	12	m#1	m#1	m#1
12	2	String	judgementOther	3000		1	m#1	m#1	m#1
12	3	String	appealJudgement		<a href="#">APPEAL_TYPES, APPEAL_EXTENDED_TYPE</a>	5	m#1	m#1	m#1
12	4	String	appealJudgementOther	1000		1	m#1	m#1	m#1
12	5	String	explanation	10000		1	m#1	m#1	m#1
12	6	String	isFinalOrder		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
11	3	NV	details			1	m#1	m#1	m#1
12	1	String	sanctionsOrdered		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
12	2	NV	sanctions			1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
13	1	String	sanction		<a href="#">SANCTION BASE TYPE, PRINCIPAL SANCTION RELIEF BASE</a>	18	m#1	m#1	m#1
13	2	String	otherSanctions	10000		1	m#1	m#1	m#1
12	3	NV	sanctionDetails			1	m#1	m#1	m#1
13	1	NV	barred			unbounded	m#1	m#1	m#1
14	1	NV	duration			1	m#1	m#1	m#1
15	1	NV	durationType			1	m#1	m#1	m#1
16	1	String	permanent			1	m#1	m#1	m#1
16	2	String	noTimeSpecified			1	m#1	m#1	m#1
16	3	NV	limited			1	m#1	m#1	m#1
17	1	String	limited			1	m#1	m#1	m#1
17	2	NV	durationTime			1	m#1	m#1	m#1
18	1	String	days			1	m#1	m#1	m#1
18	2	String	months			1	m#1	m#1	m#1
18	3	String	years			1	m#1	m#1	m#1
14	2	NV	startDate			1	m#1	m#1	m#1
15	1	String	date	10		1	m#1	m#1	m#1
15	2	String	exact			1	m#1	m#1	m#1
15	3	NV	explanationInfo			1	m#1	m#1	m#1
16	1	String	explanation			1	m#1	m#1	m#1
16	2	String	provideExplanation	1000		1	m#1	m#1	m#1
14	3	NV	endDate			1	m#1	m#1	m#1
15	1	String	date	10		1	m#1	m#1	m#1
15	2	String	exact			1	m#1	m#1	m#1
15	3	NV	explanationInfo			1	m#1	m#1	m#1
16	1	String	explanation			1	m#1	m#1	m#1
16	2	String	provideExplanation	1000		1	m#1	m#1	m#1
14	4	String	description	10000		1	m#1	m#1	m#1
13	2	NV	enjoined			unbounded	m#1	m#1	m#1
14	1	NV	duration			1	m#1	m#1	m#1
15	1	NV	durationType			1	m#1	m#1	m#1
16	1	String	permanent			1	m#1	m#1	m#1
16	2	String	noTimeSpecified			1	m#1	m#1	m#1
16	3	NV	limited			1	m#1	m#1	m#1
17	1	String	limited			1	m#1	m#1	m#1
17	2	NV	durationTime			1	m#1	m#1	m#1
18	1	String	days			1	m#1	m#1	m#1
18	2	String	months			1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
18	3	String	years			1	m#1	m#1	m#1
14	2	NV	startDate			1	m#1	m#1	m#1
15	1	String	date	10		1	m#1	m#1	m#1
15	2	String	exact			1	m#1	m#1	m#1
15	3	NV	explanationInfo			1	m#1	m#1	m#1
16	1	String	explanation			1	m#1	m#1	m#1
16	2	String	provideExplanation	1000		1	m#1	m#1	m#1
14	3	NV	endDate			1	m#1	m#1	m#1
15	1	String	date	10		1	m#1	m#1	m#1
15	2	String	exact			1	m#1	m#1	m#1
15	3	NV	explanationInfo			1	m#1	m#1	m#1
16	1	String	explanation			1	m#1	m#1	m#1
16	2	String	provideExplanation	1000		1	m#1	m#1	m#1
14	4	String	description	10000		1	m#1	m#1	m#1
13	3	NV	suspended			unbounded	m#1	m#1	m#1
14	1	NV	duration			1	m#1	m#1	m#1
15	1	NV	durationType			1	NA	NA	NA
16	1	String	permanent			1	m#1	m#1	m#1
16	2	String	noTimeSpecified			1	m#1	m#1	m#1
16	3	NV	limited			1	m#1	m#1	m#1
17	1	String	limited			1	m#1	m#1	m#1
17	2	NV	durationTime			1	m#1	m#1	m#1
18	1	String	days			1	m#1	m#1	m#1
18	2	String	months			1	m#1	m#1	m#1
18	3	String	years			1	m#1	m#1	m#1
14	2	NV	startDate			1	m#1	m#1	m#1
15	1	String	date	10		1	m#1	m#1	m#1
15	2	String	exact			1	m#1	m#1	m#1
15	3	NV	explanationInfo			1	m#1	m#1	m#1
16	1	String	explanation			1	m#1	m#1	m#1
16	2	String	provideExplanation	1000		1	m#1	m#1	m#1
14	3	NV	endDate			1	m#1	m#1	m#1
15	1	String	date	10		1	m#1	m#1	m#1
15	2	String	exact			1	m#1	m#1	m#1
15	3	NV	explanationInfo			1	m#1	m#1	m#1
16	1	String	explanation			1	m#1	m#1	m#1
16	2	String	provideExplanation	1000		1	m#1	m#1	m#1
14	4	String	description	10000		1	m#1	m#1	m#1
13	4	String	isReqaulificationRequired		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
13	5	NV	requalificationDetails			unbounded	m#1	m#1	m#1
14	1	NV	timeToRequalifyRetrain			1	m#1	m#1	m#1
15	1	NV	durationType			1	m#1	m#1	m#1
16	1	String	permanent			1	m#1	m#1	m#1
16	2	String	noTimeSpecified			1	m#1	m#1	m#1
16	3	String	limited			1	m#1	m#1	m#1
17	1	String	limited			1	m#1	m#1	m#1
17	2	NV	durationTime			1	m#1	m#1	m#1
18	1	String	days			1	m#1	m#1	m#1
18	2	String	months			1	m#1	m#1	m#1
18	3	String	years			1	m#1	m#1	m#1
14	2	String	typeRequired	1000		1	m#1	m#1	m#1
14	3	NV	conditionState			1	m#1	m#1	m#1
15	1	String	satisfied	10		1	m#1	m#1	m#1
15	2	String	notSatisfied	10000		1	m#1	m#1	m#1
13	6	NV	monetarySanctions			1	m#1	m#1	m#1
14	1	Decimal	amountOrdered	16		1	m#1	m#1	m#1
14	2	Decimal	waivedPortion	16		1	m#1	m#1	m#1
14	3	Decimal	finalAmount	18		1	m#1	m#1	m#1
14	4	NV	paymentState			1	m#1	m#1	m#1
15	1	String	satisfied	10		1	m#1	m#1	m#1
15	2	String	notSatisfied	3000		1	m#1	m#1	m#1
12	4	Decimal	totalAmountOrdered	16		1	m#1	m#1	m#1
7	2	NV	drpRemoveReason			1	m#1	m#1	m#1
8	1	String	isRegistered			1	m#1	m#1	m#1
8	2	NV	isFiledError			1	m#1	m#1	m#1
9	1	String	explainTheCircumstances	10000		1	m#1	m#1	m#1
6	3	NV	apInfo			1	m#1	m#1	m#1
7	1	NV	associatedPerson			500	m#1	m#1	m#1
8	1	NV	apType			1	m#1	m#1	m#1
9	1	String	firmInfo	256		1	m#1	m#1	m#1
9	2	NV	naturalPersonInfo			1	m#1	m#1	m#1
10	1	String	firstName	50		1	m#1	m#1	m#1
10	2	String	middleName	30		1	m#1	m#1	m#1
10	3	String	lastName	50		1	m#1	m#1	m#1
10	4	String	suffix	10		1	m#1	m#1	m#1
8	2	NV	registrationInfo			1	m#1	m#1	m#1
9	1	String	isSECRegistered		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
9	2	String	secRegistrationNumber	150		1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
9	3	String	crdNumber	9		1	o	o	o
8	3	NV	drpRemovalInformation			1	m#1	m#1	m#1
9	1	String	apNotAssociated			1	m#1	m#1	m#1
9	2	String	eventResolved			1	m#1	m#1	m#1
9	3	NV	isFiledError			1	m#1	m#1	m#1
10	1	String	explainTheCircumstances	10000		1	m#1	m#1	m#1
8	4	NV	drpInfo			1	m#1	m#1	m#1
9	1	NV	filedDisclosure			1	m#1	m#1	m#1
10	1	NV	advBDU4Filing			1	m#1	m#1	m#1
11	1	String	name	256		1	m#1	m#1	m#1
11	2	String	crdNumber	9		1	o	o	o
11	3	String	disclosureNumber	256		1	m#1	m#1	m#1
10	2	NV	maFiling			1	m#1	m#1	m#1
11	1	String	name	256		1	m#1	m#1	m#1
11	2	String	regNumber	17		1	m#1	m#1	m#1
11	3	String	drpDate	10		1	m#1	m#1	m#1
11	4	String	accessionNumber	20		1	m#1	m#1	m#1
10	3	NV	matFiling			1	m#1	m#1	m#1
11	1	String	name	256		1	m#1	m#1	m#1
11	2	String	regNumber	17		1	m#1	m#1	m#1
11	3	String	date	10		1	m#1	m#1	m#1
10	4	NV	maiFiling			1	m#1	m#1	m#1
11	1	String	name	256		1	m#1	m#1	m#1
11	2	String	regNumber	17		1	m#1	m#1	m#1
11	3	String	drpDate	10		1	m#1	m#1	m#1
11	4	String	accessionNumber	20		1	m#1	m#1	m#1
9	2	NV	detailedDisclosure			1	m#1	m#1	m#1
10	1	NV	initiatedDRP			1	m#1	m#1	m#1
11	1	NV	baseInformation			1	m#1	m#1	m#1
12	1	NV	chargesBroughtIn			1	m#1	m#1	m#1
13	1	String	name	256		1	m#1	m#1	m#1
13	2	String	docketOrCaseNo	256		1	m#1	m#1	m#1
13	3	NV	address			1	m#1	m#1	m#1
14	1	String	street1	40		1	m#1	m#1	m#1
14	2	String	street2	40		1	o	o	o
14	3	String	city	30		1	m#1	m#1	m#1
14	4	String	stateOrCountry		<a href="#">STATE COUNTRY CODE</a>	1	m#1	m#1	m#1
14	5	String	zipCode	10		1	m#1	m#1	m#1
12	2	NV	status			1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
13	1	String	pending			1	m#1	m#1	m#1
13	2	String	onAppeal			1	m#1	m#1	m#1
13	3	String	final			1	m#1	m#1	m#1
12	3	String	summary	10000		1	m#1	m#1	m#1
11	2	NV	statusDateInfo			1	m#1	m#1	m#1
12	1	String	date	10		1	m#1	m#1	m#1
12	2	String	exact			1	m#1	m#1	m#1
12	3	NV	explanationInfo			1	m#1	m#1	m#1
13	1	String	explanation			1	m#1	m#1	m#1
13	2	String	provideExplanation	10000		1	m#1	m#1	m#1
11	3	NV	dateInitiated			1	m#1	m#1	m#1
12	1	String	date	10		1	m#1	m#1	m#1
12	2	String	exact			1	m#1	m#1	m#1
12	3	NV	explanationInfo			1	m#1	m#1	m#1
13	1	String	explanation			1	m#1	m#1	m#1
13	2	String	provideExplanation	3000		1	m#1	m#1	m#1
11	4	NV	initiatedBy			1	m#1	m#1	m#1
12	1	NV	initiator			1	m#1	m#1	m#1
13	1	String	sec			1	m#1	m#1	m#1
13	2	String	otherFederalAuthority			1	m#1	m#1	m#1
13	3	String	state			1	m#1	m#1	m#1
13	4	String	sro			1	m#1	m#1	m#1
13	5	String	foreignFRA			1	m#1	m#1	m#1
13	6	String	cftc			1	m#1	m#1	m#1
13	7	String	federalBankingAgency			1	m#1	m#1	m#1
13	8	String	nationalCUAdmin			1	m#1	m#1	m#1
13	9	String	other			1	m#1	m#1	m#1
12	2	String	other	256		1	m#1	m#1	m#1
12	3	String	name	256		1	m#1	m#1	m#1
11	5	NV	sanctionsSought			1	m#1	m#1	m#1
12	1		sanction		<a href="#">SANCTION BASE TYPE, PRINCIPAL SANCTION RELIEF BASE</a>	18	m#1	m#1	m#1
12	2	String	otherSanctions	10000		1	m#1	m#1	m#1
11	6	NV	principalProductTypes			1	m#1	m#1	m#1
12	1	String	product		<a href="#">PRINCIPAL PRODUCT OPTION TYPE, PRINCIPAL PRODUCT TYPE EXTENDED</a>	1	m#1	m#1	m#1
12	2	String	productOther	256		1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
11	7	String	otherProductTypes	1000		1	m#1	m#1	m#1
11	8	String	allegationsDescription	10000		1	m#1	m#1	m#1
11	9	NV	pendingInformation			1	m#1	m#1	m#1
12	1	NV	servedInformation			1	m#1	m#1	m#1
13	1	String	date	10		1	m#1	m#1	m#1
13	2	String	exact			1	m#1	m#1	m#1
13	3	NV	explanationInfo			1	m#1	m#1	m#1
14	1	String	explanation			1	m#1	m#1	m#1
14	2	String	provideExplanation	10000		1	m#1	m#1	m#1
12	2	String	limitationsRestrictions	1000		1	m#1	m#1	m#1
11	10	NV	appealInformation			1	m#1	m#1	m#1
12	1	NV	appealBroughtInInformation			1	m#1	m#1	m#1
13	1	String	name	256		1	m#1	m#1	m#1
13	2	String	docketOrCaseNo	256		1	m#1	m#1	m#1
13	3	NV	address			1	m#1	m#1	m#1
14	1	String	street1	40		1	m#1	m#1	m#1
14	2	String	street2	40		1	o	o	o
14	3	String	city	30		1	m#1	m#1	m#1
14	4	String	stateOrCountry		<a href="#">STATE COUNTRY CODE</a>	1	m#1	m#1	m#1
14	5	String	zipCode	10		1	m#1	m#1	m#1
12	2	String	docketName	256		1	m#1	m#1	m#1
12	3	NV	dateInformation			1	m#1	m#1	m#1
13	1	String	date	10		1	m#1	m#1	m#1
13	2	String	exact			1	m#1	m#1	m#1
13	3	NV	explanationInfo			1	m#1	m#1	m#1
14	1	String	explanation			1	m#1	m#1	m#1
14	2	String	provideExplanation	1000		1	m#1	m#1	m#1
12	4	String	details	10000		1	m#1	m#1	m#1
12	5	String	limitationsRestrictions	1000		1	m#1	m#1	m#1
11	11	NV	resolutionInformation			1	m#1	m#1	m#1
12	1	NV	date			1	m#1	m#1	m#1
13	1	String	date	10		1	m#1	m#1	m#1
13	2	String	exact			1	m#1	m#1	m#1
13	3	NV	explanationInfo			1	m#1	m#1	m#1
14	1	String	explanation			1	m#1	m#1	m#1
14	2	String	provideExplanation	3000		1	m#1	m#1	m#1
12	2	NV	types			1	m#1	m#1	m#1
13	1	String	judgement		<a href="#">REGULATORY RESOLUTION TYPE,</a>	12	m#1	m#1	m#1



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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
13	2	String	judgementOther	3000		1	m#1	m#1	m#1
13	3	String	appealJudgement		<a href="#">APPEAL TYPES, APPEAL_EXTENDED_TYPE</a>	5	m#1	m#1	m#1
13	4	String	appealJudgementOther	1000		1	m#1	m#1	m#1
13	5	String	explanation	10000		1	m#1	m#1	m#1
13	6	String	isFinalOrder		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
12	3	NV	details			1	m#1	m#1	m#1
13	1	String	sanctionsOrdered		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
13	2	NV	sanctions			1	m#1	m#1	m#1
13	1	String	sanction		<a href="#">SANCTION_BASE_TYPE, PRINCIPAL_SANCTION_RELIEF_BASE</a>	18	m#1	m#1	m#1
14	2	String	otherSanctions	10000		1	m#1	m#1	m#1
13	3	NV	sanctionDetails			1	m#1	m#1	m#1
14	1	NV	barred			unbounded	m#1	m#1	m#1
15	1	NV	duration			1	m#1	m#1	m#1
16	1	NV	durationType			1	m#1	m#1	m#1
17	1	String	permanent			1	m#1	m#1	m#1
17	2	String	noTimeSpecified			1	m#1	m#1	m#1
17	3	NV	limited			1	m#1	m#1	m#1
18	1	String	limited			1	m#1	m#1	m#1
18	2	NV	durationTime			1	m#1	m#1	m#1
19	1	String	days			1	m#1	m#1	m#1
19	2	String	months			1	m#1	m#1	m#1
19	3	String	years			1	m#1	m#1	m#1
15	2	NV	startDate			1	m#1	m#1	m#1
16	1	String	date	10		1	m#1	m#1	m#1
16	2	String	exact			1	m#1	m#1	m#1
16	3	NV	explanationInfo			1	m#1	m#1	m#1
17	1	String	explanation			1	m#1	m#1	m#1
17	2	String	provideExplanation	1000		1	m#1	m#1	m#1
15	3	NV	endDate			1	m#1	m#1	m#1
16	1	String	date	10		1	m#1	m#1	m#1
16	2	String	exact			1	m#1	m#1	m#1
16	3	NV	explanationInfo			1	m#1	m#1	m#1
17	1	String	explanation			1	m#1	m#1	m#1
17	2	String	provideExplanation	1000		1	m#1	m#1	m#1
15	4	String	description	10000		1	m#1	m#1	m#1
14	2	NV	enjoined			unbounded	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
15	1	NV	duration			1	m#1	m#1	m#1
16	1	NV	durationType			1	m#1	m#1	m#1
17	1	String	permanent			1	m#1	m#1	m#1
17	2	String	noTimeSpecified			1	m#1	m#1	m#1
17	3	NV	limited			1	m#1	m#1	m#1
18	1	String	limited			1	m#1	m#1	m#1
18	2	NV	durationTime			1	m#1	m#1	m#1
19	1	String	days			1	m#1	m#1	m#1
19	2	String	months			1	m#1	m#1	m#1
19	3	String	years			1	m#1	m#1	m#1
15	2	NV	startDate			1	m#1	m#1	m#1
16	1	String	date	10		1	m#1	m#1	m#1
16	2	String	exact			1	m#1	m#1	m#1
16	3	NV	explanationInfo			1	m#1	m#1	m#1
17	1	String	explanation			1	m#1	m#1	m#1
17	2	String	provideExplanation	1000		1	m#1	m#1	m#1
15	3	NV	endDate			1	m#1	m#1	m#1
16	1	String	date	10		1	m#1	m#1	m#1
16	2	String	exact			1	m#1	m#1	m#1
16	3	NV	explanationInfo			1	m#1	m#1	m#1
17	1	String	explanation			1	m#1	m#1	m#1
17	2	String	provideExplanation	1000		1	m#1	m#1	m#1
14	4	String	description	10000		1	m#1	m#1	m#1
14	3	NV	suspended			unbounded	m#1	m#1	m#1
15	1	NV	duration			1	m#1	m#1	m#1
16	1	NV	durationType			1	m#1	m#1	m#1
17	1	String	permanent			1	m#1	m#1	m#1
17	2	String	noTimeSpecified			1	m#1	m#1	m#1
17	3	NV	limited			1	m#1	m#1	m#1
18	1	String	limited			1	m#1	m#1	m#1
18	2	NV	durationTime			1	m#1	m#1	m#1
19	1	String	days			1	m#1	m#1	m#1
19	2	String	months			1	m#1	m#1	m#1
19	3	String	years			1	m#1	m#1	m#1
15	2	NV	startDate			1	m#1	m#1	m#1
16	1	String	date	10		1	m#1	m#1	m#1
16	2	String	exact			1	m#1	m#1	m#1
16	3	NV	explanationInfo			1	m#1	m#1	m#1
17	1	String	explanation			1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
17	2	String	provideExplanation	1000		1	m#1	m#1	m#1
15	3	NV	endDate			1	m#1	m#1	m#1
16	1	String	date	10		1	m#1	m#1	m#1
16	2	String	exact			1	m#1	m#1	m#1
16	3	NV	explanationInfo			1	m#1	m#1	m#1
17	1	String	explanation			1	m#1	m#1	m#1
17	2	String	provideExplanation	1000		1	m#1	m#1	m#1
15	4	String	description	10000		1	m#1	m#1	m#1
14	4	String	isReqaulificationRequired		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
14	5	NV	requaificationDetails			undounded	NA	NA	NA
15	1	NV	timeToReqaulifyRetrain			1	m#1	m#1	m#1
16	1	NV	durationType			1	m#1	m#1	m#1
17	1	String	permanent			1	m#1	m#1	m#1
17	2	String	noTimeSpecified			1	m#1	m#1	m#1
17	3	String	limited			1	m#1	m#1	m#1
18	1	String	limited			1	m#1	m#1	m#1
18	2	NV	durationTime			1	m#1	m#1	m#1
19	1	String	days			1	m#1	m#1	m#1
19	2	String	month			1	m#1	m#1	m#1
19	3	String	years			1	m#1	m#1	m#1
15	2	String	typeRequired	1000		1	m#1	m#1	m#1
15	3	NV	conditionState			1	m#1	m#1	m#1
16	1	String	satisfied	10		1	m#1	m#1	m#1
16	2	String	notSatisfied	10000		1	m#1	m#1	m#1
14	6	NV	monetarySanctions			1	m#1	m#1	m#1
15	1	Decimal	amountOrdered	16		1	m#1	m#1	m#1
15	2	Decimal	waivedPortion	16		1	m#1	m#1	m#1
15	3	Decimal	finalAmount	18		1	m#1	m#1	m#1
15	4	NV	paymentState			1	NA	NA	NA
16	1	String	satisfied	10		1	m#1	m#1	m#1
16	2	String	notSatisfied	3000		1	m#1	m#1	m#1
13	4	Decimal	totalAmountOrdered	16		1	m#1	m#1	m#1
4	3	NV	civilJudicialDisclosure			1	NA	NA	NA
5	1	NV	civilJudicialDrp			1000	NA	NA	NA
6	1	NV	headerData			1	NA	NA	NA
7	1	NV	baseHeader			1	NA	NA	NA
8	1	NV	type			1	NA	NA	NA
9	1	String	add			1	m#1	m#1	m#1
9	2	String	amend			1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
8	2	String	apDrpFiledCount	10		1	m#1	m#1	m#1
8	3	NV	drpFor			1	m#1	m#1	m#1
9	1	String	applicant			1	m#1	m#1	m#1
9	2	String	applicantAndAP			1	m#1	m#1	m#1
9	3	String	ap			1	m#1	m#1	m#1
7	2	NV	respondingTo			1	m#1	m#1	m#1
8	1	String	responseQuestion		CIVIL JUDICIAL RESPONDING TO TYPE	1	m#1	m#1	m#1
6	2	NV	applicantInfo			1	m#1	m#1	m#1
7	1	NV	drpInfo			1	m#1	m#1	m#1
8	1	NV	filedDisclosre			1	m#1	m#1	m#1
10	1	NV	advBDU4Filing			1	m#1	m#1	m#1
11	1	String	name	256		1	m#1	m#1	m#1
11	2	String	crdNumber	9		1	o	o	o
11	3	String	disclosureNumber	256		1	m#1	m#1	m#1
10	2	NV	maFiling			1	m#1	m#1	m#1
11	1	String	name	256		1	m#1	m#1	m#1
11	2	String	regNumber	17		1	m#1	m#1	m#1
11	3	String	drpDate	10		1	m#1	m#1	m#1
11	4	String	accessionNumber	20		1	m#1	m#1	m#1
10	3	NV	matFiling			1	m#1	m#1	m#1
11	1	String	name	256		1	m#1	m#1	m#1
11	2	String	regNumber	17		1	m#1	m#1	m#1
11	3	String	date	10		1	m#1	m#1	m#1
10	4	NV	maiFiling			1	m#1	m#1	m#1
11	1	String	name	256		1	m#1	m#1	m#1
11	2	String	regNumber	17		1	m#1	m#1	m#1
11	3	String	drpDate	10		1	m#1	m#1	m#1
11	4	String	accessionNumber	20		1	m#1	m#1	m#1
8	2	NV	detailedDisclosure			1	m#1	m#1	m#1
9	1	NV	baseInformation			1	m#1	m#1	m#1
10	1	NV	chargesBroughtIn			1	m#1	m#1	m#1
11	1	String	name	256		1	m#1	m#1	m#1
11	2	String	docketOrCaseNo	256		1	m#1	m#1	m#1
11	3	NV	address			1	m#1	m#1	m#1
12	1	String	street1	40		1	m#1	m#1	m#1
12	2	String	street2	40		1	o	o	o
12	3	String	city	30		1	m#1	m#1	m#1
12	4	String	stateOrCountry		STATE COUNTRY CODE	1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
12	5	String	zipCode	10		1	m#1	m#1	m#1
10	2	NV	status			1	m#1	m#1	m#1
11	1	String	pending			1	m#1	m#1	m#1
11	2	String	onAppeal			1	m#1	m#1	m#1
11	3	String	final			1	m#1	m#1	m#1
10	3	String	summary	10000		1	m#1	m#1	m#1
9	2	NV	statusDateInfo			1	m#1	m#1	m#1
10	1	String	date	10		1	m#1	m#1	m#1
10	2	String	exact			1	m#1	m#1	m#1
10	3	NV	explanationInfo			1	m#1	m#1	m#1
11	1	String	explanation			1	m#1	m#1	m#1
11	2	String	provideExplanation	10000		1	m#1	m#1	m#1
9	3	NV	initiatedBy			1	m#1	m#1	m#1
10	1	String	initiator		<a href="#">CIVIL JUDICIAL ACTION INITIATED BY TYPE</a>	1	m#1	m#1	m#1
10	2	String	other	256		1	m#1	m#1	m#1
9	4	NV	plaintiffsInformation			1	m#1	m#1	m#1
10	1	String	plaintiffName	3000		1	m#1	m#1	m#1
10	2	String	isMissingPlaintiffs		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
9	5	NV	defendantInformation			1	m#1	m#1	m#1
10	1	String	defendantName	1000		1	m#1	m#1	m#1
10	2	String	isADefendant		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
10	3	String	involvementInformation	256		1	m#1	m#1	m#1
9	6	NV	sanctionsReliefSought			1	m#1	m#1	m#1
10	1	String	sanctionRelief		,	21	m#1	m#1	m#1
11	1	String	SANCTION_TYPE		<a href="#">SANCTION BASE TYPE, PRINCIPAL SANCTION RELIEF BASE</a>	1	m#1	m#1	m#1
11	2	String	CIVIL_JUDICIAL_SANCTIONS_TYPE		<a href="#">CIVIL JUDICIAL SANCTIONS TYPE</a>	1	m#1	m#1	m#1
10	2	String	other	10000		1	m#1	m#1	m#1
9	7	NV	notificationServedInformation			1	m#1	m#1	m#1
10	1	String	date	10		1	m#1	m#1	m#1
10	2	String	exact			1	m#1	m#1	m#1
10	3	NV	explanationInfo			1	m#1	m#1	m#1
11	1	String	explanation			1	m#1	m#1	m#1
11	2	String	provideExplanation	10000		1	m#1	m#1	m#1
9	8	NV	courtBroughtIn			1	m#1	m#1	m#1
10	1	NV	civilJudicialCourt			1	m#1	m#1	m#1
11	1	String	fedCourt			1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
11	2	String	milCourt			1	m#1	m#1	m#1
11	3	String	stateCourt			1	m#1	m#1	m#1
11	4	String	foreignCourt			1	m#1	m#1	m#1
11	5	String	intlCourt			1	m#1	m#1	m#1
11	6	String	other			1	m#1	m#1	m#1
10	2	String	other	256		1	m#1	m#1	m#1
9	9	NV	principalProductTypes			1	m#1	m#1	m#1
10	1	String	product		<a href="#">PRINCIPAL_PRODUCT_OPTION_TYPE, PRINCIPAL_PRODUCT_TYPE_EXTENDED</a>	35	m#1	m#1	m#1
10	2	String	productOther	256		1	m#1	m#1	m#1
9	10	String	otherProductTypes	1000		1	m#1	m#1	m#1
9	11	String	allegationsDescription	10000		1	m#1	m#1	m#1
9	12	NV	pendingInformation			1	m#1	m#1	m#1
10	1	NV	servedInformation			1	m#1	m#1	m#1
11	1	String	date	10		1	m#1	m#1	m#1
11	2	String	exact			1	m#1	m#1	m#1
11	3	NV	explanationInfo			1	m#1	m#1	m#1
12	1	String	explanation			1	m#1	m#1	m#1
12	2	String	provideExplanation	10000		1	m#1	m#1	m#1
10	2	String	limitationsRestrictions	3000		1	m#1	m#1	m#1
9	13	NV	appealInformation			1	m#1	m#1	m#1
10	1	NV	appealBroughtInInformation			1	m#1	m#1	m#1
11	1	String	name	256		1	m#1	m#1	m#1
11	2	String	docketOrCaseNo	256		1	m#1	m#1	m#1
11	3	NV	address			1	m#1	m#1	m#1
12	1	String	street1	40		1	m#1	m#1	m#1
12	2	String	street2	40		1	o	o	o
12	3	String	city	30		1	m#1	m#1	m#1
12	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>	1	m#1	m#1	m#1
12	5	String	zipCode	10		1	m#1	m#1	m#1
10	2	String	docketName	256		1	m#1	m#1	m#1
10	3	NV	dateInformation			1	m#1	m#1	m#1
11	1	String	date	10		1	m#1	m#1	m#1
11	2	String	exact			1	m#1	m#1	m#1
11	3	NV	explanationInfo			1	m#1	m#1	m#1
12	1	String	explanation			1	m#1	m#1	m#1
12	2	String	provideExplanation	3000		1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
10	4	String	details	10000		1	m#1	m#1	m#1
10	5	String	limitationsRestrictions	1000		1	m#1	m#1	m#1
9	14	NV	resolutionInformation			1	m#1	m#1	m#1
10	1	NV	date			1	m#1	m#1	m#1
10	1	String	date	10		1	m#1	m#1	m#1
10	2	String	exact			1	m#1	m#1	m#1
10	3	NV	explanationInfo			1	m#1	m#1	m#1
11	1	String	explanation			1	m#1	m#1	m#1
11	2	String	provideExplanation	10000		1	m#1	m#1	m#1
10	2	NV	types			1	m#1	m#1	m#1
11	1	String	judgement		<a href="#">REGULATORY RESOLUTION TYPE, CIVIL JUDICIAL RESOLUTION TYPE</a>	12	m#1	m#1	m#1
11	2	String	judgementOther	1000		1	m#1	m#1	m#1
11	3	String	appealJudgement		<a href="#">APPEAL TYPES, APPEAL EXTENDED TYPE</a>	5	m#1	m#1	m#1
11	4	String	appealJudgementOther	1000		1	m#1	m#1	m#1
11	5	String	explanation	10000		1	m#1	m#1	m#1
11	6	String	isFinalOrder		<a href="#">YES_NO TYPE</a>	1	m#1	m#1	m#1
10	3	NV	details			1	m#1	m#1	m#1
11	1	String	sanctionsOrdered		<a href="#">YES_NO TYPE</a>	1	m#1	m#1	m#1
11	2	NV	sanctions			1	m#1	m#1	m#1
12	1	String	sanctionRelief		,	21	m#1	m#1	m#1
13	1	String	SANCTION_TYPE		<a href="#">SANCTION BASE TYPE, PRINCIPAL SANCTION RELIEF BASE</a>	1	m#1	m#1	m#1
13	2	String	CIVIL_JUDICIAL_SANCTIONS_TYPE		<a href="#">CIVIL JUDICIAL SANCTIONS TYPE</a>	1	m#1	m#1	m#1
12	2	String	other	10000		1	m#1	m#1	m#1
11	3	NV	sanctionDetails			1	m#1	m#1	m#1
12	1	NV	barred			1	m#1	m#1	m#1
13	1	NV	duration			1	m#1	m#1	m#1
14	1	String	durationType			1	m#1	m#1	m#1
15	1	String	permanent			1	m#1	m#1	m#1
15	2	String	noTimeSpecified			1	m#1	m#1	m#1
15	3	NV	limited			1	m#1	m#1	m#1
16	1	String	limited			1	m#1	m#1	m#1
16	2	String	durationTime			1	m#1	m#1	m#1
17	1	String	days			1	m#1	m#1	m#1
17	2	String	months			1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
17	3	String	years			1	m#1	m#1	m#1
13	2	String	startDate			1	m#1	m#1	m#1
14	1	String	date	10		1	m#1	m#1	m#1
14	2	String	exact			1	m#1	m#1	m#1
14	3	NV	explanationInfo			1	m#1	m#1	m#1
15	1	String	explanation			1	m#1	m#1	m#1
15	2	String	provideExplanation	1000		1	m#1	m#1	m#1
13	3	String	endDate			1	m#1	m#1	m#1
14	1	String	date	10		1	m#1	m#1	m#1
14	2	String	exact			1	m#1	m#1	m#1
14	3	NV	explanationInfo			1	m#1	m#1	m#1
15	1	String	explanation			1	m#1	m#1	m#1
15	2	String	provideExplanation	1000		1	m#1	m#1	m#1
13	4	String	description	10000		1	m#1	m#1	m#1
12	2	NV	enjoined			1	m#1	m#1	m#1
13	1	NV	duration			1	m#1	m#1	m#1
14	1	String	durationType			1	m#1	m#1	m#1
15	1	String	permanent			1	m#1	m#1	m#1
15	2	String	noTimeSpecified			1	m#1	m#1	m#1
15	3	NV	limited			1	m#1	m#1	m#1
16	1	String	limited			1	m#1	m#1	m#1
16	2	String	durationTime			1	m#1	m#1	m#1
17	1	String	days			1	m#1	m#1	m#1
17	2	String	months			1	m#1	m#1	m#1
17	3	String	years			1	m#1	m#1	m#1
13	2	String	startDate			1	m#1	m#1	m#1
14	1	String	date	10		1	m#1	m#1	m#1
14	2	String	exact			1	m#1	m#1	m#1
14	3	NV	explanationInfo			1	m#1	m#1	m#1
15	1	String	explanation			1	m#1	m#1	m#1
15	2	String	provideExplanation	1000		1	m#1	m#1	m#1
13	3	String	endDate			1	m#1	m#1	m#1
14	1	String	date	10		1	m#1	m#1	m#1
14	2	String	exact			1	m#1	m#1	m#1
14	3	NV	explanationInfo			1	m#1	m#1	m#1
15	1	String	explanation			1	m#1	m#1	m#1
15	2	String	provideExplanation	1000		1	m#1	m#1	m#1
13	4	String	description	10000		1	m#1	m#1	m#1
12	3	NV	suspended			1	m#1	m#1	m#1



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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
13	1	NV	duration			1	m#1	m#1	m#1
14	1	String	durationType			1	m#1	m#1	m#1
15	1	String	permanent			1	m#1	m#1	m#1
15	2	String	noTimeSpecified			1	m#1	m#1	m#1
15	3	NV	limited			1	m#1	m#1	m#1
16	1	String	limited			1	m#1	m#1	m#1
16	2	String	durationTime			1	m#1	m#1	m#1
17	1	String	days			1	m#1	m#1	m#1
17	2	String	months			1	m#1	m#1	m#1
17	3	String	years			1	m#1	m#1	m#1
13	2	String	startDate			1	m#1	m#1	m#1
14	1	String	date	10		1	m#1	m#1	m#1
14	2	String	exact			1	m#1	m#1	m#1
14	3	NV	explanationInfo			1	m#1	m#1	m#1
15	1	String	explanation			1	m#1	m#1	m#1
15	2	String	provideExplanation	1000		1	m#1	m#1	m#1
13	3	String	endDate			1	m#1	m#1	m#1
14	1	String	date	10		1	m#1	m#1	m#1
14	2	String	exact			1	m#1	m#1	m#1
14	3	NV	explanationInfo			1	m#1	m#1	m#1
15	1	String	explanation			1	m#1	m#1	m#1
15	2	String	provideExplanation	1000		1	m#1	m#1	m#1
13	4	String	description	10000		1	m#1	m#1	m#1
12	4	String	isReqaulificationRequired		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
12	5	NV	requailificationDetails			1	m#1	m#1	m#1
13	1	NV	timeToReqaulifyRetrain			1	m#1	m#1	m#1
14	1	NV	durationType			1	m#1	m#1	m#1
15	1	String	permanent			1	m#1	m#1	m#1
15	2	String	noTimeSpecified			1	m#1	m#1	m#1
15	3	NV	limited			1	m#1	m#1	m#1
16	1	String	limited			1	m#1	m#1	m#1
16	2	NV	durationTime			1	m#1	m#1	m#1
17	1	String	days			1	m#1	m#1	m#1
17	2	String	months			1	m#1	m#1	m#1
17	3	String	years			1	m#1	m#1	m#1
13	2	String	typeRequired	1000		1	m#1	m#1	m#1
13	3	NV	conditionState			1	m#1	m#1	m#1
14	1	String	satisfied			1	m#1	m#1	m#1
14	2	String	notSatisfied	10000		1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
12	6	NV	monetarySanctions			1	m#1	m#1	m#1
13	1	Decimal	amountOrdered	16		1	m#1	m#1	m#1
13	2	Decimal	waivedPortion	16		1	m#1	m#1	m#1
13	3	Decimal	finalAmount	18		1	m#1	m#1	m#1
13	4	NV	paymentState			1	m#1	m#1	m#1
14	1	String	satisfied			1	m#1	m#1	m#1
14	2	String	notSatisfied	10000		1	m#1	m#1	m#1
11	4	Decimal	totalAmountOrdered	16		1	m#1	m#1	m#1
7	2	NV	drpRemoveReason			1	m#1	m#1	m#1
8	1	String	isRegistered			1	m#1	m#1	m#1
8	2	NV	isFiledError			1	m#1	m#1	m#1
9	1	String	explainTheCircumstances	1000		1	m#1	m#1	m#1
8	3	NV	isOlderThan10Years			1	m#1	m#1	m#1
9	1	String	explainTheCircumstances	1000		1	m#1	m#1	m#1
6	3	NV	apInfo			1	m#1	m#1	m#1
7	1	NV	associatedPerson			500	NA	NA	NA
8	1	NV	apType			1	m#1	m#1	m#1
9	1	String	firmInfo	256		1	m#1	m#1	m#1
9	2	NV	naturalPersonInfo			1	m#1	m#1	m#1
10	1	String	firstName	50		1	m#1	m#1	m#1
10	2	String	middleName	30		1	o	o	o
10	3	String	lastName	50		1	m#1	m#1	m#1
10	4	String	suffix	10		1	o	o	o
8	2	NV	registrationInfo			1	m#1	m#1	m#1
9	1	String	isSECRegistered		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
9	2	String	secRegistrationNumber	150		1	m#1	m#1	m#1
9	3	String	crdNumber	9		1	o	o	o
8	3	NV	drpRemovalInformation			1	m#1	m#1	m#1
9	1	String	apNotAssociated			1	m#1	m#1	m#1
9	2	String	eventResolved			1	m#1	m#1	m#1
9	3	String	eventOccurTenYearsAgo			1	m#1	m#1	m#1
9	4	NV	isFiledError			1	m#1	m#1	m#1
9	5	String	isORDERTHAN10YEARS			1	m#1	m#1	m#1
10	1	String	explainTheCircumstances	10000		1	m#1	m#1	m#1
8	4	NV	drpInfo			1	m#1	m#1	m#1
9	1	NV	filedDisclosre			1	m#1	m#1	m#1
11	1	NV	advBDU4Filing			1	m#1	m#1	m#1
12	1	String	name	256		1	m#1	m#1	m#1
12	2	String	crdNumber	9		1	o	o	o

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
12	3	String	disclosureNumber	256		1	m#1	m#1	m#1
11	2	NV	maFiling			1	m#1	m#1	m#1
12	1	String	name	256		1	m#1	m#1	m#1
12	2	String	regNumber	17		1	m#1	m#1	m#1
12	3	String	drpDate	10		1	m#1	m#1	m#1
12	4	String	accessionNumber	20		1	m#1	m#1	m#1
11	3	NV	matFiling			1	m#1	m#1	m#1
12	1	String	name	256		1	m#1	m#1	m#1
12	2	String	regNumber	17		1	m#1	m#1	m#1
12	3	String	date	10		1	m#1	m#1	m#1
11	4	NV	maiFiling			1	m#1	m#1	m#1
12	1	String	name	256		1	m#1	m#1	m#1
12	2	String	regNumber	17		1	m#1	m#1	m#1
12	3	String	drpDate	10		1	m#1	m#1	m#1
12	4	String	accessionNumber	20		1	m#1	m#1	m#1
9	2	NV	detailedDisclosure			1	m#1	m#1	m#1
10	1	NV	baseInformation			1	m#1	m#1	m#1
11	1	NV	chargesBroughtIn			1	m#1	m#1	m#1
12	1	String	name	256		1	m#1	m#1	m#1
12	2	String	docketOrCaseNo	256		1	m#1	m#1	m#1
12	3	NV	address			1	m#1	m#1	m#1
13	1	String	street1	40		1	m#1	m#1	m#1
13	2	String	street2	40		1	o	o	o
13	3	String	city	30		1	m#1	m#1	m#1
13	4	String	stateOrCountry		<a href="#">STATE COUNTRY CODE</a>	1	m#1	m#1	m#1
13	5	String	zipCode	10		1	m#1	m#1	m#1
11	2	NV	status			1	m#1	m#1	m#1
12	1	String	pending			1	m#1	m#1	m#1
12	2	String	onAppeal			1	m#1	m#1	m#1
12	3	String	final			1	m#1	m#1	m#1
11	3	String	summary	10000		1	m#1	m#1	m#1
10	2	NV	statusDateInfo			1	m#1	m#1	m#1
11	1	String	date	10		1	m#1	m#1	m#1
11	2	String	exact			1	m#1	m#1	m#1
11	3	NV	explanationInfo			1	m#1	m#1	m#1
12	1	String	explanation			1	m#1	m#1	m#1
12	2	String	provideExplanation	10000		1	m#1	m#1	m#1
10	3	NV	initiatedBy			1	m#1	m#1	m#1
11	1	String	initiator		<a href="#">CIVIL JUDICIAL ACT</a>	1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
					<a href="#">ION INITIATED BY TYPE</a>				
11	2	String	other	256		1	m#1	m#1	m#1
10	4	NV	plaintiffsInformation			1	m#1	m#1	m#1
11	1	String	plaintiffName	3000		1	m#1	m#1	m#1
11	2	String	isMissingPlaintiffs		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
10	5	NV	defendantInformation			1	NA	NA	NA
11	1	String	defendantName	1000		1	m#1	m#1	m#1
11	2	String	isADefendant		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
11	3	String	involvementInformation	256		1	m#1	m#1	m#1
10	6	NV	sanctionsReliefSought			1	m#1	m#1	m#1
11	1	String	sanctionRelief		,	21	m#1	m#1	m#1
12	1	String	SANCTION_TYPE		<a href="#">SANCTION BASE TYPE,</a> <a href="#">PRINCIPAL SANCTION RELIEF BASE</a>	1	m#1	m#1	m#1
12	2	String	CIVIL_JUDICIAL_SANCTIONS_TYPE		<a href="#">CIVIL JUDICIAL SANCTIONS TYPE</a>	1	m#1	m#1	m#1
11	2	String	other	10000		1	m#1	m#1	m#1
10	7	NV	notificationServedInformation			1	m#1	m#1	m#1
11	1	String	date	10		1	m#1	m#1	m#1
11	2	String	exact			1	m#1	m#1	m#1
11	3	NV	explanationInfo			1	m#1	m#1	m#1
12	1	String	explanation			1	m#1	m#1	m#1
12	2	String	provideExplanation	10000		1	m#1	m#1	m#1
10	8	NV	courtBroughtIn			1	m#1	m#1	m#1
11	1	NV	civilJudicialCourt			1	m#1	m#1	m#1
12	1	String	fedCourt			1	m#1	m#1	m#1
12	2	String	milCourt			1	m#1	m#1	m#1
12	3	String	stateCourt			1	m#1	m#1	m#1
12	4	String	foreignCourt			1	m#1	m#1	m#1
12	5	String	intlCourt			1	m#1	m#1	m#1
12	6	String	other			1	m#1	m#1	m#1
11	2	String	other	256		1	m#1	m#1	m#1
10	9	NV	principalProductTypes			1	m#1	m#1	m#1
11	1	String	product		<a href="#">PRINCIPAL PRODUCT OPTION TYPE,</a> <a href="#">PRINCIPAL PRODUCT TYPE EXTENDED</a>	35	m#1	m#1	m#1
11	2	String	productOther	256		1	m#1	m#1	m#1
10	10	String	otherProductTypes	1000		1	m#1	m#1	m#1
10	11	String	allegationsDescription	10000		1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
10	12	NV	pendingInformation			1	m#1	m#1	m#1
11	1	NV	servedInformation			1	m#1	m#1	m#1
12	1	String	date	10		1	m#1	m#1	m#1
12	2	String	exact			1	m#1	m#1	m#1
12	3	NV	explanationInfo			1	m#1	m#1	m#1
13	1	String	explanation			1	m#1	m#1	m#1
13	2	String	provideExplanation	10000		1	m#1	m#1	m#1
11	2	String	limitationsRestrictions	3000		1	m#1	m#1	m#1
10	13	NV	appealInformation			1	m#1	m#1	m#1
11	1	NV	appealBroughtInInformation			1	m#1	m#1	m#1
12	1	String	name	256		1	m#1	m#1	m#1
12	2	String	docketOrCaseNo	256		1	m#1	m#1	m#1
12	3	NV	address			1	m#1	m#1	m#1
13	1	String	street1	40		1	m#1	m#1	m#1
13	2	String	street2	40		1	o	o	o
13	3	String	city	30		1	m#1	m#1	m#1
13	4	String	stateOrCountry		<a href="#">STATE COUNTRY CODE</a>	1	m#1	m#1	m#1
13	5	String	zipCode	10		1	m#1	m#1	m#1
11	2	String	docketName	256		1	m#1	m#1	m#1
11	3	NV	dateInformation			1	m#1	m#1	m#1
12	1	String	date	10		1	m#1	m#1	m#1
12	2	String	exact			1	m#1	m#1	m#1
12	3	NV	explanationInfo			1	m#1	m#1	m#1
13	1	String	explanation			1	m#1	m#1	m#1
13	2	String	provideExplanation	3000		1	m#1	m#1	m#1
11	4	String	details	10000		1	m#1	m#1	m#1
11	5	String	limitationsRestrictions	1000		1	m#1	m#1	m#1
10	14	NV	resolutionInformation			1	m#1	m#1	m#1
11	1	NV	date			1	m#1	m#1	m#1
11	1	String	date	10		1	m#1	m#1	m#1
11	2	String	exact			1	m#1	m#1	m#1
11	3	NV	explanationInfo			1	m#1	m#1	m#1
12	1	String	explanation			1	m#1	m#1	m#1
12	2	String	provideExplanation	10000		1	m#1	m#1	m#1
11	2	NV	types			1	m#1	m#1	m#1
12	1	String	judgement		<a href="#">REGULATORY RESOLUTION TYPE, CIVIL JUDICIAL RESOLUTION TYPE</a>	12	m#1	m#1	m#1
12	2	String	judgementOther	1000		1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
12	3	String	appealJudgement		<a href="#">APPEAL_TYPES, APPEAL_EXTENDED_TYPE</a>	5	m#1	m#1	m#1
12	4	String	appealJudgementOther	1000		1	m#1	m#1	m#1
12	5	String	explanation	10000		1	m#1	m#1	m#1
12	6	String	isFinalOrder		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
11	3	NV	details			1	m#1	m#1	m#1
12	1	String	sanctionsOrdered		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
12	2	NV	sanctions			1	m#1	m#1	m#1
13	1	String	sanctionRelief		,	1	m#1	m#1	m#1
14	1	NV	SANCTION_TYPE		<a href="#">SANCTION_BASE TYPE, PRINCIPAL_SANCTION_RELIEF_BASE</a>	1	m#1	m#1	m#1
14	2	String	CIVIL_JUDICIAL_SANCTIONS_TYPE		<a href="#">CIVIL_JUDICIAL_SANCTIONS_TYPE</a>	1	m#1	m#1	m#1
13	2	String	other	10000		1	m#1	m#1	m#1
12	3	NV	sanctionDetails			1	m#1	m#1	m#1
13	1	String	barred			1	m#1	m#1	m#1
14	1	String	duration			1	m#1	m#1	m#1
15	1	String	durationType			1	m#1	m#1	m#1
16	1	String	permanent			1	m#1	m#1	m#1
16	2	String	noTimeSpecified			1	m#1	m#1	m#1
16	3	NV	limited			1	m#1	m#1	m#1
17	1	String	limited			1	m#1	m#1	m#1
17	2	NV	durationTime			1	m#1	m#1	m#1
18	1	String	days			1	m#1	m#1	m#1
18	2	String	months			1	m#1	m#1	m#1
18	3	String	years			1	m#1	m#1	m#1
14	2	String	startDate			1	m#1	m#1	m#1
14	1	String	date	10		1	m#1	m#1	m#1
14	2	String	exact			1	m#1	m#1	m#1
14	3	NV	explanationInfo			1	m#1	m#1	m#1
15	1	String	explanation			1	m#1	m#1	m#1
15	2	String	provideExplanation	1000		1	m#1	m#1	m#1
14	3	String	endDate			1	m#1	m#1	m#1
14	4	String	description			1	m#1	m#1	m#1
13	2	String	enjoined			unbounded	m#1	m#1	m#1
14	1	String	duration			1	m#1	m#1	m#1
15	1	String	durationType			1	m#1	m#1	m#1
16	1	String	permanent			1	m#1	m#1	m#1
16	2	String	noTimeSpecified			1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
16	3	NV	limited			1	m#1	m#1	m#1
17	1	String	limited			1	m#1	m#1	m#1
17	2	String	durationTime			1	m#1	m#1	m#1
18	1	String	days			1	m#1	m#1	m#1
18	2	String	months			1	m#1	m#1	m#1
18	3	String	years			1	m#1	m#1	m#1
14	2	String	startDate			1	m#1	m#1	m#1
14	1	String	date	10		1	m#1	m#1	m#1
14	2	String	exact			1	m#1	m#1	m#1
14	3	NV	explanationInfo			1	m#1	m#1	m#1
15	1	String	explanation			1	m#1	m#1	m#1
15	2	String	provideExplanation	1000		1	m#1	m#1	m#1
14	3	String	endDate			1	m#1	m#1	m#1
14	1	String	date	10		1	m#1	m#1	m#1
14	2	String	exact			1	m#1	m#1	m#1
14	3	NV	explanationInfo			1	m#1	m#1	m#1
15	1	String	explanation			1	m#1	m#1	m#1
15	2	String	provideExplanation	1000		1	m#1	m#1	m#1
14	4	String	description			1	m#1	m#1	m#1
13	3	String	suspended			unbounded	m#1	m#1	m#1
14	1	String	duration			1	m#1	m#1	m#1
15	1	String	durationType			1	m#1	m#1	m#1
16	1	String	permanent			1	m#1	m#1	m#1
16	2	String	noTimeSpecified			1	m#1	m#1	m#1
16	3	NV	limited			1	m#1	m#1	m#1
17	1	String	limited			1	m#1	m#1	m#1
17	2	String	durationTime			1	m#1	m#1	m#1
18	1	String	days			1	m#1	m#1	m#1
18	2	String	months			1	m#1	m#1	m#1
18	3	String	years			1	m#1	m#1	m#1
14	2	String	startDate			1	m#1	m#1	m#1
14	1	String	date	10		1	m#1	m#1	m#1
14	2	String	exact			1	m#1	m#1	m#1
14	3	NV	explanationInfo			1	m#1	m#1	m#1
15	1	String	explanation			1	m#1	m#1	m#1
15	2	String	provideExplanation	1000		1	m#1	m#1	m#1
14	3	String	endDate			1	m#1	m#1	m#1
14	1	String	date	10		1	m#1	m#1	m#1
14	2	String	exact			1	m#1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
14	3	NV	explanationInfo			1	m#1	m#1	m#1
15	1	String	explanation			1	m#1	m#1	m#1
15	2	String	provideExplanation	1000		1	m#1	m#1	m#1
14	4	String	description			1	m#1	m#1	m#1
13	4	String	isReqaulificationRequired		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1	m#1
13	5	String	requaulificationDetails			unbounded	m#1	m#1	m#1
14	1	String	timeToReqaulifyRetrain			1	m#1	m#1	m#1
15	1	String	durationType			1	m#1	m#1	m#1
16	1	String	permanent			1	m#1	m#1	m#1
16	2	String	noTimeSpecified			1	m#1	m#1	m#1
16	3	NV	limited			1	m#1	m#1	m#1
17	1	String	limited			1	m#1	m#1	m#1
17	2	NV	durationTime			1	m#1	m#1	m#1
18	1	String	days			1	m#1	m#1	m#1
18	2	String	months			1	m#1	m#1	m#1
18	3	String	years			1	m#1	m#1	m#1
14	2	String	typeRequired	1000		1	m#1	m#1	m#1
14	3	NV	conditionState			1	m#1	m#1	m#1
15	1	String	satisfied			1	m#1	m#1	m#1
15	2	String	notSatisfied	10000		1	m#1	m#1	m#1
13	6	String	monetarySanctions			1	m#1	m#1	m#1
14	1	Decimal	amountOrdered			1	m#1	m#1	m#1
14	2	Decimal	waivedPortion	16		1	m#1	m#1	m#1
14	3	Decimal	finalAmount	16		1	m#1	m#1	m#1
14	4	NV	paymentState	16		1	m#1	m#1	m#1
15	1	String	satisfied			1	m#1	m#1	m#1
15	2	String	notSatisfied	3000		1	m#1	m#1	m#1
12	4	Decimal	totalAmountOrdered	16		1	m#1	m#1	m#1
3	70	NV	scheduleD				m#1	m#1	m#1
4	1	NV	type				m#1	m#1	m#1
5	1	String	add				m#1	m#1	m#1
5	2	String	delete				m#1	m#1	m#1
5	3	String	amend				m#1	m#1	m#1
5	4	String	newAdd				m#1	m#1	m#1
4	2	String	misc	1000			m#1	m#1	m#1
3	71	NV	maSelfCertification				m#1	m#1	m#1
4	1	NV	signature				m	m	m
5	1	String	signature	150			m	m	m
5	2	String	signerName	150			m	m	m



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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
5	3	String	title	150			m	m	m
5	4	String	date	10			m	m	m
4	2	String	crdNumber	9			o	o	o
3	72	NV	maExecutionPage				m	m	m
4	1	NV	signature				m	m	m
5	1	String	signature	150			m	m	m
5	2	String	signerName	150			m	m	m
5	3	String	title	150			m	m	m
5	4	String	date	10			m	m	m
4	2	String	crdNumber	9					
3	73		maNRSelfCertification				m	m	m
4	1	NV	signature				m	m	m
5	1	String	signature	150			m	m	m
5	2	String	signerName	150			m	m	m
5	3	String	title	150			m	m	m
5	4	String	date	10			m	m	m
4	2	String	crdNumber	9			o	o	o
3	74	NV	maNRExecutionPage						
4	1	NV	signature				m	m	m
5	1	String	signature	150			m	m	m
5	2	String	signerName	150			m	m	m
5	3	String	title	150			m	m	m
5	4	String	date	10			m	m	m
4	2	String	crdNumber	9			o	o	o
3	75	NV	documents			1	m	m	m
4	1	String	conformedName	32		1	m	m	m
4	2	String	conformedDocumentType			1	m	m	m
4	3	String	description	255		1	m#1	m#1	m#1
4	4	String	contents			1	m#1	m#1	m#1
3	76	NV	controls				m#1	m#1	m#1
4	1	String	isSoleProprietor		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
4	2	String	hasNameChange		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
4	3	String	hasDBAName		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
4	4	String	hasPreviousDBAName		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
4	5	String	hasAdditionalDBANames		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
4	6	String	hasMATReg		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
4	7	String	additionalRegs		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
4	8	String	additionalOffices		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1
4	9	String	hasMailingAddress		<a href="#">YES_NO_TYPE</a>		m#1	m#1	m#1

Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA	MA-A	MA/A
4	10	String	hasAdditionalWebsites		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	11	String	hasBooksRecords		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	12	String	isRegisteredFFRA		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	13	String	isAffiliatedOtherBus		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	14	String	independentBusinessEmployee		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	15	String	receiveCompensationForMAAFromOtherClients		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	16	String	isSucceedingApplicant		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	17	String	isCPForApplicantPolicy		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	18	String	isPRCompanyUnder1215		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	19	String	isCPForFirm		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	20	String	hasOtherProductTypes		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	21	String	wasSanctionsOrdered		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	22	String	moneytarySanctions		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	23	String	hasScheduleB		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	24	String	isSection12Or15ReportingCompany		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1
4	25	String	isOtherNonMAABusinessPrimary		<a href="#">YES NO TYPE</a>		m#1	m#1	m#1

### 3.5 Mapping of MA-I Submission Schemas to Submission Types

#### Mapping of MA-I and Variants Submission Schema to Submission Type

Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
1	1	NV	edgarSubmission			1	m	m
2	1	String	schemaVersion	5		1	o	o
2	2	NV	headerData			1	m	m
3	1	String	submissionType		<a href="#">SUBMISSION TYPE</a>	1	m	m
3	2	NV	filerInfo			1	m	m
4	1	String	liveTestFlag			1	NA	NA
4	2	NV	filer			1	m	m
5	1	String	filerId	10		1	m	m
5	2	String	filerCcc	8		1	m	m
5	3	String	filerFileNumber	17		1	o	o
4	3	NV	contact			1	o	o
5	1	String	name	30		1	o	o

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
5	2	String	phoneNumber	20		1	o	o
4	4	String	contactEmail	80		1	m	m
4	5	NV	notifications			1	o	o
5	1	String	internetNotificationAddress	80		unbounded	m	m
2	3	NV	formData			1	m	m
3	1	String	isAmendment		<a href="#">YES NO TYPE</a>	1	m	m
3	2	String	previousAccessionNumber	20		1	m#	m#
3	3	String	isIndividual		<a href="#">YES NO TYPE</a>	1	m	NA
3	4	NV	applicantName			NA	NA	NA
4	1	String	firstName	50		1	m	m
4	2	String	middleName	30		1	m	m
4	3	String	lastName	50		1	m	m
4	4	String	suffix	10		1	o	o
l3	5	String	applicantCrdNum	9		1	o	o
3	6	String	applicantSsn	11		1	m	m
3	7	String	hasMoreThanOneAdvisoryFirms		<a href="#">YES NO TYPE</a>	1	m	m
3	8	String	noOfAdvisoryFirms	2		1	m	m
3	9	NV	municipalAdvisorOffices			1	o	o
4	1	NV	municipalAdvisorOffice			unbounded	o	o
5	1	NV	municipalFirm			1	o	o
6	1	NV	municipalFiler			1	m	m
7	1	String	filerId	10		1	o	o
6	2	String	municipalFirmName	150		1	m	m
6	3	String	municipalDbaNma	256		1	o	o
6	4	String	recentEmploymentCommencedDate	10		1	m	m
6	5	String	isIndependentRelationship		<a href="#">YES NO TYPE</a>	1	m	m
5	2	NV	maRegistration			1	m	m
6	1	NV	secRegistration			1	m	m
7	1	String	fileNumber	17		1	m#	m#
6	2	NV	notSecRegistered			1	m#	m#
7	1	NV	hasFiled			1	m#	m#
8	1	String	filingDate	10		1	m#	m#
8	2	String	cik	10		1	m#	m#
7	2	String	explanation	256		1	m#	m#
5	3	NV	advisorOffices			1	m#	m#
6	1	NV	advisorOffice			unbounded	m#	m#
7	1	NV	locationInfo		<a href="#">LOCATED AT SUPE RVISED FROM</a>	2	m#	m#
7	2	String	startDate	10		1	m	m

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
7	3	NV	addressInfo			1	m	m
8	1	String	residentialAddressFlag		<a href="#">YES_NO_TYPE</a>	1	m	m
8	2	NV	address			1	m	m
9	1	String	street1	40		1	m	m
9	2	String	street2	40		1	o	o
9	3	String	city	30		1	m	m
9	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>	1	m	m
9	5	String	zipCode	10		1	m	m
3	10	NV	otherNames			NA	NA	NA
4	1	NV	otherName			10	NA	NA
5	1	String	firstName	50		1	o	o
5	2	String	middleName	30		1	o	o
5	3	String	lastName	50		1	o	o
5	4	String	suffix	10		1	o	o
3	11	NV	residentialHistory			NA	NA	NA
4	1	NV	currentAddress			NA	NA	NA
5	1	String	startDate	10		1	m	m
5	2	String	endDate	10		1	o	o
5	3	NV	addressInfo			NA	NA	NA
6	1	String	street1	40		1	m	m
6	2	String	street2	40		1	o	o
6	3	String	city	30		1	m	m
6	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>	1	m	m
6	5	String	zipCode	10		1	m	m
4	2	NV	priorAddresses			NA	NA	NA
5	1	NV	priorAddress			NA	NA	NA
6	1	String	startDate	10		1	m#	m#
6	2	String	endDate	10		1	m#	m#
6	3	NV	addressInfo			1	NA	NA
7	1	String	street1	40		1	m#	m#
7	2	String	street2	40		1	o	o
7	3	String	city	30		1	m#	m#
7	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>	1	m#	m#
7	5	String	zipCode	10		1	m#	m#
3	12	NV	employmentHistory			NA	NA	NA
4	1	NV	currentEmployer			NA	NA	NA
5	1	String	startDate	10		1	m	m
5	2	String	endDate	10		1	o	o

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
5	3	String	name	256		1	m	m
5	4	NV	addressInfo			1	m	m
6	1	String	city	30		1	m	m
6	2	String	stateOrCountry		<a href="#">YES NO TYPE</a>	1	m	m
6	3	String	zipCode	10		1	m	m
5	5	String	isRelatedToMunicipalAdvisor		<a href="#">YES NO TYPE</a>	1	m	m
5	6	String	isRelatedToInvestment		<a href="#">YES NO TYPE</a>	1	m	m
5	7	String	positionDescription	256		1	m	m
4	2	NV	priorEmployers			NA	NA	NA
5	1	NV	priorEmployer			unbounded	NA	NA
6	1	String	startDate	10		1	m#	m#
6	2	String	endDate	10		1	m#	m#
6	3	String	name	256		1	m#	m#
6	4	NV	addressInfo			1	m#	m#
7	1	String	city	30		1	m#	m#
7	2	String	stateOrCountry		<a href="#">YES NO TYPE</a>	1	m#	m#
7	3	String	zipCode	10		1	m#	m#
6	5	String	isRelatedToMunicipalAdvisor		<a href="#">YES NO TYPE</a>	1	m#	m#
6	6	String	isRelatedToInvestment		<a href="#">YES NO TYPE</a>	1	m#	m#
6	7	String	positionDescription	256		1	m#	m#
3	13	String	isEngagedInOtherBusiness		<a href="#">YES NO TYPE</a>	1	m	m
3	14	NV	otherBusinesses			NA	NA	NA
4	1	NV	otherBusiness			15	NA	NA
5	1	String	startDate	10		1	m#	m#
5	2	String	name	256		1	m#	m#
5	3	NV	addressInfo			1	m#	m#
6	1	String	street1	40		1	m#	m#
6	2	String	street2	40		1	m#	m#
6	3	String	city	30		1	m#	m#
6	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>	1	m#	m#
6	5	String	zipCode	10		1	m	m
5	4	String	isRelatedToMunicipalAdvisor		<a href="#">YES NO TYPE</a>	1	m#	m#
5	5	String	isRelatedToInvestment			1	m#	m#
5	6	String	natureOfBusiness	256		1	m#	m#
5	7	String	positionDescription	1000		1	m#	m#
5	8	String	approximateHoursOrMonths	4		1	m#	m#
5	9	String	dutiesDescription	3000		1	m#	m#
3	15	NV	disclosureQuestions			NA	NA	NA
4	1	NV	criminalDisclosure			NA	NA	NA

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-IA
5	1	NV	criminalDisclosureCommonQuestion			NA	NA	NA
6	1	String	isConvictedOfFelony		<a href="#">YES NO TYPE</a>	1	m	m
6	2	String	isChargedWithFelony		<a href="#">YES NO TYPE</a>	1	m	m
6	3	String	isOrgConvictedOfFelony		<a href="#">YES NO TYPE</a>	1	m	m
6	4	String	isOrgChargedWithFelony		<a href="#">YES NO TYPE</a>	1	m	m
5	2	String	isConvictedOfMisdemeanor		<a href="#">YES NO TYPE</a>	1	m	m
5	3	String	isChargedWithMisdemeanor		<a href="#">YES NO TYPE</a>	1	m	m
5	4	String	isOrgConvictedOfMisdemeanor		<a href="#">YES NO TYPE</a>	1	m	m
5	5	String	isOrgChargedWithMisdemeanor		<a href="#">YES NO TYPE</a>	1	m	m
4	2	NV	regulatoryDisclosure			NA	NA	NA
5	1	NV	regulatoryDisclosureCommonQuestion			NA	NA	NA
6	1	String	isMadeFalseStatement		<a href="#">YES NO TYPE</a>	1	m	m
6	2	String	isViolatedRegulation		<a href="#">YES NO TYPE</a>	1	m	m
6	3	String	isCauseOfDenial		<a href="#">YES NO TYPE</a>	1	m	m
6	4	String	isOrderAgainst		<a href="#">YES NO TYPE</a>	1	m	m
6	5	String	isImposedPenalty		<a href="#">YES NO TYPE</a>	1	m	m
6	6	String	isUnEthical		<a href="#">YES NO TYPE</a>	1	m	m
6	7	String	isFoundInViolationOfRegulation		<a href="#">YES NO TYPE</a>	1	m	m
6	8	String	isFoundInCauseOfDenial		<a href="#">YES NO TYPE</a>	1	m	m
6	9	String	isOrderAgainstActivity		<a href="#">YES NO TYPE</a>	1	m	m
6	10	String	isDeniedLicense		<a href="#">YES NO TYPE</a>	1	m	m
6	11	String	isFoundMadeFalseStatement		<a href="#">YES NO TYPE</a>	1	m	m
6	12	String	isFoundInViolationOfRules		<a href="#">YES NO TYPE</a>	1	m	m
6	13	String	isFoundInCauseOfSuspension		<a href="#">YES NO TYPE</a>	1	m	m
6	14	String	isDisciplined		<a href="#">YES NO TYPE</a>	1	m	m
6	15	String	isAuthorizedToActAttorney		<a href="#">YES NO TYPE</a>	1	m	m
6	16	String	isRegulatoryComplaint		<a href="#">YES NO TYPE</a>	1	m	m
5	2	String	isViolatedSecurityAct		<a href="#">YES NO TYPE</a>	1	m	m
5	3	String	isWillFullyAided		<a href="#">YES NO TYPE</a>	1	m	m
5	4	String	isFailedToSupervise		<a href="#">YES NO TYPE</a>	1	m	m
5	5	String	isFoundWillFullyAided		<a href="#">YES NO TYPE</a>	1	m	m
5	6	String	isAssociationBared		<a href="#">YES NO TYPE</a>	1	m	m
5	7	String	isFinalOrder		<a href="#">YES NO TYPE</a>	NA	NA	NA
5	8	String	isWillFullyViolatedSecurityAct		<a href="#">YES NO TYPE</a>	1	m	m
5	9	String	isFailedReasonably		<a href="#">YES NO TYPE</a>	1	m	m
4	3	NV	investigationDisclosure			NA	NA	NA
5	1	String	isInvestigated		<a href="#">YES NO TYPE</a>	1	m	m
4	4	NV	civilDisclosure			NA	NA	NA
5	1	String	isEnjoined		<a href="#">YES NO TYPE</a>	1	m	m

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
5	2	String	isFoundInViolationOfRegulation		<a href="#">YES NO TYPE</a>	1	m	m
5	3	String	isDismissed		<a href="#">YES NO TYPE</a>	1	m	m
5	4	String	isNamedInCivilProceeding		<a href="#">YES NO TYPE</a>	1	m	m
4	5	NV	complaintDisclosure			NA	NA	NA
5	1	String	isComplaintPending		<a href="#">YES NO TYPE</a>	1	m	m
5	2	String	isComplaintSettled		<a href="#">YES NO TYPE</a>	1	m	m
5	3	String	isFraudCasePending		<a href="#">YES NO TYPE</a>	1	m	m
5	4	String	isFraudCaseResultedAward		<a href="#">YES NO TYPE</a>	1	m	m
5	5	String	isFraudCaseSettled		<a href="#">YES NO TYPE</a>	1	m	m
4	6	NV	terminationDisclosure			NA	NA	NA
5	1	String	isVilotedIndustryStandard		<a href="#">YES NO TYPE</a>	1	m	m
5	2	String	isInvolvedInFraud		<a href="#">YES NO TYPE</a>	1	m	m
5	3	String	isFailedToSupervise		<a href="#">YES NO TYPE</a>	1	m	m
4	7	NV	financialDisclosure			NA	NA	NA
5	1	String	isCompromised		<a href="#">YES NO TYPE</a>	1	m	m
5	2	String	isBankruptcyPetition		<a href="#">YES NO TYPE</a>	1	m	m
5	3	String	isTrusteeApointed		<a href="#">YES NO TYPE</a>	1	m	m
5	4	String	isBondRevoked		<a href="#">YES NO TYPE</a>	1	m	m
4	8	NV	judgmentLienDisclosure			NA	NA	NA
5	1	String	isLienAgainst		<a href="#">YES NO TYPE</a>	1	m	m
3	16	NV	signatureInfo			NA	NA	NA
4	1	NV	signature			NA	NA	NA
5	1	String	dateSigned	10		1	m#	m#
5	2	NV	nameOfApplicant			NA	NA	NA
6	1	String	firstName	50		1	m#	m#
6	2	String	middleName	30		1	m#	m#
6	3	String	lastName	50		1	m#	m#
6	4	String	suffix	10		1	m#	m#
5	3	String	signature	150		1	m#	m#
5	4	String	title	256		1	m#	m#
4	2	String	crdNumber	9		1	m#	m#
3	17	NV	selfCertification			1	m#	m#
4	1	NV	signature			1	m#	m#
5	1	String	dateSigned	10		1	m#	m#
5	2	NV	nameOfApplicant			NA	NA	NA
6	1	String	firstName	50		1	m#	m#
6	2	String	middleName	30		1	m#	m#
6	3	String	lastName	50		1	m#	m#
6	4	String	suffix	10		1	o	o

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
5	3	String	signature	150		1	m#	m#
5	4	String	title	256		1	m#	m#
4	2	String	crdNumber	9		1	o	o
3	18	NV	drpInfo			1	m#	m#
4	1	NV	criminalDisclosure			NA	NA	NA
5	1	NV	criminalDrp			100	NA	NA
6	1	NV	baseHeader			NA	NA	NA
7	1	String	reponseType			1	m#1	m#1
8	1	String	add			1	m#1	m#1
8	2	String	amend			1	m#1	m#1
7	2	NV	filingInfo			1	m#1	m#1
8	1	NV	formADVBDFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	crdNumber	9		1	m#1	m#1
9	3	String	disclosureNumber	256		1	m#1	m#1
8	2	NV	maFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	registrationNumber	17		1	m#1	m#1
9	3	String	filingDate	10		1	m#1	m#1
9	4	String	accessionNumber	20		1	m#1	m#1
8	3	NV	maiFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	registrationNumber	17		1	m#1	m#1
9	3	String	filingDate	10		1	m#1	m#1
9	4	String	accessionNumber	20		1	m#1	m#1
8	4	NV	matFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	registrationNumber	17		1	m#1	m#1
9	3	String	filingDate	10		1	m#1	m#1
9	4	String	accessionNumber	20		1	m#1	m#1
7	3	String	summary	10000		1	m#1	m#1
7	4	NV	drpRemovalInfo			1	m#1	m#1
8	1	String	isDrpRemovable		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1
8	2	NV	drpRemoveReason			1	m#1	m#1
9	1	String	isRegistered			1	m#1	m#1
9	2	NV	isFiledError			1	m#1	m#1
10	1	String	explainTheCircumstances	10000		1	m#1	m#1
7	5	String	status			1	m#1	m#1
8	1	String	pending			1	m#1	m#1



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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
8	2	String	onAppeal			1	m#1	m#1
8	3	String	final			1	m#1	m#1
6	2	NV	criminalQuestions		<a href="#">CRIMINAL DISCLOSURE QUESTIONS</a>	1	m#1	m#1
6	3	NV	drpData			1	m#1	m#1
7	1	NV	chargeInfo			1	m#1	m#1
8	1	String	name	256		1	m#1	m#1
8	2	String	isEngagedInMARBusiness		<a href="#">YES NO TYPE</a>	1	m#1	m#1
8	3	String	relationshipToCharged	10000		1	m#1	m#1
7	2	NV	formalCharges			1	m#1	m#1
8	1	NV	courtType			1	m#1	m#1
9	1	String	isFederalCourt		<a href="#">YES NO TYPE</a>	1	m#1	m#1
9	2	String	isMilitaryCourt		<a href="#">YES NO TYPE</a>	1	m#1	m#1
9	3	String	isStateCourt		<a href="#">YES NO TYPE</a>	1	m#1	m#1
9	4	String	isForeignCountryCourt		<a href="#">YES NO TYPE</a>	1	m#1	m#1
9	5	String	isInternationalCourt		<a href="#">YES NO TYPE</a>	1	m#1	m#1
9	6	String	isOther		<a href="#">YES NO TYPE</a>	1	m#1	m#1
8	2	String	other	256		1	m#1	m#1
8	3	NV	chargesInfo			1	m#1	m#1
9	1	NV	courtInfo			1	m#1	m#1
10	1	String	name	256		1	m#1	m#1
10	2	String	docketOrCaseNo	256		1	m#1	m#1
10	3	NV	address			1	m#1	m#1
11	1	String	street1	40		1	m#1	m#1
11	2	String	street2	40		1	m#1	m#1
11	3	String	city	30		1	m#1	m#1
11	4	String	stateOrCountry		<a href="#">STATE COUNTRY CODE</a>	1	m#1	m#1
11	5	String	zipCode	10		1	m#1	m#1
9	2	String	docketCaseName	256		1	m#1	m#1
7	3	NV	eventDisclosureDetail			1	m#1	m#1
8	1	NV	eventDetail			1	m#1	m#1
9	1	String	date	10		1	m#1	m#1
9	2	String	exact			1	m#1	m#1
9	3	NV	explanationInfo			1	m#1	m#1
10	1	String	explanation			1	m#1	m#1
12	2	String	provideExplanation	10000		1	m#1	m#1
8	2	NV	chargeDetails			1	m#1	m#1
9	1	NV	chargeDetail			100	m#1	m#1
10	1	String	charge	10000		1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
10	2	String	numberOfCounts	10		1	m#1	m#1
10	3	NV	felonyOrMisdemeanor			1	m#1	m#1
11	1	String	felony			1	m#1	m#1
11	2	String	misdemeanor			1	m#1	m#1
10	4	String	plea	1000		1	m#1	m#1
10	5	String	productType	1000		1	m#1	m#1
10	6	String	investmentProductType	1000		1	m#1	m#1
10	7	String	amendedReducedDate	10		1	m#1	m#1
7	4	NV	appealInformation			1	m#1	m#1
8	1	NV	dispositionDetails			1	m#1	m#1
9	1	String	dispositionDetail			100	m#1	m#1
8	2	String	isFelonyCharge		<a href="#">YES NO TYPE</a>	1	m#1	m#1
8	3	NV	eventStatusDate			1	m#1	m#1
9	1	String	date	10		1	m#1	m#1
9	2	String	exact			1	m#1	m#1
9	3	NV	explanationInfo			1	m#1	m#1
10	1	String	explanation			1	m#1	m#1
10	2	String	provideExplanation	3000		1	m#1	m#1
8	4	NV	courtInfo			1	m#1	m#1
9	1	NV	courtType			1	m#1	m#1
10	1	String	court		<a href="#">CRIMINAL_EVENT_C OURT_TYPES</a>	1	m#1	m#1
10	2	String	other	1000		1	m#1	m#1
9	2	NV	chargesInfo			1	m#1	m#1
10	1	NV	courtInfo			1	m#1	m#1
11	1	String	name	256		1	m#1	m#1
11	2	String	docketOrCaseNo	256		1	m#1	m#1
11	3	NV	address			1	m#1	m#1
12	1	String	street1	40		1	m#1	m#1
12	2	String	street2	40		1	m#1	m#1
12	3	String	city	30		1	m#1	m#1
12	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_C ODE</a>	1	m#1	m#1
12	5	String	zipCode	10		1	m#1	m#1
10	2	String	docketCaseName	256		1	m#1	m#1
8	5	String	dateAppealFiled	10		1	m#1	m#1
4	2	NV	regulatoryDisclosure			NA	NA	NA
5	1	NV	regulatoryDrp			100	NA	NA
6	1	NV	baseHeader			1	m#1	m#1
7	1	String	reponseType			1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
8	1	String	add			1	m#1	m#1
8	2	String	amend			1	m#1	m#1
7	2	NV	filingInfo			1	m#1	m#1
8	1	NV	formADVBDfiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	crdNumber	9		1	m#1	m#1
9	3	String	disclosureNumber	256		1	m#1	m#1
8	2	NV	maFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	registrationNumber	17		1	m#1	m#1
9	3	String	filingDate	10		1	m#1	m#1
9	4	String	accessionNumber	20		1	m#1	m#1
8	3	NV	maiFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	registrationNumber	17		1	m#1	m#1
9	3	String	filingDate	10		1	m#1	m#1
9	4	String	accessionNumber	20		1	m#1	m#1
8	4	NV	matFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	registrationNumber	17		1	m#1	m#1
9	3	String	filingDate	10		1	m#1	m#1
7	3	String	summary	10000		1	m#1	m#1
7	4	NV	drpRemovalInfo			1	m#1	m#1
8	1	String	isDrpRemovable		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1
8	2	NV	drpRemoveReason			1	m#1	m#1
9	1	String	isRegistered			1	m#1	m#1
9	2	NV	isFiledError			1	m#1	m#1
10	1	String	explainTheCircumstances	10000		1	m#1	m#1
7	5	String	status			1	m#1	m#1
8	1	String	pending			1	m#1	m#1
8	2	String	onAppeal			1	m#1	m#1
8	3	String	final			1	m#1	m#1
6	2	String	regulatoryQuestions		<a href="#">REGULATORY DISCLOSURE QUESTIONS</a>	24	m#1	m#1
6	3	NV	drpData			1	m#1	m#1
7	1	NV	initiatedBy			1	m#1	m#1
8	1	String	Initiator			1	m#1	m#1
8	2	String	other	256		1	m#1	m#1
8	3	String	name	256		1	m#1	m#1
7	2	NV	sactionsSought			1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
8	1	NV	saction		<a href="#">SANCTION BASE TYPE, PRINCIPAL SANCTION RELIEF BASE</a>	18	m#1	m#1
8	2	String	otherExplanation	3000		1	m#1	m#1
7	3	NV	dateInitiated			1	m#1	m#1
8	1	String	date	10		1	m#1	m#1
8	2	String	exact			1	m#1	m#1
8	3	NV	explanationInfo			1	m#1	m#1
9	1	String	explanation			1	m#1	m#1
9	2	String	provideExplanation	1000		1	m#1	m#1
7	4	NV	regulatoryInfo			1	m#1	m#1
8	1	NV	regulatoryBroughtInInformation			1	m#1	m#1
9	1	NV	courtInfo			1	m#1	m#1
10	1	String	name	256		1	m#1	m#1
10	2	String	docketOrCaseNo	256		1	m#1	m#1
10	3	NV	address			1	m#1	m#1
11	1	String	street1	40		1	m#1	m#1
11	2	String	street2	40		1	m#1	m#1
11	3	String	city	30		1	m#1	m#1
11	4	String	stateOrCountry		<a href="#">STATE COUNTRY CODE</a>	1	m#1	m#1
11	5	String	zipCode	10		1	m#1	m#1
9	2	String	docketCaseName	256		1	m#1	m#1
8	2	NV	matterInformation			1	m#1	m#1
9	1	NV	employingFirmInfo			1	m#1	m#1
10	1	String	name	256		1	m#1	m#1
10	2	String	registrationNumber	17		1	o	o
10	3	String	crdNumber	9		1	o	o
9	2	NV	principalProductTypes			35	m#1	m#1
10	1	String	product		<a href="#">PRINCIPAL PRODUCT OPTION TYPE, PRINCIPAL PRODUCT TYPE EXTENDED</a>	1	m#1	m#1
10	2	String	productOther	1000		1	m#1	m#1
9	3	String	otherProductTypes	1000		1	m#1	m#1
9	4	String	allegationsDescription	10000		1	m#1	m#1
8	3	NV	pendingInformation			1	m#1	m#1
9	1	NV	servedInformation			1	m#1	m#1
10	1	String	date	10		1	m#1	m#1
10	2	String	exact			1	m#1	m#1
10	3	NV	explanationInfo			1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
11	1	String	explanation			1	m#1	m#1
11	2	String	provideExplanation	3000		1	m#1	m#1
9	2	NV	limitationsRestrictions			1	m#1	m#1
10	1	String	hasLimitationsOrRestrictions		<a href="#">YES NO TYPE</a>	1	m#1	m#1
10	2	String	details	1000		1	m#1	m#1
8	4	NV	appealInformation			1	m#1	m#1
9	1	NV	appealBroughtInInformation			1	m#1	m#1
10	1	NV	courtInfo			1	m#1	m#1
11	1	String	name	256		1	m#1	m#1
11	2	String	docketOrCaseNo	256		1	m#1	m#1
11	3	NV	address			1	m#1	m#1
12	1	String	street1	40		1	m#1	m#1
12	2	String	street2	40		1	m#1	m#1
12	3	String	city	30		1	m#1	m#1
12	4	String	stateOrCountry		<a href="#">STATE COUNTRY CODE</a>	1	m#1	m#1
12	5	String	zipCode	10		1	m#1	m#1
10	2	String	docketCaseName	256		1	m#1	m#1
9	2	NV	dateInformation			1	m#1	m#1
10	1	String	date	10		1	m#1	m#1
10	2	String	exact			1	m#1	m#1
10	3	NV	explanationInfo			1	m#1	m#1
11	1	String	explanation			1	m#1	m#1
11	2	String	provideExplanation	3000		1	m#1	m#1
9	3	String	details	10000		1	m#1	m#1
9	4	NV	limitationsRestrictions			1	m#1	m#1
10	1	String	hasLimitationsOrRestrictions		<a href="#">YES NO TYPE</a>	1	m#1	m#1
10	2	String	details	1000		1	m#1	m#1
8	5	NV	resolutionDetail			1	m#1	m#1
9	1	NV	sactionsOrdered			1	m#1	m#1
10	1		saction		<a href="#">SANCTION BASE TYPE, PRINCIPAL SANCTION RELIEF BASE</a>	20	m#1	m#1
10	2	String	otherExplanation	20		1	m#1	m#1
9	2	Decimal	amountOrdered	16		1	m#1	m#1
8	6	NV	sanctionDetails			1	m#1	m#1
9	1	NV	barred			unbounded	m#1	m#1
10	1	NV	duration			1	m#1	m#1
11	1	NV	durationType			1	m#1	m#1
12	1	String	permanent			1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
12	2	NV	durationTime			1	m#1	m#1
13	1	String	days			1	m#1	m#1
13	2	String	months			1	m#1	m#1
13	3	String	years			1	m#1	m#1
10	2	NV	startDate			1	m#1	m#1
11	1	String	date	10		1	m#1	m#1
11	2	String	exact			1	m#1	m#1
11	3	NV	explanationInfo			1	m#1	m#1
12	1	String	explanation			1	m#1	m#1
12	2	String	provideExplanation	10000		1	m#1	m#1
10	3	NV	endDate			1	m#1	m#1
11	1	String	date	10		1	m#1	m#1
11	2	String	exact			1	m#1	m#1
11	3	NV	explanationInfo			1	m#1	m#1
12	1	String	explanation			1	m#1	m#1
12	2	String	provideExplanation	10000		1	m#1	m#1
10	4	String	description	3000		1	m#1	m#1
9	2	NV	enjoined			unbounded	m#1	m#1
10	1	NV	duration			1	m#1	m#1
11	1	NV	durationType			1	m#1	m#1
12	1	String	permanent			1	m#1	m#1
12	2	NV	durationTime			1	m#1	m#1
13	1	String	days			1	m#1	m#1
13	2	String	months			1	m#1	m#1
13	3	String	years			1	m#1	m#1
10	2	NV	startDate			1	m#1	m#1
11	1	String	date	10		1	m#1	m#1
11	2	String	exact			1	m#1	m#1
11	3	NV	explanationInfo			1	m#1	m#1
12	1	String	explanation			1	m#1	m#1
12	2	String	provideExplanation	10000		1	m#1	m#1
10	3	NV	endDate			1	m#1	m#1
11	1	String	date	10		1	m#1	m#1
11	2	String	exact			1	m#1	m#1
11	3	NV	explanationInfo			1	m#1	m#1
12	1	String	explanation			1	m#1	m#1
12	2	String	provideExplanation	10000		1	m#1	m#1
10	4	String	description	3000		1	m#1	m#1
9	3	NV	suspended			unbounded	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
10	1	NV	duration			1	m#1	m#1
11	1	NV	durationType			1	m#1	m#1
12	1	String	permanent			1	m#1	m#1
12	2	NV	durationTime			1	m#1	m#1
13	1	String	days			1	m#1	m#1
13	2	String	months			1	m#1	m#1
13	3	String	years			1	m#1	m#1
10	2	NV	startDate			1	m#1	m#1
11	1	String	date	10		1	m#1	m#1
11	2	String	exact			1	m#1	m#1
11	3	NV	explanationInfo			1	m#1	m#1
12	1	String	explanation			1	m#1	m#1
12	2	String	provideExplanation	10000		1	m#1	m#1
10	3	NV	endDate			1	m#1	m#1
11	1	String	date	10		1	m#1	m#1
11	2	String	exact			1	m#1	m#1
11	3	NV	explanationInfo			1	m#1	m#1
12	1	String	explanation			1	m#1	m#1
12	2	String	provideExplanation	1000		1	m#1	m#1
10	4	String	description	3000		1	m#1	m#1
9	4	String	isReqaulificationRequired		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1
9	5	NV	requaificationDetails			1	m#1	m#1
10	1	NV	timeToReqaulifyRetrain			1	m#1	m#1
11	1	String	noTimePeriodSpecified			1	m#1	m#1
11	2	NV	durationTime			1	m#1	m#1
12	1	String	days			1	m#1	m#1
12	2	String	months			1	m#1	m#1
12	3	String	years			1	m#1	m#1
10	2	String	typeRequired	1000		1	m#1	m#1
10	3	NV	conditionState			1	m#1	m#1
11	1	String	satisfied			1	m#1	m#1
12	1	String	satisfied			1	m#1	m#1
12	2	NV	satisfiedDate	10		1	m#1	m#1
11	2	NV	notSatisfied			1	m#1	m#1
12	1	String	satisfied			1	m#1	m#1
12	2	String	explanation	1000		1	m#1	m#1
9	6	NV	monetarySanctions			1	m#1	m#1
10	1	Decimal	totalAmountOrdered	16		1	m#1	m#1
10	2	Decimal	amountOrdered	16		1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
10	3	Decimal	waivedPortion	16		1	m#1	m#1
10	4	Decimal	finalAmount	18		1	m#1	m#1
10	5	NV	paymentState			1	m#1	m#1
11	1	NV	paidInFull			1	m#1	m#1
12	1	String	amountPaidInFull			1	m#1	m#1
12	2	String	paidDate	10		1	m#1	m#1
11	2	NV	notPaidInFull			1	m#1	m#1
12	1	String	amountNotPaidInFull			1	m#1	m#1
12	2	String	explanation	1000		1	o	o
7	5	NV	resolutionMatterInfo			1	m#	m#
8	1	String	type		<a href="#">MATTER_RESOLVED_TYPE</a>	12	m#1	m#1
8	2	String	appealType		<a href="#">MATTER_APPEAL_TYPE</a>	5	m#1	m#1
8	3	String	explanation	10000		1	o	o
8	4	String	isFinalOrder		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1
8	5	NV	resolutionDate			1	m#1	m#1
9	1	String	date	10		1	m#1	m#1
9	2	String	exact			1	m#1	m#1
9	3	NV	explanationInfo			1	m#1	m#1
10	1	String	explanation			1	m#1	m#1
10	2	String	provideExplanation	1000		1	m#1	m#1
4	3	NV	investigationDisclosure			NA	NA	NA
5	1	NV	investigationDrp			100	NA	NA
6	1	NV	baseHeader			NA	NA	NA
7	1	String	reponseType			1	m#1	m#1
8	1	String	add			1	m#1	m#1
8	2	String	amend			1	m#1	m#1
7	2	NV	filingInfo			1	m#1	m#1
8	1	NV	formADVBDfiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	crdNumber	9		1	m#1	m#1
9	3	String	disclosureNumber	256		1	m#1	m#1
8	2	NV	maFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	registrationNumber	17		1	m#1	m#1
9	3	String	filingDate	10		1	m#1	m#1
9	4	String	accessionNumber	20		1	m#1	m#1
8	3	NV	maiFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1



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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
9	2	String	registrationNumber	17		1	m#1	m#1
9	3	String	filingDate	10		1	m#1	m#1
9	4	String	accessionNumber	20		1	m#1	m#1
8	4	NV	matFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	registrationNumber	17		1	m#1	m#1
9	3	String	filingDate	10		1	m#1	m#1
7	3	String	summary	10000		1	m#1	m#1
7	4	NV	drpRemovalInfo			1	m#1	m#1
8	1	String	isDrpRemovable		<a href="#">YES NO TYPE</a>	1	m#1	m#1
8	2	NV	drpRemoveReason			1	m#1	m#1
9	1	String	isRegistered			1	m#1	m#1
9	2	NV	isFiledError			1	m#1	m#1
10	1	String	explainTheCircumstances	10000		1	m#1	m#1
7	5	String	status			1	m#1	m#1
8	1	String	pending			1	m#1	m#1
8	2	String	onAppeal			1	m#1	m#1
8	3	String	final			1	m#1	m#1
6	2	String	investigationQuestions		<a href="#">INVESTIGATION DIS CLOSURE QUESTIONS</a>	6	m#1	m#1
6	3	String	drpData			1	m#1	m#1
7	1	NV	crimeInvestigationInfo			1	m#1	m#1
8	1	String	court		<a href="#">CRIMINAL EVENT COURT TYPES</a>	1	m#1	m#1
8	2	String	other	1000		1	m#1	m#1
7	2	NV	regulatoryInfo			1	m#1	m#1
8	1	String	initiator			1	m#1	m#1
9	1	String	sec			1	m#1	m#1
9	2	String	cftc			1	m#1	m#1
9	3	String	otherFederalAuthority			1	m#1	m#1
9	4	String	state			1	m#1	m#1
9	5	String	sro			1	m#1	m#1
9	6	String	foreignFRA			1	m#1	m#1
9	7	String	otherForeignAuthority			1	m#1	m#1
9	8	String	other			1	m#1	m#1
8	2	String	other	256		1	m#1	m#1
7	3	String	authorityName	256		1	m#1	m#1
7	4	NV	noticeDate			1	m#1	m#1
8	1	String	date	10		1	m#1	m#1
8	2	String	exact			1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
8	3	NV	explanationInfo			1	m#1	m#1
9	1	String	explanation			1	m#1	m#1
9	2	String	provideExplanation	1000		1	m#1	m#1
7	5	String	investigationNature	10000		1	m#1	m#1
7	6		productType			1	m#1	m#1
8	1	String	product		<a href="#">PRINCIPAL PRODUCT OPTION TYPE, PRINCIPAL PRODUCT TYPE EXTENDED</a>	1	m#1	m#1
8	2	String	productOther	1000		1	m#1	m#1
7	7	NV	caseClosedDate			1	m#1	m#1
8	1	String	date	10		1	m#1	m#1
8	2	String	exact			1	m#1	m#1
8	3	NV	explanationInfo			1	m#1	m#1
9	1	String	explanation			1	m#1	m#1
9	2	String	provideExplanation	3000		1	m#1	m#1
7	8	NV	investigationResolvedInfo			1	m#1	m#1
8	1	String	resolvedTypes		<a href="#">INVESTIGATION RESOLVED TYPE</a>	1	m#1	m#1
8	2	String	reason	10000		1	m#1	m#1
4	4	NV	terminationDisclosure			1	m#1	m#1
5	1	NV	terminationDrp			100	m#1	m#1
6	1	NV	baseHeader			1	m#1	m#1
7	1	String	reponseType			1	m#1	m#1
8	1	String	add			1	m#1	m#1
8	2	String	amend			1	m#1	m#1
7	2	NV	filingInfo			1	m#1	m#1
8	1	NV	formADVBD Filing			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	crdNumber	9		1	m#1	m#1
9	3	String	disclosureNumber	256		1	m#1	m#1
8	2	NV	maFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	registrationNumber	17		1	m#1	m#1
9	3	String	filingDate	10		1	m#1	m#1
9	4	String	accessionNumber	20		1	m#1	m#1
8	3	NV	maiFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	registrationNumber	17		1	m#1	m#1
9	3	String	filingDate	10		1	m#1	m#1
9	4	String	accessionNumber	20		1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
8	4	NV	matFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	registrationNumber	17		1	m#1	m#1
9	3	String	filingDate	10		1	m#1	m#1
7	3	String	summary	10000		1	m#1	m#1
7	4	NV	drpRemovalInfo			1	m#1	m#1
8	1	String	isDrpRemovable		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1
8	2	NV	drpRemoveReason			1	m#1	m#1
9	1	String	isRegistered			1	m#1	m#1
9	2	NV	isFiledError			1	m#1	m#1
10	1	String	explainTheCircumstances	10000		1	m#1	m#1
7	5	String	status			1	m#1	m#1
6	2	String	terminationQuestions		<a href="#">TERMINATION DISCLOSURE QUESTIONS</a>	6	m#1	m#1
6	3	NV	drpData			1	m#1	m#1
7	1	NV	firmInfo			1	m#1	m#1
8	1	String	name	256		1	m#1	m#1
8	2	String	registrationNumber	17		NA	NA	NA
8	3	String	crdNumber	9		1	o	o
7	2	String	terminationType		<a href="#">TERMINATION_TYPE</a>	1	m#1	m#1
7	3	NV	terminationDate			1	m#1	m#1
8	1	String	exact			1	m#1	m#1
8	2	NV	explanationInfo			1	m#1	m#1
9	1	String	explanation			1	m#1	m#1
9	2	String	provideExplanation	1000		1	m#1	m#1
7	4	String	allegation	10000		1	m#1	m#1
7	5	NV	productType			1	m#1	m#1
8	1	NV	product		<a href="#">PRINCIPAL PRODUCT OPTION TYPE, PRINCIPAL PRODUCT TYPE EXTENDED</a>	35	m#1	m#1
8	2	String	productOther	1000		1	m#1	m#1
4	5	NV	judgementLienDisclosure			1	m#1	m#1
5	1	NV	judgementLienDrp			100	m#1	m#1
6	1	NV	baseHeader			1	m#1	m#1
7	1	String	reponseType			1	m#1	m#1
8	1	String	add			1	m#1	m#1
8	2	String	amend			1	m#1	m#1
7	2	NV	filingInfo			1	m#1	m#1
8	1	NV	formADVBDFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
9	2	String	crdNumber	9		1	m#1	m#1
9	3	String	disclosureNumber	256		1	m#1	m#1
8	2	NV	maFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	registrationNumber	17		1	m#1	m#1
9	3	String	filingDate	10		1	m#1	m#1
9	4	String	accessionNumber	20		1	m#1	m#1
8	3	NV	maiFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	registrationNumber	17		1	m#1	m#1
9	3	String	filingDate	10		1	m#1	m#1
9	4	String	accessionNumber	20		1	m#1	m#1
8	4	NV	matFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	registrationNumber	17		1	m#1	m#1
9	3	String	filingDate	10		1	m#1	m#1
7	3	String	summary	10000		1	m#1	m#1
7	4	NV	drpRemovalInfo			1	m#1	m#1
8	1	String	isDrpRemovable		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1
8	2	NV	drpRemoveReason			1	m#1	m#1
9	1	String	isRegistered			1	m#1	m#1
9	2	NV	isFiledError			1	m#1	m#1
10	1	String	explainTheCircumstances	10000		1	m#1	m#1
7	5	NV	status			1	m#1	m#1
8	1	NV	pending			1	m#1	m#1
8	2	NV	onAppeal			1	m#1	m#1
8	3	NV	final			1	m#1	m#1
6	2	String	judgementQuestions		<a href="#">JUDGEMENT LIEN DISCLOSURE QUESTIONS</a>	1	m#1	m#1
6	3	NV	drpData			1	m#1	m#1
7	1	Decimal	judgementOrLienAmount	16		1	m#1	m#1
7	2	String	judgementOrLienHolder	256		1	m#1	m#1
7	3	String	judgmentOrLienType		<a href="#">LIEN_TYPE</a>	2	m#1	m#1
7	4	NV	dateFiled			1	m#1	m#1
8	1	String	date	10		1	m#1	m#1
8	2	String	exact			1	m#1	m#1
8	3	NV	explanationInfo			1	m#1	m#1
9	1	String	exact			1	m#1	m#1
9	2	NV	explanationInfo			1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
10	1	String	explanation			1	m#1	m#1
10	2	String	provideExplanation	1000		1	m#1	m#1
7	5	NV	courtInfo			1	m#1	m#1
8	1	String	court		<a href="#">CRIMINAL_EVENT_COURT_TYPES</a>	1	m#1	m#1
8	2	String	other	1000		1	m#1	m#1
7	6	NV	courtDetail			1	m#1	m#1
8	1	NV	detail			1	m#1	m#1
9	1	NV	courtInfo			1	m#1	m#1
10	1	String	name	256		1	m#1	m#1
10	2	String	docketOrCaseNo	256		1	m#1	m#1
10	3	NV	address			1	m#1	m#1
11	1	String	street1	40		1	m#1	m#1
11	2	String	street2	40		1	m#1	m#1
11	3	String	city	30		1	m#1	m#1
11	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>	1	m#1	m#1
11	5	String	zipCode	10		1	m#1	m#1
9	2	String	docketCaseName	256		1	m#1	m#1
8	2	NV	date			1	m#1	m#1
9	1	String	date	10		1	m#1	m#1
9	2	String	exact			1	m#1	m#1
9	3	String	explanationInfo			1	m#1	m#1
10	1	String	explanation			1	m#1	m#1
10	2	String	provideExplanation	1000		1	m#1	m#1
7	7	String	matterResolved		<a href="#">LIEN_RESOLVED_TYPE</a> , <a href="#">LIEN_RESOLVED_TYPE_EXTENDED</a>	1	m#1	m#1
7	8	String	matterResolvedExplanation	3000		1	m#1	m#1
4	6	NV	civilJudicialDisclosure			1	m#1	m#1
5	1	NV	civilJudicialDrp			100	m#1	m#1
6	1	NV	baseHeader			1	m#1	m#1
7	1	String	reponseType			1	m#1	m#1
8	1	String	add			1	m#1	m#1
8	2	String	amend			1	m#1	m#1
7	2	NV	filingInfo			1	m#1	m#1
8	1	NV	formADVBDFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	crdNumber	9		1	m#1	m#1
9	3	String	disclosureNumber	256		1	m#1	m#1
8	2	NV	maFiling			1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
9	1	String	filingName	256		1	m#1	m#1
9	2	String	registrationNumber	17		1	m#1	m#1
9	3	String	filingDate	10		1	m#1	m#1
9	4	String	accessionNumber	20		1	m#1	m#1
8	3	NV	maiFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	registrationNumber	17		1	m#1	m#1
9	3	String	filingDate	10		1	m#1	m#1
9	4	String	accessionNumber	20		1	m#1	m#1
8	4	NV	matFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	registrationNumber	17		1	m#1	m#1
9	3	String	filingDate	10		1	m#1	m#1
7	3	String	summary	10000		1	m#1	m#1
7	4	NV	drpRemovalInfo			1	m#1	m#1
8	1	String	isDrpRemovable		YES NO TYPE	1	m#1	m#1
8	2	NV	drpRemoveReason			1	m#1	m#1
9	1	String	isRegistered			1	m#1	m#1
9	2	NV	isFiledError			1	m#1	m#1
10	1	String	explainTheCircumstances	10000		1	m#1	m#1
7	5	String	status			1	m#1	m#1
8	1	String	pending			1	m#1	m#1
8	2	String	onAppeal			1	m#1	m#1
8	3	String	final			1	m#1	m#1
6	2	String	civilJudicialQuestions		CIVIL JUDICIAL DISCLOSURE QUESTIONS	4	m#1	m#1
6	3	NV	drpData			1	m#1	m#1
7	1	NV	initiatedBy			1	m#1	m#1
8	1	NV	initiator		CIVIL JUDICIAL ACTION INITIATED BY TYPE	10	m#1	m#1
8	2	String	other	256		1	m#1	m#1
7	2	NV	plaintiffsInformation			1	m#1	m#1
8	1	String	plaintiffName	10000		1	m#1	m#1
8	2	String	isPlaintiffsIdentified		YES NO TYPE	1	m#1	m#1
7	3	NV	defendantInformation			1	m#1	m#1
8	1	String	defendantName	10000		1	m#1	m#1
8	2	String	isADefendant		YES NO TYPE	1	m#1	m#1
8	3	String	involvementInformation	3000		1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
7	4	NV	sactionsReliefSought		<a href="#">SANCTION TYPE, CIVIL JUDICIAL SANCTIONS TYPE</a>	1	m#1	m#1
8	1	NV	sanctionRelief			21	m#1	m#1
8	2	String	other	3000		1	m#1	m#1
7	5	NV	filingDateCourtAction			1	m#1	m#1
8	1	String	date	10		1	m#1	m#1
8	2	String	exact			1	m#1	m#1
8	3	NV	explanationInfo			1	m#1	m#1
9	1	String	explanation			1	m#1	m#1
9	2	String	provideExplanation	1000		1	m#1	m#1
7	6	NV	notificationServedInformation			1	m#1	m#1
8	1	String	date	10		1	m#1	m#1
8	2	String	exact			1	m#1	m#1
8	3	NV	explanationInfo			1	m#1	m#1
9	1	String	explanation			1	m#1	m#1
9	2	String	provideExplanation	1000		1	m#1	m#1
7	7	NV	courtInfo			1	m#1	m#1
8	1	NV	court		<a href="#">CRIMINAL EVENT COURT TYPES</a>	1	m#1	m#1
8	2	String	other	1000		1	m#1	m#1
7	8	NV	civilJudicialInfo			1	m#1	m#1
8	1	NV	courtInformation			1	m#1	m#1
9	1	NV	courtInfo			1	m#1	m#1
10	1	String	name	256		1	m#1	m#1
10	2	String	docketOrCaseNo	256		1	m#1	m#1
10	3	NV	address			1	m#1	m#1
11	1	String	street1	40		1	m#1	m#1
11	2	String	street2	40		1	m#1	m#1
11	3	String	city	30		1	m#1	m#1
11	4	String	stateOrCountry		<a href="#">STATE COUNTRY CODE</a>	1	m#1	m#1
11	5	String	zipCode	10		1	m#1	m#1
9	2	String	docketCaseName	256		1	m#1	m#1
8	2		matterInformation			1	m#1	m#1
9	1	NV	employingFirmInfo			1	m#1	m#1
10	1	String	name	256		1	m#1	m#1
10	2	String	registrationNumber	17		1	o	o
10	3	String	crdNumber	9		1	o	o
9	2	NV	principalProductTypes			1	m#1	m#1
10	1	String	product		<a href="#">PRINCIPAL PRODUCT OPTION TYPE,</a>	1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
					<a href="#">PRINCIPAL PRODUCT TYPE EXTENDED</a>			
10	2	String	productOther	1000		1	m#1	m#1
9	3	String	otherProductTypes	1000		1	m#1	m#1
9	4	String	allegationsDescription	10000		1	m#1	m#1
8	3	NV	pendingInformation			1	m#1	m#1
9	1	NV	servedInformation			1	m#1	m#1
10	1	String	date	10		1	m#1	m#1
10	2	String	exact			1	m#1	m#1
10	3	NV	explanationInfo			1	m#1	m#1
11	1	String	explanation			1	m#1	m#1
11	2	String	provideExplanation	1000		1	m#1	m#1
9	2	NV	limitationsRestrictions			1	m#1	m#1
10	1	String	hasLimitationsOrRestrictions		<a href="#">YES NO TYPE</a>	1	m#1	m#1
10	2	String	details	3000		1	m#1	m#1
8	4	NV	appealInformation			1	m#1	m#1
9	1	NV	appealBroughtInInformation			1	m#1	m#1
11	1	NV	courtInfo			1	m#1	m#1
12	1	String	name	256		1	m#1	m#1
12	2	String	docketOrCaseNo	256		1	m#1	m#1
12	3	NV	address			1	m#1	m#1
13	1	String	street1	40		1	m#1	m#1
13	2	String	street2	40		1	m#1	m#1
13	3	String	city	30		1	m#1	m#1
13	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>	1	m#1	m#1
13	5	String	zipCode	10		1	m#1	m#1
11	2	String	docketCaseName	256		1	m#1	m#1
9	2	NV	dateInformation			1	m#1	m#1
10	1	String	date	10		1	m#1	m#1
10	2	String	exact			1	m#1	m#1
10	3	NV	explanationInfo			1	m#1	m#1
11	1	String	explanation			1	m#1	m#1
11	2	String	provideExplanation	3000		1	m#1	m#1
9	3	String	details	10000		1	m#1	m#1
9	4	NV	limitationsRestrictions			1	m#1	m#1
10	1	String	hasLimitationsOrRestrictions		<a href="#">YES NO TYPE</a>	1	m#1	m#1
10	2	String	details	1000		1	m#1	m#1
8	5	NV	resolutionDetail			1	m#1	m#1
9	1	NV	sactionsOrdered			1	m#1	m#1



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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
10	1	String	saction		<a href="#">SANCTION BASE TYPE, PRINCIPAL SANCTION RELIEF BASE</a>	20	m#1	m#1
10	2	String	otherExplanation	10000		1	m#1	m#1
9	2	Decimal	amountOrdered	16		1	m#1	m#1
8	6	NV	sanctionDetails			1	m#1	m#1
9	1	NV	barred			unbounded	m#1	m#1
10	1	NV	duration			1	m#1	m#1
11	1	NV	durationType			1	m#1	m#1
12	1	String	permanent			1	m#1	m#1
12	2	NV	durationTime			1	m#1	m#1
13	1	String	days			1	m#1	m#1
13	2	String	months			1	m#1	m#1
13	3	String	years			1	m#1	m#1
10	2	NV	startDate			1	m#1	m#1
11	1	String	date	10		1	m#1	m#1
11	2	String	exact			1	m#1	m#1
11	3	NV	explanationInfo			1	m#1	m#1
12	1	String	explanation			1	m#1	m#1
12	2	String	provideExplanation	10000		1	m#1	m#1
10	3	NV	endDate			1	m#1	m#1
11	1	String	date	10		1	m#1	m#1
11	2	String	exact			1	m#1	m#1
11	3	NV	explanationInfo			1	m#1	m#1
12	1	String	explanation			1	m#1	m#1
12	2	String	provideExplanation	10000		1	m#1	m#1
10	4	String	description	3000		1	m#1	m#1
9	2	NV	enjoined			unbounded	m#1	m#1
10	1	NV	duration			1	m#1	m#1
11	1	NV	durationType			1	m#1	m#1
12	1	String	permanent			1	m#1	m#1
12	2	NV	durationTime			1	m#1	m#1
13	1	String	days			1	m#1	m#1
13	2	String	months			1	m#1	m#1
13	3	String	years			1	m#1	m#1
10	2	NV	startDate			1	m#1	m#1
11	1	String	date	10		1	m#1	m#1
11	2	String	exact			1	m#1	m#1
11	3	NV	explanationInfo			1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
12	1	String	explanation			1	m#1	m#1
12	2	String	provideExplanation	10000		1	m#1	m#1
10	3	NV	endDate			1	m#1	m#1
11	1	String	date	10		1	m#1	m#1
11	2	String	exact			1	m#1	m#1
11	3	NV	explanationInfo			1	m#1	m#1
12	1	String	explanation			1	m#1	m#1
12	2	String	provideExplanation	10000		1	m#1	m#1
10	4	String	description	3000		1	m#1	m#1
9	3	NV	suspended			unbounded	m#1	m#1
10	1	NV	duration			1	m#1	m#1
11	1	NV	durationType			1	m#1	m#1
12	1	String	permanent			1	m#1	m#1
12	2	NV	durationTime			1	m#1	m#1
13	1	String	days			1	m#1	m#1
13	2	String	months			1	m#1	m#1
13	3	String	years			1	m#1	m#1
10	2	NV	startDate			1	m#1	m#1
11	1	String	date	10		1	m#1	m#1
11	2	String	exact			1	m#1	m#1
11	3	NV	explanationInfo			1	m#1	m#1
12	1	String	explanation			1	m#1	m#1
12	2	String	provideExplanation	1000		1	m#1	m#1
10	3	NV	endDate			1	m#1	m#1
11	1	String	date	10		1	m#1	m#1
11	2	String	exact			1	m#1	m#1
11	3	NV	explanationInfo			1	m#1	m#1
12	1	String	explanation			1	m#1	m#1
12	2	String	provideExplanation	1000		1	m#1	m#1
10	4	String	description	3000		1	m#1	m#1
9	4	String	isReqaulificationRequired		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1
9	5	NV	requalificationDetails			1	m#1	m#1
10	1	NV	timeToReqaulifyRetrain			1	m#1	m#1
11	1	String	noTimePeriodSpecified			1	m#1	m#1
11	2	NV	durationTime			1	m#1	m#1
12	1	String	days			1	m#1	m#1
12	2	String	months			1	m#1	m#1
12	3	String	years			1	m#1	m#1
10	2	String	typeRequired	1000		1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
10	3	NV	conditionState			1	m#1	m#1
11	1	String	satisfied			1	m#1	m#1
12	1	String	satisfied			1	m#1	m#1
12	2	NV	satisfiedDate	10		1	m#1	m#1
11	2	NV	notSatisfied			1	m#1	m#1
12	1	String	satisfied			1	m#1	m#1
12	2	String	explanation	1000		1	m#1	m#1
9	6	NV	monetarySanctions			1	m#1	m#1
10	1	Decimal	totalAmountOrdered	16		1	m#1	m#1
10	2	Decimal	amountOrdered	16		1	m#1	m#1
10	3	Decimal	waivedPortion	16		1	m#1	m#1
10	4	Decimal	finalAmount	18		1	m#1	m#1
10	5	NV	paymentState			1	m#1	m#1
11	1	NV	paidInFull			1	m#1	m#1
12	1	String	amountPaidInFull			1	m#1	m#1
12	2	String	paidDate	10		1	m#1	m#1
11	2	NV	notPaidInFull			1	m#1	m#1
12	1	String	amountNotPaidInFull			1	m#1	m#1
12	2	String	explanation	1000		1	m#1	m#1
7	9	NV	resolutionInfo			1	m#1	m#1
8	1	String	resolutionTypes		<a href="#">CIVIL_JUDICIAL_TYPE</a>	12	m#1	m#1
8	2	String	otherDescription	1000		1	m#1	m#1
8	3	String	appealType		<a href="#">APPEAL_TYPES, APPEAL_EXTENDED_TYPE</a>	5	m#1	m#1
8	4	String	appealOther	1000		1	m#1	m#1
8	5	String	explanantion	10000		1	m#1	m#1
8	6	String	isFinalOrder		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1
8	7	NV	resolutionDate			1	m#1	m#1
9	1	String	date	10		1	m#1	m#1
9	2	String	exact			1	m#1	m#1
9	3	NV	explanationInfo			1	m#1	m#1
10	1	String	explanation			1	m#1	m#1
10	2	String	provideExplanation	1000		1	m#1	m#1
4	7	NV	customerComplaintDisclosure			1	m#1	m#1
5	1	NV	customerComplaintDrp			unbounded	m#1	m#1
6	1	NV	baseHeader			1	m#1	m#1
7	1	String	reponseType			1	m#1	m#1
8	1	String	add			1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
8	2	String	amend			1	m#1	m#1
7	2	NV	filingInfo			1	m#1	m#1
8	1	NV	formADVBDfiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	crdNumber	9		1	m#1	m#1
9	3	String	disclosureNumber	256		1	m#1	m#1
8	2	NV	maFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	registrationNumber	17		1	m#1	m#1
9	3	String	filingDate	10		1	m#1	m#1
9	4	String	accessionNumber	20		1	m#1	m#1
8	3	NV	maiFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	registrationNumber	17		1	m#1	m#1
9	3	String	filingDate	10		1	m#1	m#1
9	4	String	accessionNumber	20		1	m#1	m#1
8	4	NV	matFiling			1	m#1	m#1
9	1	String	filingName	256		1	m#1	m#1
9	2	String	registrationNumber	17		1	m#1	m#1
9	3	String	filingDate	10		1	m#1	m#1
7	3	String	summary	10000		1	m#1	m#1
7	4	NV	drpRemovalInfo			1	m#1	m#1
8	1	String	isDrpRemovable		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1
8	2	NV	drpRemoveReason			1	m#1	m#1
9	1	String	isRegistered			1	m#1	m#1
9	2	NV	isFiledError			1	m#1	m#1
10	1	String	explainTheCircumstances	10000		1	m#1	m#1
7	5	String	status			1	m#1	m#1
8	1	String	pending			1	m#1	m#1
8	2	String	onAppeal			1	m#1	m#1
8	3	String	final			1	m#1	m#1
6	2	String	customerComplaintQuestions		<a href="#">CUSTOMER COMPLAINT DISCLOSURE QUESTIONS</a>	1	m#1	m#1
6	3	NV	drpData			1	m#1	m#1
7	1	NV	disclosureStatus			1	m#1	m#1
8	1	String	isNotNamedAsParty		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1
8	2	NV	isNamedAsParty			1	m#1	m#1
9	1	String	isInvolveArbitration		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1
9	2	String	isInvolveLitigation		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
7	2	String	customerName	3000		1	m#1	m#1
7	3	String	customerResidence		<a href="#">STATE_COUNTRY_CODE</a>	1	m#1	m#1
7	4	String	customerOtherResidence	3000		1	m#1	m#1
7	5	NV	matterInformation			1	m#1	m#1
8	1	NV	employingFirmInfo			1	m#1	m#1
9	1	String	name	256		1	m#1	m#1
9	2	String	registrationNumber	17		1	o	o
9	3	String	crdNumber	9		1	o	o
8	2	NV	principalProductTypes			1	m#1	m#1
9	1	String	product		<a href="#">PRINCIPAL_PRODUCT_OPTION_TYPE, PRINCIPAL_PRODUCT_TYPE_EXTENDED</a>	1	m#1	m#1
9	2	String	productOther	1000		1	m#1	m#1
8	3	String	otherProductTypes	1000		1	m#1	m#1
8	4	String	allegationsDescription	10000		1	m#1	m#1
7	6	NV	damageInfo			1	m#1	m#1
8	1	Decimal	damageAmount	16		1	m#1	m#1
8	2	String	exact			1	m#1	m#1
8	3	NV	explanationInfo			1	m#1	m#1
9	1	String	explanation			1	m#1	m#1
9	2	String	provideExplanation	1000		1	m#1	m#1
7	7	String	isOralComplaint		<a href="#">YES_NO_TYPE</a>	1	m#1	m#1
7	8	String	isWrittenComplaint			1	m#1	m#1
7	9	NV	arbitrationDetail			1	m#1	m#1
8	1	NV	detail			1	m#1	m#1
9	1	NV	courtInfo			1	m#1	m#1
10	1	String	name	256		1	m#1	m#1
10	2	String	docketOrCaseNo	256		1	m#1	m#1
10	3	NV	address			1	m#1	m#1
11	1	String	street1	40		1	m#1	m#1
11	2	String	street2	40		1	m#1	m#1
11	3	String	city	30		1	m#1	m#1
11	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>	1	m#1	m#1
11	5	String	zipCode	10		1	m#1	m#1
9	2	String	docketCaseName	256		1	m#1	m#1
8	2	NV	date			1	m#1	m#1
9	1	String	date	10		1	m#1	m#1
9	2	String	exact			1	m#1	m#1
9	3	NV	explanationInfo			1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
10	1	String	explanation			1	m#1	m#1
10	2	String	provideExplanation			1	m#1	m#1
7	10	String	filingDateArbitration	10		1	m#1	m#1
7	11	String	finalStatus		<a href="#">ARBITRATION TYPE</a>	8	m#1	m#1
7	12	NV	statusDate			1	m#1	m#1
8	1	String	date	10		1	m#1	m#1
8	2	String	exact			1	m#1	m#1
8	3	NV	explanationInfo	1000		1	m#1	m#1
9	1	String	explanation			1	m#1	m#1
9	2	String	provideExplanation	3000		1	m#1	m#1
7	13	Decimal	monetaryJudgmentAmount	16		1	m#1	m#1
7	14	NV	judgementInfo			1	m#1	m#1
8	1	Decimal	totalAmount	16		1	m#1	m#1
8	2	Decimal	contributionAmount	16		1	m#1	m#1
8	3	NV	amountInfo			1	m#1	m#1
9	1	Decimal	reducedAmount	16		1	m#1	m#1
9	2	Decimal	totalFine	18		1	m#1	m#1
9	3	NV	paidStatus			1	m#1	m#1
10	1	String	paidInFullDate	10		1	m#1	m#1
10	2	String	explanation	3000		1	m#1	m#1
7	15	String	claimFiledWith	1000		1	m#1	m#1
7	16	NV	forumLocation			1	m#1	m#1
8	1	NV	detail			1	m#1	m#1
9	1	NV	courtInfo			1	m#1	m#1
10	1	String	name	256		1	m#1	m#1
10	2	String	docketOrCaseNo	256		1	m#1	m#1
10	3	NV	address			1	m#1	m#1
11	1	String	street1	40		1	m#1	m#1
11	2	String	street2	40		1	m#1	m#1
11	3	String	city	30		1	m#1	m#1
11	4	String	stateOrCountry		<a href="#">STATE COUNTRY CODE</a>	1	m#1	m#1
11	5	String	zipCode	10		1	m#1	m#1
9	2	String	docketCaseName	256		1	m#1	m#1
8	2	NV	date			1	m#1	m#1
9	1	String	date	10		1	m#1	m#1
9	2	String	exact			1	m#1	m#1
9	3	NV	explanationInfo	1000		1	m#1	m#1
10	1	String	explanation			1	m#1	m#1
10	2	String	provideExplanation	3000		1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
7	17	NV	dispositionType			1	m#1	m#1
8	1	String	dispositionTypes		<a href="#">DISPOSITION BASE TYPE, RESOLUTION EXTENDED TYPE</a>	10	m#1	m#1
8	2	NV	otherInfo			1	m#1	m#1
9	1	String	other	3000		1	m#1	m#1
9	2	NV	dispositionDate			1	m#1	m#1
10	1	String	date	10		1	m#1	m#1
10	2	String	exact			1	m#1	m#1
10	3	NV	explanationInfo	1000		1	m#1	m#1
11	1	String	explanation			1	m#1	m#1
11	2	String	provideExplanation	3000		1	m#1	m#1
7	18	NV	dispositionInfo			1	m#1	m#1
8	1	NV	compensationDetail			1	m#1	m#1
9	1	Decimal	totalAmount	16		1	m#1	m#1
9	2	Decimal	contributionAmount	16		1	m#1	m#1
9	3	NV	amountInfo			1	m#1	m#1
10	1	Decimal	reducedAmount	16		1	m#1	m#1
10	2	Decimal	totalFine	18		1	m#1	m#1
10	3	NV	paidStatus			1	m#1	m#1
11	1	String	paidInFullDate	10		1	m#1	m#1
11	2	String	explanation	1000		1	m#1	m#1
8	2	NV	courtInfo			1	m#1	m#1
9	1	String	court		<a href="#">CRIMINAL EVENT COURT TYPES</a>	1	m#1	m#1
9	2	String	other	1000		1	m#1	m#1
8	3	NV	appealInfo			1	m#1	m#1
9	1	NV	detail			1	m#1	m#1
10	1	NV	courtInfo			1	m#1	m#1
11	1	String	name	256		1	m#1	m#1
11	2	String	docketOrCaseNo	256		1	m#1	m#1
11	3	NV	address			1	m#1	m#1
12	1	String	street1	40		1	m#1	m#1
12	2	String	street2	40		1	m#1	m#1
12	3	String	city	30		1	m#1	m#1
12	4	String	stateOrCountry		<a href="#">STATE COUNTRY CODE</a>	1	m#1	m#1
12	5	String	zipCode	10		1	m#1	m#1
10	2	String	docketCaseName	256		1	m#1	m#1
9	2	NV	date			1	m#1	m#1
10	1	String	date	10		1	m#1	m#1

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
10	2	String	exact			1	m#1	m#1
10	3	NV	explanationInfo			1	m#1	m#1
11	1	String	explanation			1	m#1	m#1
11	2	String	provideExplanation	1000		1	m#1	m#1
7	19	NV	resolutionType			1	m#1	m#1
8	1	String	dispositionTypes		<a href="#">DISPOSITION BASE TYPE, RESOLUTION EXTENDED TYPE</a>	10	m#1	m#1
8	2	NV	otherInfo			1	m#1	m#1
9	1	String	other	3000		1	m#1	m#1
9	2	NV	dispositionDate			1	m#1	m#1
10	1	String	date	10		1	m#1	m#1
10	2	String	exact			1	m#1	m#1
10	3	NV	explanationInfo			1	m#1	m#1
11	1	String	explanation			1	m#1	m#1
11	2	String	provideExplanation	3000		1	m#1	m#1
7	20	NV	resolutionInfo			1	m#1	m#1
8	1	NV	compensationDetail			1	m#1	m#1
9	1	Decimal	totalAmount	16		1	m#1	m#1
9	2	Decimal	contributionAmount	16		1	m#1	m#1
9	3	NV	amountInfo			1	m#1	m#1
10	1	Decimal	reducedAmount	16		1	m#1	m#1
10	2	Decimal	totalFine	18		1	m#1	m#1
10	3	NV	paidStatus			1	m#1	m#1
11	1	String	paidInFullDate	10		1	m#1	m#1
11	2	String	explanation	1000		1	m#1	m#1
8	2	NV	courtInfo			1	m#1	m#1
9	1	String	court		<a href="#">CRIMINAL EVENT COURT TYPES</a>	1	m#1	m#1
9	2	String	other	1000		1	m#1	m#1
8	3	NV	appealInfo			1	m#1	m#1
9	1	NV	detail			1	m#1	m#1
10	1	NV	courtInfo			1	m#1	m#1
11	1	String	name	256		1	m#1	m#1
11	2	String	docketOrCaseNo	256		1	m#1	m#1
11	3	NV	address			1	m#1	m#1
12	1	String	street1	40		1	m#1	m#1
12	2	String	street2	40		1	m#1	m#1
12	3	String	city	30		1	m#1	m#1
12	4	String	stateOrCountry		<a href="#">STATE COUNTRY CODE</a>	1	m#1	m#1



Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-I	MA-I/A
12	5	String	zipCode	10		1	m#1	m#1
10	2	String	docketCaseName	256		1	m#1	m#1
9	2	NV	date			1	m#1	m#1
10	1	String	date	10		1	m#1	m#1
10	2	String	exact			1	m#1	m#1
10	3	NV	explanationInfo			1	m#1	m#1
11	1	String	explanation			1	m#1	m#1
11	2	String	provideExplanation	1000		1	m#1	m#1
7	21	String	appealDetail	10000		1	m#1	m#1
3	19	NV	documents			500	m#	m#
4	1	String	conformedName	32		1	m#	m#
4	2	String	conformedDocumentType			1	m#	m#
4	3	String	description	255		1	m#	m#
4	4	String	contents			1	m#	m#

### 3.6 Mapping of MA-W Submission Schemas to Submission Types

#### Mapping of MA-W Submission Schema to Submission Type

Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-W
1	1	NV	edgarSubmission			1	m
2	1	String	schemaVersion	5		1	o
2	2	NV	headerData			1	m
3	1	String	submissionType		<a href="#">SUBMISSION_TYPE</a>	1	m
3	2	NV	filerInfo			1	m
4	1	String	liveTestFlag			1	NA
4	2	NV	filer			1	m
5	1	String	filerId	10		1	m
5	2	String	filerCcc	8		1	m
5	3	String	filerFileNumber	17		1	m
4	3	NV	contact				m
5	1	String	name	30		1	m
5	2	String	phoneNumber	20		1	m
4	4	String	contactEmail	80		1	m
4	5	NV	notifications			1	o

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-W
5	1	String	internetNotificationAddress	80		unbounded	o
2	3	NV	formData			1	m
3	1	String	fullLegalName	150		1	m
3	2	String	fileNumber	17		1	m
3	3	NV	contactPersonInfo			1	m
4	1	NV	nameAddressPhone			1	m
5	1	NV	individualName			1	m
6	1	String	firstName	50		1	m
6	2	String	middleName	30		1	m
6	3	String	lastName	50		1	m
5	2	String	name	256		1	m
5	3	NV	addressInfo			1	m
6	1	String	residentialAddressFlag			NA	NA
6	2	NV	address			1	m
7	1	String	street1	40		1	m
7	2	String	street2	40		1	o
7	3	String	city	30		1	m
7	4	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>	1	m
7	5	String	zipCode	10		1	m
5	4	String	phoneNumber	20		1	m
4	2	String	title	60		1	o
4	3	String	email	80		1	m
3	4	Decimal	amountOwedForPrepaidServices	16		1	m#
3	5	Decimal	amountOwedFromBorrowedFunds	16		1	m#
3	6	String	isAdvisoryContract		<a href="#">YES_NO_TYPE</a>	1	m
3	7	NV	advisoryContractAssignments			1	m#
4	1	NV	advisoryContract			unbounded	m#
5	1	NV	individualName			NA	NA
5	2	String	firstName	50		NA	NA
5	3	String	middleName	30		NA	NA
5	4	String	lastName	50		NA	NA
5	5	String	name	256		unbounded	#m
5	6	NV	addressInfo			unbounded	#m
5	7	String	residentialAddressFlag			unbounded	#m
5	8	NV	address			unbounded	#m
5	9	String	street1	40		unbounded	#m
5	10	String	street2	40		unbounded	o
5	11	String	city	30		unbounded	#m
5	12	String	stateOrCountry		<a href="#">STATE_COUNTRY_CODE</a>	unbounded	#m

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-W
					<a href="#">ODE</a>		
5	13	String	zipCode	10		unbounded	#m
5	14	String	phoneNumber	20		unbounded	#m
3	8	String	isUnsatisfiedJudgementsOrLiens		<a href="#">YES NO TYPE</a>	1	m
3	9	String	isReceivedAnyPrepaidFee		<a href="#">YES NO TYPE</a>	1	m
3	10	String	isBorrowedNotRepaid		<a href="#">YES NO TYPE</a>	1	m
3	11	NV	booksAndRecords			1	m
4	1	NV	personLocation			unbounded	m
5	1	NV	personInfo			unbounded	m
6	1	NV	individualName			NA	NA
6	2	String	firstName	50		NA	NA
6	3	String	middleName	30		NA	NA
6	4	String	lastName	50		NA	NA
6	5	String	name	256		unbounded	m
6	6	NV	addressInfo			unbounded	m
6	7	String	residentialAddressFlag			unbounded	m
6	8	NV	address			unbounded	NA
6	9	String	street1	40		unbounded	o
6	10	String	street2	40		unbounded	m
6	11	String	city	30		unbounded	m
6	12	String	stateOrCountry		<a href="#">STATE COUNTRY C ODE</a>	unbounded	m
6	13	String	zipCode	10		unbounded	m
6	14	String	phoneNumber	20		unbounded	m
5	2	NV	locationInfo			unbounded	m
6	1	NV	nameAddressPhone			unbounded	m
7	1	NV	individualName			NA	NA
7	2	String	firstName	50		NA	NA
7	3	String	middleName	30		NA	NA
7	4	String	lastName	50		NA	NA
7	5	String	name	256		unbounded	o
7	6	NV	addressInfo			unbounded	m
7	7	String	residentialAddressFlag			unbounded	m
7	8	NV	address			unbounded	m
7	9	String	street1	40		unbounded	m
7	10	String	street2	40		unbounded	m
7	11	String	city	30		unbounded	m
7	12	String	stateOrCountry		<a href="#">STATE COUNTRY C ODE</a>	unbounded	m
7	13	String	zipCode	10		unbounded	m

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-W
7	14	String	phoneNumber	20		unbounded	m
6	2	String	description	256		unbounded	m
3	12	NV	execution			1	m
4	1	NV	naturalPersonMucipal			NA	NA
5	1	String	signature	150		NA	NA
5	2	String	signerName	150		NA	NA
5	3	String	title	150		NA	NA
5	4	String	date	10		NA	NA
4	2	NV	soleProprietor			1	m#
5	1	String	signature	150		1	m#
5	2	String	signerName	150		1	m#
5	3	String	title	150		1	m#
5	4	String	date	10		1	m#
4	3	NV	municipalAdvisoryFirm			1	m#
5	1	String	signature	150		1	m#
5	2	String	signerName	150		1	m#
5	3	String	title	150		1	m#
5	4	String	date	10		1	m#
3	13	NV	statementOfFinancials			1	m#
4	1	NV	assetInfo			1	m#
5	1	NV	currentAssets			1	m#
6	1	Decimal	cash	16		1	m#
6	2	Decimal	securititesAtMarket	16		1	m#
6	3	Decimal	nonMarketableSecurities	16		1	m#
6	4	Decimal	otherCurrentAssets	16		1	m#
6	5	Decimal	totalCurrentAssets	18		1	m#
5	2	Decimal	totalFixedAsset	16		1	m#
5	3	Decimal	totalAsset	18		1	m#
4	2	NV	liabilityAndSharholdersEquityInfo			1	m#
5	1	NV	currentLiabilities			1	m#
6	1	Decimal	prepaidAdisoryFees	16		1	m#
6	2	Decimal	shortTermLoansFromClients	16		1	m#
6	3	Decimal	otherShortTermLoans	16		1	m#
6	4	Decimal	otherCurrentLiabilities	16		1	m#
6	5	Decimal	totalCurrentLiabilities	18		1	m#
5	2	NV	fixedLiabilities			1	m#
6	1	Decimal	longTermDebtOwned	16		1	m#
6	2	Decimal	otherLongTermDebt	16		1	m#
6	3	Decimal	otherLongTermLiabilities	16		1	m#

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Level	Order	Data Type	Element/Attribute Name	Max Length	Choice List	Max Occur	MA-W
6	4	Decimal	totalFixedLiabilities	18		1	m#
5	3	Decimal	totalShareholdersEquity	18		1	m#
5	4	Decimal	totalLiabilitiesAndShareholdersEquity	18		1	m#

## 4 MA SCHEMA DATA VALUE CONSTRAINTS

### 4.1 SUBMISSION\_TYPE

These are the valid values for the <SUBMISSION\_TYPE> element in the submission file:

VALUE	DESCRIPTION
MA	Initial Filing for Municipal Advisors
MA-A	Annual Filing for Municipal Advisors
MA/A	Amended Filing for Municipal Advisors

### 4.2 YES\_NO\_TYPE

These are the valid values for the <YES\_NO\_TYPE> element in the submission file:

VALUE
Y
N

### 4.3 MONTH\_TYPE

These are the valid values for the < MONTH\_TYPE> element in the submission file:

VALUE
January
February
March
April
May
June

July
August
September
October
November
December

#### 4.4 CLIENT\_TYPE

These are the valid values for the <CLIENT\_TYPE> element in the submission file:

VALUE
Municipal Entities
Non-profit organizations
Corporations or other businesses
Other
Not Applicable

#### 4.5 SOLICITATION\_PERSON\_TYPE

These are the valid values for the <SOLICITATION\_PERSON\_TYPE > element in the submission file:

VALUE
Public pension funds
529 Plans
Local government investment pools
State government

investment pools
Hospitals
Colleges
Other
Not Applicable

#### 4.6 COMPENSATION\_TYPE

These are the valid values for the <COMPENSATION\_TYPE > element in the submission file:

VALUE
Hourly Charges
Fixed Fees
Contingent Fees
Subscription Fees
Not Applicable
Other

#### 4.7 ENGAGED\_ACTIVITY\_TYPE

These are the valid values for the <ENGAGED\_ACTIVITY\_TYPE > element in the submission file:

VALUE
Advice Insurance Of MS
Advice Investment Of Proceeds MS
Advice ME Investments
Advice ME Other Investments
Advice Guaranteed IC



Advice Use Of MD
Solicitation Of IAB
Solicitation Other
Advice On Selection
Brokerage Of MEI
Other

#### 4.8 FIA\_ASSOCIATED\_PERSON\_TYPE

These are the valid values for the <FIA\_ASSOCIATED\_PERSON\_TYPE > element in the submission file:

VALUE
Broker-dealer municipal securities dealer or government securities broker or dealer
Investment Company
Investment Adviser
Swap Dealer
Security-based swap dealer
Major swap participant
Major security-based swap participant
Commodity pool operator
Commodity trading advisor
Futures commission merchant
Banking/Thrift institution

Trust Company
Accountant or accounting firm
Lawyer or law firm
Insurance company or agency
Pension consultant
Real estate broker or dealer
Sponsor or syndicator of limited partnerships
Engineer or engineering firm
Other municipal advisor

#### 4.9 SECTION\_12\_15D\_REPORTING\_SCHEDULE

These are the valid values for the <SECTION\_12\_15D\_REPORTING\_SCHEDULE > element in the submission file:

VALUE
Schedule A
Schedule B
Schedule C Section 4
Schedule C Section 5
Schedule D Section 8-A

#### 4.10 OWNERSHIP\_CODE

These are the valid values for the <OWNERSHIP\_CODE> element in the submission file:

VALUE
NA
A
B
C
D
E
F

#### 4.11 CRIMINAL\_RESPONDING\_TO\_TYPE

These are the valid values for the <CRIMINAL\_RESPONDING\_TO\_TYPE> element in the submission file:

VALUE
9-A1
9-A2
9-B1
9-B2

#### 4.12 DISPOSITION\_TYPES

These are the valid values for the <DISPOSITION\_TYPES> element in the submission file:

VALUE
Acquitted

Amended
Convicted
Deferred Adjudication
Dismissed
Found not guilty
Pled guilty
Pled nolo contendere
Pled not guilty
Pre-trial diversion/intervention
Reduced
Other
Appealed

#### 4.13 APPEAL\_TYPES

These are the valid values for the <APPEAL\_TYPES> element in the submission file:

VALUE
Affirmed
Vacated & Returned For Further Action
Vacated / Final
Other

#### 4.14 REGULATORY\_RESPONDING\_TO\_TYPE

These are the valid values for the <REGULATORY\_RESPONDING\_TO\_TYPE> element in the submission file:

VALUE
9-C1
9-C2
9-C3
9-C4
9-C5
9-D1
9-D2
9-D3
9-D4
9-D5
9-E1
9-E2
9-E3
9-E4
9-F
9-G

#### 4.15 SANCTION\_BASE\_TYPE

These are the valid values for the <SUBMISSION\_TYPE> element in the submission file:

VALUE
BarPermanent

BarTemporary
Censure
CivilAdminPenaltyFine
Denial
Expulsion
Prohibition
Reprimand
Rescission
Requalification
Revocation
Suspension
Undertaking
Other

#### 4.16 PRINCIPAL\_SANCTION\_RELIEF\_BASE

These are the valid values for the <PRINCIPAL\_SANCTION\_RELIEF\_BASE> element in the submission file:

VALUE
Cease and Desist
Disgorgement
Injunction
Restitution

#### 4.17 PRINCIPAL\_PRODUCT\_TYPE\_EXTENDED

These are the valid values for the <PRINCIPAL\_PRODUCT\_TYPE\_EXTENDED> element in the submission file:

VALUE
AnnuityCharitable
BankingProduct
EquipmentLeasing
OilAndGas
PrimeBankInstrument
Promissory Note
Real Estate Security
Security Futures
Security-based Swap
Swap
Viatical Settlement
Other

#### 4.18 PRINCIPAL\_PRODUCT\_OPTION\_TYPE

These are the valid values for the <PRINCIPAL\_PRODUCT\_OPTION\_TYPE> element in the submission file:

VALUE
Annuity(ies) - Fixed
Annuity(ies) - Variable
CD(s)
Commodity Option(s)

Debt - Asset Backed
Debt - Corporate
Debt - Government
Debt - Municipal
Derivative(s)
Direct Investment(s) - DPP & LP Interest(s)
Equity - OTC
Equity Listed (Common & Preferred Stock)
Futures - Commodity
Futures - Financial
Index Option(s)
Insurance
Investment Contract(s)
Money Market Fund(s)
Mutual Fund(s)
No Product
Options
Penny Sock(s)
Unit Investment Trust(s)

#### 4.19 REGULATORY\_RESOLUTION\_TYPE

These are the valid values for the <REGULATORY\_RESOLUTION\_TYPE> element in the submission file:



VALUE
Acceptance Waiver & Consent (AWC)
Consent
Decision
Decision & Order of Offer of Settlement
Dismissed
Judgement Rendered
Order
Settled
Opinion
Withdrawn
Stipulation and Consent
Other
Appealed

#### 4.20 CIVIL\_JUDICIAL\_RESOLUTION\_TYPE

These are the valid values for the <CIVIL\_JUDICIAL\_RESOLUTION\_TYPE> element in the submission file:

VALUE
Exemption
Restraining Order
Money Damage(s) (Private/Civil Complaint)
Opinion

**4.21 APPEAL\_EXTENDED\_TYPE**

These are the valid values for the <APPEAL\_EXTENDED\_TYPE> element in the submission file:

VALUE
Vacated Nunc Pro Tunc / ab initio

**4.22 CIVIL\_JUDICIAL\_SANCTIONS\_TYPE**

These are the valid values for the <CIVIL\_JUDICIAL\_SANCTIONS\_TYPE> element in the submission file:

VALUE
Exemption
Money Damage(s) (Private/Civil Complaint)
Restraining Order

**4.23 CIVIL\_JUDICIAL\_ACTION\_INITIATED\_BY\_TYPE**

These are the valid values for the <CIVIL\_JUDICIAL\_ACTION\_INITIATED\_BY\_TYPE> element in the submission file:

VALUE
SEC
Other Federal Authority
State
SRO
Foreign Financial Regulatory Authority
Commodities Exchange
Private Plaintiff
CFTC
Municipal Advisory Firm
Other

**4.24 CIVIL\_JUDICIAL\_RESPONDING\_TO\_TYPE**

These are the valid values for the <CIVIL\_JUDICIAL\_RESPONDING\_TO\_TYPE> element in the submission file:

VALUE
9-H1a

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9-H1b
9-H1c
9-H2

#### **4.25 SANCTION\_BASE\_TYPE**

These are the valid values for the <s]SANCTION\_BASE\_TYPE> element in the submission file:

VALUE
BarPermanent
BarTemporary
Censure
CivilAdminPenaltyFine
Denial
Expulsion
Prohibition
Reprimand
Rescission
Requalification
Revocation
Suspension
Undertaking
Other

## 5 MA-I SCHEMA DATA VALUE CONSTRAINTS

### 5.1 SUBMISSION\_TYPE

These are the valid values for the <SUBMISSION\_TYPE> element in the submission file:

VALUE	DESCRIPTION
MA-I	Initial Filing for Natural Persons Who Engage in Municipal Advisory Activities
MA-I/A	Amended Filing for Natural Persons Who Engage in Municipal Advisory Activities

### 5.2 YES\_NO\_TYPE

These are the valid values for the <YES\_NO\_TYPE> element in the submission file:

VALUE
Y
N

### 5.3 LOCATED\_AT\_SUPERVISED\_FROM

These are the valid values for the <LOCATED\_AT\_SUPERVISED\_FROM> element in the submission file:

VALUE
LOCATED
SUPERVISED

### 5.4 CRIMINAL\_DISCLOSURE\_QUESTIONS

These are the valid values for the <CRIMINAL\_DISCLOSURE\_QUESTIONS> element in the submission file:

VALUE
6A(1)(a)
6A(1)(b)

6A(2)(a)
6A(2)(b)
6B(1)(a)
6B(1)(b)
6B(2)(a)
6B(2)(b)

### 5.5 CRIMINAL\_EVENT\_COURT\_TYPES

These are the valid values for the <CRIMINAL\_EVENT\_COURT\_TYPES> element in the submission file:

VALUE
Federal Court
Military Court
State Court
Foreign Country Court
International Court
Other

### 5.6 REGULATORY\_DISCLOSURE\_QUESTIONS

These are the valid values for the <SUBMISSION\_TYPE> element in the submission file:

VALUE
6C(1)
6C(2)
6C(3)
6C(4)

6C(5)
6C(6)
6C(7)
6C(8)
6D(1)(a)
6D(1)(b)
6D(1)(c)
6D(1)(d)
6D(1)(e)
6D(2)(a)
6D(2)(b)
6E(1)
6E(2)
6E(3)
6E(4)
6E(5)
6E(6)
6E(7)
6F
6G(1)

## 5.7 SANCTION\_BASE\_TYPE

VALUE
BarPermanent

BarTemporary
Censure
CivilAdminPenaltyFine
Denial
Exemption
Expulsion
MoneyDamages
Prohibition
Reprimand
Rescission
Requalification
RestrainingOrder
Revocation
Suspension
Undertaking

## 5.8 PRINCIPAL\_SANCTION\_RELIEF\_BASE

These are the valid values for the <PRINCIPAL\_SANCTION\_RELIEF\_BASE> element in the submission file:

VALUE
Cease and Desist
Disgorgement
Injunction
Restitution

## 5.9 PRINCIPAL\_PRODUCT\_TYPE\_EXTENDED

These are the valid values for the <PRINCIPAL\_PRODUCT\_TYPE\_EXTENDED> element in the submission file:

VALUE
AnnuityCharitable
BankingProduct
EquipmentLeasing
OilAndGas
PrimeBankInstrument
Promissory Note
Real Estate Security
Security Futures
Security-based Swap
Swap
Viatical Settlement
Other

## 5.10 PRINCIPAL\_PRODUCT\_OPTION\_TYPE

These are the valid values for the <PRINCIPAL\_PRODUCT\_OPTION\_TYPE> element in the submission file:

VALUE
Annuity(ies) - Fixed
Annuity(ies) - Variable
CD(s)
Commodity Option(s)



Debt - Asset Backed
Debt - Corporate
Debt - Government
Debt - Municipal
Derivative(s)
Direct Investment(s) - DPP & LP Interest(s)
Equity - OTC
Equity Listed (Common & Preferred Stock)
Futures - Commodity
Futures - Financial
Index Option(s)
Insurance
Investment Contract(s)
Money Market Fund(s)
Mutual Fund(s)
No Product
Options
Penny Sock(s)
Unit Investment Trust(s)

### 5.11 MATTER\_RESOLVED\_TYPE

These are the valid values for the <MATTER\_RESOLVED\_TYPE> element in the submission file:

VALUE
Acceptance Waiver &

Consent (AWC)
Decision & Order of Offer Settlement
Decision
Consent
Dismissed
Judgement Rendered
Settled
Withdrawn
Other (requires explanation)
Order
Stipulation and Consent

## 5.12 MATTER\_APPEAL\_TYPE

These are the valid values for the <MATTER\_APPEAL\_TYPE> element in the submission file:

VALUE
Affirmed
Vacated Nunc Pro Tunc / ab initio
Vacated & Returned For Further Action
Vacated / Final
Other (requires explanation)

### 5.13 INVESTIGATION\_DISCLOSURE\_QUESTIONS

These are the valid values for the <INVESTIGATION\_DISCLOSURE\_QUESTIONS> element in the submission file:

VALUE
6G(2)
6A
6B
6C
6D
6E

### 5.14 INVESTIGATION\_RESOLVED\_TYPE

These are the valid values for the <INVESTIGATION\_DISCLOSURE\_QUESTIONS> element in the submission file:

VALUE
Closed Without Further Action
Closed - Regulatory Action Initiated
Other (Explain)

### 5.15 TERMINATION\_DISCLOSURE\_QUESTIONS

These are the valid values for the <TERMINATION\_DISCLOSURE\_QUESTIONS> element in the submission file:

VALUE
6J(1)
6J(2)

6J(3)
-------

### 5.16 TERMINATION\_TYPE

These are the valid values for the <TERMINATION\_TYPE> element in the submission file:

VALUE
Discharged
Permitted to Resign
Voluntary Resignation

### 5.17 JUDGEMENT\_LIEN\_DISCLOSURE\_QUESTIONS

These are the valid values for the <JUDGEMENT\_LIEN\_DISCLOSURE\_QUESTIONS> element in the submission file:

VALUE
6M

### 5.18 LIEN\_TYPE

These are the valid values for the <LIEN\_TYPE> element in the submission file:

VALUE
Civil
Tax

### 5.19 LIEN\_RESOLVED\_TYPE\_EXTENDED

These are the valid values for the <LIEN\_RESOLVED\_TYPE\_EXTENDED> element in the submission file:

VALUE
Other

## 5.20 LIEN\_RESOLVED\_TYPE

These are the valid values for the <LIEN\_RESOLVED\_TYPE> element in the submission file:

VALUE
Discharged
Released
Removed
Satisfied

## 5.21 CIVIL\_JUDICIAL\_DISCLOSURE\_QUESTIONS

These are the valid values for the <CIVIL\_JUDICIAL\_DISCLOSURE\_QUESTIONS> element in the submission file:

VALUE
6H(1)(a)
6H(1)(b)
6H(1)(c)
6H(2)

## 5.22 CIVIL\_JUDICIAL\_ACTION\_INITIATED\_BY\_TYPE

These are the valid values for the <SUBMISSION\_TYPE> element in the submission file:

VALUE
SEC
Other Federal Authority

State
SRO
Foreign Financial Regulatory Authority
Commodities Exchange
Private Plaintiff
CFTC
Municipal Advisory Firm
Other

### 5.23 CIVIL\_JUDICIAL\_SANCTIONS\_TYPE

These are the valid values for the <CIVIL\_JUDICIAL\_SANCTIONS\_TYPE> element in the submission file:

VALUE
Exemption
Money Damage(s) (Private/Civil Complaint)
Restraining Order

### 5.24 APPEAL\_TYPES

These are the valid values for the <APPEAL\_TYPES> element in the submission file:

VALUE
Affirmed
Vacated & Returned For Further Action
Vacated / Final
Other

### 5.25 APPEAL\_EXTENDED\_TYPE

These are the valid values for the <APPEAL\_EXTENDED\_TYPE> element in the submission file:

VALUE
Vacated Nunc Pro Tunc / ab initio

## 5.26 CIVIL\_JUDICIAL\_TYPE

These are the valid values for the <CIVIL\_JUDICIAL\_TYPE> element in the submission file:

VALUE
Consent
Decision
Decision And Order of Offer Settlement
Judgement Rendered
Stipulation and Consent
Opinion
Settled
Withdrawn
Dismissed
Order
Appealed
Other

## 5.27 CUSTOMER\_COMPLAINT\_DISCLOSURE\_QUESTIONS

These are the valid values for the <CUSTOMER\_COMPLAINT\_DISCLOSURE\_TYPE> element in the submission file:

VALUE
6I(1)(a)
6I(1)(B)
6I(2)(a)
6I(2)(b)
6I(2)(c)

## 5.28 ARBITRATION\_TYPE

These are the valid values for the <ARBITRATION\_TYPE> element in the submission file:

VALUE
Closed/ No Action
Withdrawn

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Denied
Settled
Arbitration Award/Monetary Judgement(for claimants/plaintiffs)
Arbitration Award/Monetary Judgement(for respondents/defendants)
Evolved into Arbitration/CFTC reparation
Evolved into Civil litigation

### 5.29 DISPOSITION\_BASE\_TYPE

These are the valid values for the <DISPOSITION\_BASE\_TYPE> element in the submission file:

VALUE
Denied
Dismissed
Judgement (other than monetary)
No Action
Settlement that includes a monetary payment to customer
Settlement without a monetary payment to customer
Withdrawn
Other

### 5.30 RESOLUTION\_EXTENDED\_TYPE

These are the valid values for the <RESOLUTION\_EXTENDED\_TYPE> element in the submission file:

VALUE
Monetary Judgement to Applicant (Agent/Representative)
Monetary Judgement to Customer



## 6 MA-W SCHEMA DATA VALUE CONSTRAINTS

### 6.1 SUBMISSION\_TYPE

These are the valid values for the <SUBMISSION\_TYPE> element in the submission file:

VALUE	DESCRIPTION
MA-W	Withdrawal Filing

### 6.2 YES\_NO\_TYPE

These are the valid values for the <YES\_NO\_TYPE> element in the submission file:

VALUE
Y
N

## 7 BASIC SUBMISSION CONSTRUCTION

### 7.1 General Formatting of XML Files

The following rules apply to the submission file of an XML-format MA submission:

1. The filename must end with an “.xml” extension.
2. The file cannot be compressed in any fashion.
3. We strongly recommend you format the submission as shown in the provided sample files. This makes the raw XML files easier to view in a text editor. The submission of ASCII XML files with no line breaks, while legal XML, is strongly discouraged. XML filings are required to be posted on public websites. While usually formatted via style sheets, the raw XML is frequently available for inspection and should be readable by a person. A single line XML file is not viewer-friendly and should be avoided.
4. The `<?xml version="1.0"?>` declaration line is optional; however, if it is included it must be the first line in the file. Any text entered before this line, even white space, will cause a SUSPENSE error. The version value must be “1.0.” Any other value for version will cause a SUSPENSE error.
5. For an XML element with a data value, keep the begin tag, data value, and end tag on the same line of text. Otherwise, you could get a schema violation error. As an example, for a filer ID value which cannot be longer than 10 characters, this specification is correct:

```
<cik>1212121212</cik>
```

6. The specification below will result in a parsing error because the extraneous white space and line feeds will be counted as part of the length of the data value. In other words, the CIK value will be regarded as longer than 10 characters.

```
<cik>  
1212121212  
</cik>
```

## 7.2 XML Submission File Rules

The following rules apply to the supporting document XML-format:

1. The `<?xml version="1.0"?>` declaration line is optional; however, if it is included it must be the first line in the file. Any text entered before this line, even white space, will cause an error. The version value must be "1.0." Any other value for version will cause an error.
2. The XML elements cannot have any namespaces.  
For e.g.: It has to be `<contactName>` and not `<ns1:contactName>`
3. The order of any `<notificationEmailAddress>` elements does not matter.
4. The submission file must conform to the XML schema files. Any violation of this schema will result in the suspension of the filing.

### 7.2.1 Enclosing a Document

1. Allowable file extensions are .pdf, .txt, .htm, .jpg, .xml and .gif.
2. The allowable document types are shown in the DocTypeList table in Section 4.3.
3. The names of attached document files must follow the EDGAR file naming conventions:
  - File names cannot exceed 32 characters, including the file extension.
  - Valid characters are lowercase letters, digits 0-9, up to one underscore, up to one hyphen, and up to one period.
  - First character must be a letter.
  - Spaces are not allowed.
  - File name must have a file type extension of .xml.
4. The names of attached document files must follow the EDGAR file naming conventions:
  - File names cannot exceed 32 characters, including the file extension.
  - Valid characters are lowercase letters, digits 0-9, up to one underscore, up to one hyphen, and up to one period.
  - First character must be a letter.
  - Spaces are not allowed.
  - File name must have a file type extension of .xml.
5. The `<description>` is optional, but all other elements must be present and must have a value.  
The `<contents>` element must contain a MIME encoded document. You can use any standard 64-character set based MIME encoding algorithm to create a MIME document.
6. The XML for an enclosed supporting document looks like this:

```
<documents>  
  <document>
```

## DRAFT

```
< conformedName>test1.txt</ conformedName>
< conformedDocumentType>????</ conformedDocumentType>
< description> Description field text here... </ description>
< contents> dGVzdGA= </ contents>
</document>
document>
  < conformedName>test2.txt</ conformedName>
  < conformedDocumentType>????</ conformedDocumentType>
  < description> Description field text here... </ description>
  < contents> dGVzdGA= </ contents>
</document>
</documents>
```

## 8 ERROR REPORTING

EDGAR will generate an error for each violation of an XML schema constraint, as well as for each violation of a MA filing business rule. The schema files do not enforce all error checks, so it is possible to construct a submission that meets all the schema constraints yet is still SUSPENDED.

This table summarizes the errors that can result from violations of schema constraints and EDGAR business rules. This is not an all-inclusive list.

**Table 8-1: Submission Errors and Severity**

Error Condition	Severity
Elements not in sequence prescribed in Section 3.4	SUSPENDED
Inclusion of XML-stylesheet reference or any other Processing Instruction	SUSPENDED
Inclusion of an element not prescribed in the schema	SUSPENDED
String value exceeds maximum length constraint	SUSPENDED
Element constrained by a Choice List has an invalid value	SUSPENDED
Mandatory element has no value or an invalid value	SUSPENDED
Special character (&, <, >) not provided as escape sequence	SUSPENDED
Date, Boolean, or Decimal element does not have a value	SUSPENDED
Duplicate Notification Address	WARNING
"NA" or blank field has a value	WARNING
Invalid Issuer CIK	SUSPENDED
Improperly formatted email address	SUSPENDED
Exceeding a "Max Occur" limit from Section 3.4	SUSPENDED

You may sometimes get multiple error messages reporting the same error. The XML parser EDGAR used to process a MA submission generates messages that are rather technical in nature and require an understanding of the XML schema language. Whenever possible, EDGAR generates a more "friendly" error message to give a precise description of the error.

## 9 EXAMPLE MA SUBMISSION FILES

Table 9-1: Sample Submission/Document Files provides a list of sample submission files.

**Table 9-1: Sample Submission/Document Files**

Submission/Document Type	Sample File
MA	MA_sample.xml
MA-A	MA-A_sample.xml
MA-I	MA_I_sample.xml

**Appendix A. STATE/COUNTRY CODES**

These are the valid designators for the <stateOrCountry> element in the primary document.

Code	State/Country Name
AL	ALABAMA
AK	ALASKA
AZ	ARIZONA
AR	ARKANSAS
CA	CALIFORNIA
CO	COLORADO
CT	CONNECTICUT
DE	DELAWARE
DC	DISTRICT OF COLUMBIA
FL	FLORIDA
GA	GEORGIA
GU	GUAM
HI	HAWAII
ID	IDAHO
IL	ILLINOIS
IN	INDIANA
IA	IOWA
KS	KANSAS
KY	KENTUCKY
LA	LOUISIANA
ME	MAINE
MD	MARYLAND
MA	MASSACHUSETTS
MI	MICHIGAN
MN	MINNESOTA
MS	MISSISSIPPI
MO	MISSOURI
MT	MONTANA
NE	NEBRASKA
NV	NEVADA
NH	NEW HAMPSHIRE
NJ	NEW JERSEY
NM	NEW MEXICO
NY	NEW YORK
NC	NORTH CAROLINA
ND	NORTH DAKOTA
OH	OHIO
OK	OKLAHOMA
OR	OREGON
PA	PENNSYLVANIA

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Code	State/Country Name
RI	RHODE ISLAND
SC	SOUTH CAROLINA
SD	SOUTH DAKOTA
TN	TENNESSEE
TX	TEXAS
UT	UTAH
VT	VERMONT
VA	VIRGINIA
WA	WASHINGTON
WV	WEST VIRGINIA
WI	WISCONSIN
WY	WYOMING
PR	PUERTO RICO
VI	VIRGIN ISLANDS, U.S.
A0	ALBERTA, CANADA
A1	BRITISH COLUMBIA, CANADA
Z4	CANADA (FEDERAL LEVEL)
A2	MANITOBA, CANADA
A3	NEW BRUNSWICK, CANADA
A4	NEWFOUNDLAND, CANADA
A5	NOVA SCOTIA, CANADA
A6	ONTARIO, CANADA
A7	PRINCE EDWARD ISLAND, CANADA
A8	QUEBEC, CANADA
A9	SASKATCHEWAN, CANADA
B0	YUKON, CANADA
B2	AFGHANISTAN
Y6	ALAND ISLANDS
B3	ALBANIA
B4	ALGERIA
B5	AMERICAN SAMOA
B6	ANDORRA
B7	ANGOLA
1A	ANGUILLA
B8	ANTARCTICA
B9	ANTIGUA AND BARBUDA
C1	ARGENTINA
1B	ARMENIA
1C	ARUBA
C3	AUSTRALIA
C4	AUSTRIA
1D	AZERBAIJAN
C5	BAHAMAS
C6	BAHRAIN



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Code	State/Country Name
C7	BANGLADESH
C8	BARBADOS
1F	BELARUS
C9	BELGIUM
D1	BELIZE
G6	BENIN
D0	BERMUDA
D2	BHUTAN
D3	BOLIVIA
1E	BOSNIA AND HERZEGOVINA
B1	BOTSWANA
D4	BOUVET ISLAND
D5	BRAZIL
D6	BRITISH INDIAN OCEAN TERRITORY
D9	BRUNEI DARUSSALAM
E0	BULGARIA
X2	BURKINA FASO
E2	BURUNDI
E3	CAMBODIA
E4	CAMEROON
E8	CAPE VERDE
E9	CAYMAN ISLANDS
F0	CENTRAL AFRICAN REPUBLIC
F2	CHAD
F3	CHILE
F4	CHINA
F6	CHRISTMAS ISLAND
F7	COCOS (KEELING) ISLANDS
F8	COLOMBIA
F9	COMOROS
G0	CONGO
Y3	CONGO, THE DEMOCRATIC REPUBLIC OF THE
G1	COOK ISLANDS
G2	COSTA RICA
L7	COTE D'IVOIRE
1M	CROATIA
G3	CUBA
G4	CYPRUS
2N	CZECH REPUBLIC
G7	DENMARK
1G	DJIBOUTI
G9	DOMINICA
G8	DOMINICAN REPUBLIC
H1	ECUADOR

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Code	State/Country Name
H2	EGYPT
H3	EL SALVADOR
H4	EQUATORIAL GUINEA
1J	ERITREA
1H	ESTONIA
H5	ETHIOPIA
H7	FALKLAND ISLANDS (MALVINAS)
H6	FAROE ISLANDS
H8	FIJI
H9	FINLAND
I0	FRANCE
I3	FRENCH GUIANA
I4	FRENCH POLYNESIA
2C	FRENCH SOUTHERN TERRITORIES
I5	GABON
I6	GAMBIA
2Q	GEORGIA
2M	GERMANY
J0	GHANA
J1	GIBRALTAR
J3	GREECE
J4	GREENLAND
J5	GRENADA
J6	GUADELOUPE
J8	GUATEMALA
Y7	GUERNSEY
J9	GUINEA
S0	GUINEA-BISSAU
K0	GUYANA
K1	HAITI
K4	HEARD ISLAND AND MCDONALD ISLANDS
X4	HOLY SEE (VATICAN CITY STATE)
K2	HONDURAS
K3	HONG KONG
K5	HUNGARY
K6	ICELAND
K7	INDIA
K8	INDONESIA
K9	IRAN, ISLAMIC REPUBLIC OF
L0	IRAQ
L2	IRELAND
Y8	ISLE OF MAN
L3	ISRAEL
L6	ITALY

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Code	State/Country Name
L8	JAMAICA
M0	JAPAN
Y9	JERSEY
M2	JORDAN
1P	KAZAKSTAN
M3	KENYA
J2	KIRIBATI
M4	KOREA, DEMOCRATIC PEOPLE'S REPUBLIC OF
M5	KOREA, REPUBLIC OF
M6	KUWAIT
1N	KYRGYZSTAN
M7	LAO PEOPLE'S DEMOCRATIC REPUBLIC
1R	LATVIA
M8	LEBANON
M9	LESOTHO
N0	LIBERIA
N1	LIBYAN ARAB JAMAHIRIYA
N2	LIECHTENSTEIN
1Q	LITHUANIA
N4	LUXEMBOURG
N5	MACAU
1U	MACEDONIA, THE FORMER YUGOSLAV REPUBLIC OF
N6	MADAGASCAR
N7	MALAWI
N8	MALAYSIA
N9	MALDIVES
O0	MALI
O1	MALTA
1T	MARSHALL ISLANDS
O2	MARTINIQUE
O3	MAURITANIA
O4	MAURITIUS
2P	MAYOTTE
O5	MEXICO
1K	MICRONESIA, FEDERATED STATES OF
1S	MOLDOVA, REPUBLIC OF
O9	MONACO
P0	MONGOLIA
Z5	MONTENEGRO
P1	MONTSERRAT
P2	MOROCCO
P3	MOZAMBIQUE
E1	MYANMAR
T6	NAMIBIA

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Code	State/Country Name
P5	NAURU
P6	NEPAL
P7	NETHERLANDS
P8	NETHERLANDS ANTILLES
1W	NEW CALEDONIA
Q2	NEW ZEALAND
Q3	NICARAGUA
Q4	NIGER
Q5	NIGERIA
Q6	NIUE
Q7	NORFOLK ISLAND
1V	NORTHERN MARIANA ISLANDS
Q8	NORWAY
P4	OMAN
R0	PAKISTAN
1Y	PALAU
1X	PALESTINIAN TERRITORY, OCCUPIED
R1	PANAMA
R2	PAPUA NEW GUINEA
R4	PARAGUAY
R5	PERU
R6	PHILIPPINES
R8	PITCAIRN
R9	POLAND
S1	PORTUGAL
S3	QATAR
S4	REUNION
S5	ROMANIA
1Z	RUSSIAN FEDERATION
S6	RWANDA
Z0	SAINT BARTHELEMY
U8	SAINT HELENA
U7	SAINT KITTS AND NEVIS
U9	SAINT LUCIA
Z1	SAINT MARTIN
V0	SAINT PIERRE AND MIQUELON
V1	SAINT VINCENT AND THE GRENADINES
Y0	SAMOA
S8	SAN MARINO
S9	SAO TOME AND PRINCIPE
T0	SAUDI ARABIA
T1	SENEGAL
Z2	SERBIA
T2	SEYCHELLES

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Code	State/Country Name
T8	SIERRA LEONE
U0	SINGAPORE
2B	SLOVAKIA
2A	SLOVENIA
D7	SOLOMON ISLANDS
U1	SOMALIA
T3	SOUTH AFRICA
1L	SOUTH GEORGIA AND THE SOUTH SANDWICH ISLANDS
U3	SPAIN
F1	SRI LANKA
V2	SUDAN
V3	SURINAME
L9	SVALBARD AND JAN MAYEN
V6	SWAZILAND
V7	SWEDEN
V8	SWITZERLAND
V9	SYRIAN ARAB REPUBLIC
F5	TAIWAN, PROVINCE OF CHINA
2D	TAJIKISTAN
W0	TANZANIA, UNITED REPUBLIC OF
W1	THAILAND
Z3	TIMOR-LESTE
W2	TOGO
W3	TOKELAU
W4	TONGA
W5	TRINIDAD AND TOBAGO
W6	TUNISIA
W8	TURKEY
2E	TURKMENISTAN
W7	TURKS AND CAICOS ISLANDS
2G	TUVALU
W9	UGANDA
2H	UKRAINE
C0	UNITED ARAB EMIRATES
X0	UNITED KINGDOM
2J	UNITED STATES MINOR OUTLYING ISLANDS
X3	URUGUAY
2K	UZBEKISTAN
2L	VANUATU
X5	VENEZUELA
Q1	VIET NAM
D8	VIRGIN ISLANDS, BRITISH
X8	WALLIS AND FUTUNA
U5	WESTERN SAHARA

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Code	State/Country Name
T7	YEMEN
Y4	ZAMBIA
Y5	ZIMBABWE

**Appendix B. ACRONYMS**

Acronym	Description
ASCII	American Standard Code for Information Interchange
CCC	CIK Confirmation Code
CIK	Central Index Key
EDGAR	Electronic Data Gathering, Analysis, and Retrieval
PDF	Portable Document Format
SEC	Securities and Exchange Commission
XML	Extensible Markup Language

**Appendix C. US\_IAR\_STATE\_TYPE**

VALUE
AL
AK
AZ
AR
CA
CO
CT
DE
DC
FL
GA
HI
ID
IL
IN
IA
KS
KY
LA
ME
MD
MA
MI
MN
MS
MO
MT
NE
NV
NH
NJ

**DRAFT**

NM
NY
NC
ND
OH
OK
OR
PA
RI
SC
SD
TN
TX
UT
VT
VA
VI
WA
WI
WV
WY
PR
GU

**Appendix D. NON\_US\_COUNTRY\_CODES**

A0
A1
A2
A3
A4
A5
A6
A7
A8
A9
B0
Z4
B2
Y6
B3
B4
B5
B6



DRAFT

B7
1A
B8
B9
C1
1B
1C
C3
C4
1D
C5
C6
C7
C8
1F
C9
D1
G6
D0
D2
D3
1E
B1
D4
D5
D6
D9
E0
X2
E2
E3
E4
E8
E9
F0
F2
F3
F4
F6
F7
F8
F9
G0
Y3
G1

DRAFT

G2
L7
1M
G3
G4
2N
G7
1G
G9
G8
H1
H2
H3
H4
1J
A0
A1
A2
A3
A4
A5
A6
A7
A8
A9
B0
Z4
B2
Y6
B3
B4
B5
B6
B7
1A
B8
B9
C1
1B
1C
C3
C4
1D
C5
C6

DRAFT

C7
C8
1F
C9
D1
G6
D0
D2
D3
1E
B1
D4
D5
D6
D9
E0
X2
E2
E3
E4
E8
E9
F0
F2
F3
F4
F6
F7
F8
F9
G0
Y3
G1
G2
L7
1M
G3
G4
2N
G7
1G
G9
G8
H1

DRAFT

H2
H3
H4
1J
1H
H5
H7
H6
H8
H9
I0
I3
I4
2C
I5
I6
2Q
2M
J0
J1
J3
J4
J5
J6
GU
J8
Y7
J9
S0
K0
K1
K4
X4
K2
K3
K5
K6
K7
K8
K9
L0
L2
Y8

DRAFT

L3
L6
L8
M0
Y9
M2
1P
M3
J2
M4
M5
M6
1N
M7
1R
M8
M9
N0
N1
N2
1Q
N4
N5
1U
N6
N7
N8
N9